

Quantum Mechanics

Lecture Notes



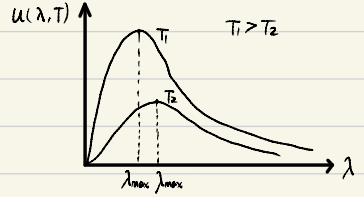
Chapter 1 量热溯源

Kirchhoff th. $\frac{r(\nu, T)}{\alpha(\nu, T)} = \frac{c}{4} u(\nu, T)$ for black body $\alpha(\nu, T) = 1 \Rightarrow r(\nu, T) = u(\nu, T)$

Explanation:

1) Stefan-Boltzmann: $J = \int u(\nu, T) d\nu \propto T^4$

Optical Pressure $p = \frac{1}{3} \varepsilon$ $Tds = du + pdv = Vd\varepsilon + \frac{4}{3} \varepsilon dV$
 $\Rightarrow \left(\frac{\partial s}{\partial v}\right)_T = \frac{1}{3} \frac{4}{3} \varepsilon$



Maxwell $\left(\frac{\partial s}{\partial v}\right)_T = \left(\frac{\partial p}{\partial T}\right)_v \Rightarrow \frac{1}{3} \frac{\partial \varepsilon}{\partial T} = \frac{4}{3} \frac{\varepsilon}{T}$ hence $\varepsilon \propto T^4$

2) Wien $u(\nu, T) = g(\nu) \bar{\varepsilon}(\nu, T)$ $g(\nu)$ 为 $\nu \sim \nu + d\nu$ 单位体积电磁波模式数
 $\bar{\varepsilon}(\nu, T)$ 每个模式谐振子的平均能量

for 1-Dim $L = n \frac{\lambda}{2}, \lambda = \frac{2\pi}{k}, k = \frac{n\pi}{L}$
 $0 \sim k_n, N_n = \frac{kn}{\pi}, k = \frac{2m\nu}{c}$

for 3-Dim

第二章 量子力学的基本理论框架

基本公设:

1. 系统状态由量子态描述, 其满足态叠加原理
2. 力学量(可观测量)由算符表示
3. (关于测量) 力学量算符的可能测值为其本征值, 测量得到某本征值有几几率, 其取值与对应的本征态与系统量子态相关
4. 动力学演化满足 Schrödinger eq. $i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{H} |\psi\rangle$ ← 能量算符
5. 粒子的全同性

1. 引入量子态概念的必要性 ^{wave and particle duality} (例: 波粒二象性)

a. 光的本质 概率正比于 $|E(r,t)|^2$

b. 物质波 \rightarrow 推广到一切微观粒子

c. Heisenberg 不确定性关系

讨论: 轨道的概念

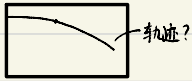
i) 氢原子基态 $E_g \sim -13.6\text{eV}$, $\Delta p \sim \sqrt{2mE_g}$, $\Delta x \geq \frac{\hbar}{2\Delta p} = \frac{\hbar}{2\sqrt{2mE_g}} \sim 2.6 \cdot 10^{-11}\text{m}$ } 无法在原子尺度中讨论电子的位置
原子尺度 $\sim 10^{-10}\text{m}$

ii) Wilson 云室

雾滴尺寸 $\sim 10^{-6}\text{m}$

$\Delta x \sim 10^{-6}\text{m}$

$\Delta p \geq \frac{\hbar}{2\Delta x} \Rightarrow \Delta E \geq \frac{\hbar^2}{4\Delta x^2} \frac{1}{2m} \sim 9 \times 10^{-9}\text{eV}$ (相较于高能粒子的能量, 非常小)



2. 量子态与算符

b. 用算符表示可观测量 Dirac notation $|\psi\rangle$, \hat{A}

a. 摒弃经典概念用量子态这一抽象概念描述.

3. 态叠加原理与测量

如 $|\psi_m\rangle$ 为系统可能的状态, 则其线性叠加 $\sum_m c_m |\psi_m\rangle$ 亦为系统可能状态

(c_1, c_2, \dots, c_m) 为复数. 它表示具有 $|\psi_m\rangle$ 态性质的相对几率为 $|c_m|^2$

例: $|\psi_n\rangle$ 有确定的能量 E_n (能量本征态), 当系统处于 $|\psi\rangle = \sum c_n |\psi_n\rangle$ 时, 所有可能的能量测值为 $\{E_1, E_2, \dots, E_n\}$, 其中测得能量值为 E_m 的几率 $\frac{|c_m|^2}{\sum |c_i|^2}$, 测量后, $|\psi\rangle$ 量子态塌缩成为 $|\psi_m\rangle$

例: 连续谱 $|\psi\rangle = \int d^3r \psi(r) |r\rangle$, 测量 $|r\rangle$ 位置, 得到 $r \rightarrow r+d^3r$ 中的几率为 $\frac{|\psi(r)|^2}{\int d^3r |\psi(r)|^2}$

例: 光的偏振 电磁波的电矢量 $E_x \cos(kz - \omega t) \vec{e}_x + E_y \cos(kz - \omega t + \varphi) \vec{e}_y$
 $= \vec{E}_0 \left[\vec{e}_p \frac{e^{i(kz - \omega t)}}{2} + c.c. \right] \cos \theta \sim \frac{E_x}{\sqrt{E_x^2 + E_y^2}}$
 设 $\varphi = \frac{\pi}{2}$, 则 $\vec{E}_p \propto \cos \theta \vec{e}_x + i \sin \theta \vec{e}_y$

对于经典光, 检偏器 (\vec{e}) 测得的光强 $I_0 \cos^2 \theta$

对于单光子, 可能测值 $\begin{cases} 0 & \sin^2 \theta \\ 1 & \cos^2 \theta \end{cases}$ 本征值 $|\psi\rangle = \cos \theta |\leftarrow\rangle + i \sin \theta |\uparrow\rangle$ 测量改变了微观体系的状态
 $\propto |\leftarrow\rangle + i |\uparrow\rangle$

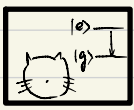
- 讨论
- i) $|\psi\rangle$ 与 $c|\psi\rangle$ 表示同一量子态
 - ii) 展开系数 c_m , $|\psi\rangle$ 本身不可测量, 只可测得模方
 - iii) 同一量子态具有不同叠加形式

例: 基于态叠加的干涉实验

经典波有 $I = |\vec{E}|^2 = |\vec{E}_1 + \vec{E}_2 e^{i\varphi(r)}|^2 = |\vec{E}_1|^2 + |\vec{E}_2|^2 + 2|\vec{E}_1||\vec{E}_2| \cos \varphi(r)$
 量子态 $|\psi\rangle = \frac{\sqrt{2}}{2} |\psi_1\rangle + \frac{\sqrt{2}}{2} |\psi_2\rangle \Rightarrow \frac{\sqrt{2}}{2} \int d^3r [\psi_1(r) + \psi_2(r)] |r\rangle$
 几率: $\frac{1}{2} |\psi_1(r) + \psi_2(r)|^2 = \frac{1}{2} (|\psi_1|^2 + |\psi_2|^2 + \underbrace{2\psi_1^* \psi_2}_{\text{干涉项}})$



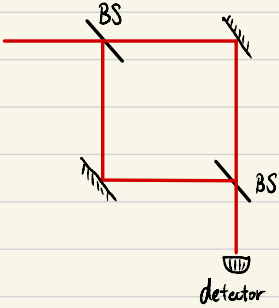
4. 干涉实验讨论



$\frac{\sqrt{2}}{2} (|e, \text{alive}\rangle + |g, \text{dead}\rangle)$ 经典与量子的界限? \sim 经典几率与量子几率大有不同

$\frac{\sqrt{2}}{2} (|\leftarrow\rangle + |\uparrow\rangle)$ 叠加态
 $\begin{cases} \frac{1}{2} \leftarrow \\ \frac{1}{2} \uparrow \end{cases}$ 坍缩 两者不同!!!

5. Wheeler 实验



BS 加入可以影响 时间之前 的光子

⇒ 量子叠加态有非定域性 (包括时间)

d. EPR Paradox (1935)

• A

• B 类空间隔

A 与 B 的总动量与相对位置已知

- ① 测 A 的位置, 则 B 的位置确定
- ② 测 A 的动量, 则 B 的动量确定

亦可在不扰动 B 的情况下
分别测出 B 的坐标与分量

⇒ x, p 为 B 的不依赖于测量的客观实在
→ Heisenberg 不确定性原理矛盾

e. 隐变量理论 Bohm 1952

存在经典意义上的 (客观实在) 隐变量

f. 实在论

Copenhagen 测量之前, 经典性质的实在无意义

5. 波函数的讨论

a. 态叠加原理

Born 的几何解释: 坐标空间中的态叠加原理, 即将 $|\psi\rangle$ 用 $\{|r\rangle\}$ 展开, 展开系数为波函数

同理, 可将 $|\psi\rangle$ 用 $\{|p\rangle\}$ 展开

量子

$$|\psi\rangle = \sum_n c_n |\psi_n\rangle$$

$$|\psi\rangle = \int d^3r \psi(r) |r\rangle$$

$$|\psi\rangle = \int d^3p \varphi(p) |p\rangle$$

Fourier Transformation

$$\psi(r) = \frac{1}{(2\pi\hbar)^{3/2}} \int \varphi(p) e^{i\vec{p}\cdot\vec{r}/\hbar} d^3p \quad \text{with } \vec{p} = \hbar\vec{k}$$

$$\varphi(p) = \frac{1}{(2\pi\hbar)^{3/2}} \int \psi(r) e^{-i\vec{p}\cdot\vec{r}/\hbar} d^3r$$

表象

$$\Rightarrow \{|\psi_n\rangle\}$$

$$\Rightarrow \{|r\rangle\}, \text{ 粒子在 } r \rightarrow r+d^3r \text{ 上出现概率 } |\psi(r)|^2$$

$$\text{归一化 } \int d^3r |\psi(r)|^2 = 1$$

$$\Rightarrow \{|p\rangle\}, \text{ 粒子在 } p \rightarrow p+d^3p \text{ 概率 } |\varphi(p)|^2$$

$$\text{归一化 } \int d^3p |\varphi(p)|^2 = 1$$

$$\text{计算例 (归一化): } \int \varphi^*(p) \varphi(p) d^3p = \frac{1}{(2\pi\hbar)^3} \int d^3p d^3r d^3r' \psi^*(r) \psi(r) e^{-i\vec{p}\cdot(\vec{r}-\vec{r}')/\hbar}$$

$$= \int d^3r d^3r' \psi^*(r) \psi(r) \delta(r-r') \text{ with } \delta(\vec{r}-\vec{r}') = \frac{1}{(2\pi\hbar)^3} \int e^{i\vec{p}\cdot(\vec{r}-\vec{r}')/\hbar} d^3p$$

$$= \int d^3r \psi^*(r) \psi(r)$$

$$\vec{p} e^{-i\vec{p}\cdot\vec{r}/\hbar} = i\hbar \nabla_r e^{-i\vec{p}\cdot\vec{r}/\hbar}$$

b. 测量与测量的期望值

$$\text{位置期望值: } \bar{r} = \int d^3r |\psi(r)|^2 r$$

$$\text{动量期望值: } \bar{p} = \int d^3p |\varphi(p)|^2 p = \frac{1}{(2\pi\hbar)^3} \int d^3p d^3r d^3r' \psi^*(r) \psi(r') e^{i\vec{p}\cdot(\vec{r}-\vec{r}')/\hbar} \vec{p}$$

$$= \frac{1}{(2\pi\hbar)^3} \int d^3p d^3r \psi^*(r) e^{i\vec{p}\cdot\vec{r}/\hbar} \int d^3r' \psi(r') i\hbar \nabla_{r'} e^{-i\vec{p}\cdot\vec{r}'/\hbar}$$

$$\stackrel{\text{integrate by parts}}{=} \frac{1}{(2\pi\hbar)^3} \int d^3p d^3r \psi^*(r) e^{i\vec{p}\cdot\vec{r}/\hbar} \int d^3r' e^{-i\vec{p}\cdot\vec{r}'/\hbar} [-i\hbar \nabla_{r'} \psi(r')]$$

$$= \int d^3r d^3r' \delta(r-r') \psi^*(r) (-i\hbar \nabla_{r'}) \psi(r')$$

$$= \int d^3r \psi^*(r) (-i\hbar \nabla_r) \psi(r)$$

第三章 量子力学的初步描述

1. 量子态 量子数

a. $|\psi\rangle$ ket 矢 满足态叠加原理的所有可能态 $\{|\psi\rangle\}$ 组成的复向量空间为态空间 (Hilbert Space)

运算: $c|\alpha\rangle = |\alpha\rangle c$, $|\alpha\rangle$ 与 $c|\alpha\rangle$ 代表同一量子态

b. $\langle\psi|$ bra 矢 定义为与 $|\psi\rangle$ 共轭的矢量 由所有 $\langle\psi|$ 构成的复空间为态空间的 ^{dual} 共轭空间

运算: $c\langle\psi| = \langle\psi|c$

$$(|\psi\rangle)^\dagger = \langle\psi| \quad (\langle\psi|)^\dagger = |\psi\rangle \quad (c|\psi\rangle)^\dagger = \langle\psi|c^* \quad (c_1|\psi_1\rangle + c_2|\psi_2\rangle + \dots + c_n|\psi_n\rangle)^\dagger = \langle\psi_1|c_1^* + \dots + \langle\psi_n|c_n^*$$

c. 内积 $\langle\alpha|\beta\rangle \rightarrow \text{Complex Number}$ 要求 (i) $\langle\alpha|\beta\rangle = \langle\beta|\alpha\rangle^*$

(ii) $\langle\alpha|\alpha\rangle \geq 0$, 如 $\langle\alpha|\alpha\rangle = 0$, 则 α 为空态 Null

d. 直积 $|\alpha\rangle \otimes |\beta\rangle = |\alpha\rangle|\beta\rangle = |\alpha, \beta\rangle$ ~ 两个独立的状态拼接到一起

eg.: Schwartz ineq. $|\langle\alpha|\beta\rangle| \leq \sqrt{\langle\alpha|\alpha\rangle\langle\beta|\beta\rangle}$

Prove: $(\langle\alpha| + \langle\beta|\lambda^*)(|\alpha\rangle + \lambda|\beta\rangle) \geq 0$, 令 $\lambda = -\frac{\langle\beta|\alpha\rangle}{\langle\beta|\beta\rangle}$

$$\Rightarrow \langle\alpha|\alpha\rangle - \frac{\langle\beta|\alpha\rangle}{\langle\beta|\beta\rangle}\langle\alpha|\beta\rangle - \frac{\langle\alpha|\beta\rangle}{\langle\beta|\beta\rangle}\langle\beta|\alpha\rangle + \frac{\langle\beta|\alpha\rangle\langle\alpha|\beta\rangle}{|\langle\beta|\beta\rangle|^2}\langle\beta|\beta\rangle \geq 0$$

$$\Rightarrow \langle\alpha|\alpha\rangle\langle\beta|\beta\rangle \geq \langle\alpha|\beta\rangle\langle\beta|\alpha\rangle$$

$$\Rightarrow \sqrt{\langle\alpha|\alpha\rangle\langle\beta|\beta\rangle} \geq |\langle\alpha|\beta\rangle| \quad \text{Q.E.D.}$$

2. Operator

定义为作用在 ket 矢上的变换, 可理解为矩阵, $\hat{A}|\psi\rangle = |\varphi\rangle$

Linear Operator, 力学量算符均为线性算符

Idemtical Operator $\hat{I}|\psi\rangle = |\psi\rangle$

对任意态 $|\varphi\rangle$ 和 $|\psi\rangle$, 有 $\langle\varphi|\hat{A}|\psi\rangle = \langle\varphi|\hat{B}|\psi\rangle$, 则 $\hat{A} = \hat{B}$

算符的积: $\hat{A}\hat{B}|\psi\rangle = \hat{A}(\hat{B}|\psi\rangle) \quad \hat{A}\hat{B}\hat{C} = (\hat{A}\hat{B})\hat{C} = \hat{A}(\hat{B}\hat{C})$

基本对易关系 (1-Dim): $[\hat{x}, \hat{p}] = \hat{x}\hat{p} - \hat{p}\hat{x} = i\hbar$

基本假设

$$\left. \begin{aligned} \text{形式推导: } \hat{x}\hat{p}|\psi\rangle &\Rightarrow \hat{x}(-i\hbar\frac{\partial}{\partial x})\psi(x) \\ \hat{p}\hat{x}|\psi\rangle &\Rightarrow (-i\hbar\frac{\partial}{\partial x})(x\psi(x)) = -i\hbar\psi - i\hbar x\frac{\partial\psi}{\partial x} \end{aligned} \right\} \Rightarrow [x, -i\hbar\frac{\partial}{\partial x}]\psi = i\hbar\psi$$

同理可推得 $[\hat{x}, \hat{p}_y] = 0$, 故一般的三维对易关系为 $[\hat{x}_\alpha, \hat{p}_\beta] = i\hbar\delta_{\alpha\beta}$
以及 $[\hat{x}_\alpha, \hat{x}_\beta] = 0, [\hat{p}_\alpha, \hat{p}_\beta] = 0$

对易关系

$$[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A}, \quad \{\hat{A}, \hat{B}\} = \hat{A}\hat{B} + \hat{B}\hat{A}$$

$$\text{则有 } [\hat{A}, \hat{B} \pm \hat{C}] = [\hat{A}, \hat{B}] \pm [\hat{A}, \hat{C}] \quad \text{类似于线性}$$

$$[\hat{A}, \hat{B}\hat{C}] = \hat{A}\hat{B}\hat{C} - \hat{B}\hat{A}\hat{C} + \hat{B}\hat{A}\hat{C} - \hat{B}\hat{C}\hat{A} = [\hat{A}, \hat{B}]\hat{C} + \hat{B}[\hat{A}, \hat{C}]$$

$$[\hat{A}\hat{B}, \hat{C}] = \hat{A}\hat{B}\hat{C} - \hat{A}\hat{C}\hat{B} + \hat{A}\hat{C}\hat{B} - \hat{C}\hat{A}\hat{B} = \hat{A}[\hat{B}, \hat{C}] + [\hat{A}, \hat{C}]\hat{B}$$

例: 角动量算符的对易关系 $\hat{L} = \hat{r} \times \hat{p}$, (此处 $\hat{p} = \hat{p}_x\hat{e}_x + \hat{p}_y\hat{e}_y + \hat{p}_z\hat{e}_z$)

$$\Rightarrow \begin{cases} \hat{L}_x = \hat{y}\hat{p}_z - \hat{z}\hat{p}_y \\ \hat{L}_y = \hat{z}\hat{p}_x - \hat{x}\hat{p}_z \\ \hat{L}_z = \hat{x}\hat{p}_y - \hat{y}\hat{p}_x \end{cases} \Rightarrow \hat{L}_i = \varepsilon_{ijk} \hat{x}_j \hat{p}_k$$

容易得到 $[\hat{L}_x, \hat{x}] = 0$,

$$[\hat{L}_x, \hat{y}] = [\hat{y}\hat{p}_z - \hat{z}\hat{p}_y, \hat{y}] = [\hat{y}\hat{p}_z, \hat{y}] - [\hat{z}\hat{p}_y, \hat{y}] = -\hat{z}[\hat{p}_y, \hat{y}] = i\hbar\hat{z}$$

$$[\hat{L}_i, \hat{x}_j] = [\varepsilon_{ikl} \hat{x}_k \hat{p}_l, \hat{x}_j] = \varepsilon_{ikl} \hat{x}_k [\hat{p}_l, \hat{x}_j] = -i\hbar \varepsilon_{ikj} \hat{x}_k = i\hbar \varepsilon_{ijk} \hat{x}_k$$

同理也有 $[\hat{L}_i, \hat{p}_j] = i\hbar \varepsilon_{ijk} \hat{p}_k$, $[\hat{L}_i, \hat{L}_j] = i\hbar \varepsilon_{ijk} \hat{L}_k$, $[\hat{L}_i, \hat{x}^2] = [\hat{L}_i, \hat{p}^2] = [\hat{L}_i, \hat{L}^2] = 0$

$$\text{定义 } \hat{L}_\pm = \hat{L}_x \pm i\hat{L}_y, \quad [\hat{L}_z, \hat{L}_\pm] = \pm\hbar\hat{L}_\pm$$

算符的逆

$$\hat{A}|\psi\rangle = |\varphi\rangle, \text{ 则定义 } \hat{A}^{-1}, \hat{A}^{-1}|\varphi\rangle = |\psi\rangle \quad (\hat{A}\hat{B})^{-1} = \hat{B}^{-1}\hat{A}^{-1}$$

算符的幂

$$\hat{A}^n = \underbrace{\hat{A} \cdots \hat{A}}_{n\uparrow}, \text{ 可以定义 } e^{\alpha\hat{A}} = \sum_{n=0}^{\infty} \frac{\hat{A}^n \alpha^n}{n!}$$

$$\frac{d}{d\alpha}(e^{\alpha\hat{A}}) = \sum_n \frac{1}{n!} n\alpha^{n-1} \hat{A}^n = e^{\alpha\hat{A}} \hat{A} \xrightarrow{[\hat{A}^n, \hat{A}] = 0} \text{利用对易换位置} \hat{A} e^{\alpha\hat{A}}$$

常用公式小结

1. $[\hat{A}, f(\hat{B})] = [\hat{A}, \hat{B}] f'(\hat{B})$ when $[\hat{A}, [\hat{A}, \hat{B}]] = 0$

2. Baker Hausdorff eq. $e^{\lambda \hat{A}} \hat{B} e^{-\lambda \hat{A}} = \sum_{n=0}^{\infty} \frac{\lambda^n \hat{C}_n}{n!}$

3. Glauber eq. $e^{\hat{A}+\hat{B}} = e^{\hat{A}} e^{\hat{B}} e^{-\frac{1}{2}[\hat{A}, \hat{B}]}$ when $[\hat{A}, [\hat{A}, \hat{B}]] = [\hat{B}, [\hat{A}, \hat{B}]] = 0$

e.g. $e^{\alpha \hat{a}^\dagger - \alpha^* \hat{a}} = e^{\alpha \hat{a}^\dagger} e^{-\alpha^* \hat{a}} e^{-\frac{1}{2}|\alpha|^2}$

一些常用的结果:

- 1. $[\hat{A}, \hat{B}^n] = n[\hat{A}, \hat{B}]\hat{B}^{n-1}$, $[\hat{A}, e^{\lambda \hat{B}}] = \lambda[\hat{A}, \hat{B}]e^{\lambda \hat{B}}$
- 2. $[\hat{x}, F(\hat{p})] = i\hbar F'(\hat{p})$, $[\hat{p}, G(\hat{x})] = -i\hbar G'(\hat{x})$

算符与 bra 矢的作用 算符可以从右边作用于 bra 矢上, 其结果亦为 bra 矢

$(\langle \varphi | \hat{A})^\dagger = \hat{A}^\dagger | \varphi \rangle$ or $\langle \varphi | \hat{A}^\dagger = (\hat{A} | \varphi \rangle)^\dagger$

thus $\langle \varphi | \hat{A}^\dagger | \psi \rangle = \langle \varphi | (\langle \psi | \hat{A})^\dagger \rangle = \langle \psi | \hat{A} | \varphi \rangle^*$ using $\langle \alpha | \beta \rangle = \langle \beta | \alpha \rangle^*$

Similarly $\langle \varphi | (\hat{A}^\dagger)^\dagger | \psi \rangle = \langle \psi | \hat{A} | \varphi \rangle^* = \langle \varphi | \hat{A} | \psi \rangle \Rightarrow (\hat{A}^\dagger)^\dagger = \hat{A}$, $(\hat{A}\hat{B})^\dagger = \hat{B}^\dagger \hat{A}^\dagger$

对于一个简单的复数 $\langle \varphi | \hat{A} | \psi \rangle^\dagger = \langle \psi | \hat{A}^\dagger | \varphi \rangle = \langle \varphi | \hat{A} | \psi \rangle^*$

外积 $|\alpha\rangle\langle\beta|$ 本质为算符, with $(|\alpha\rangle\langle\beta|)^\dagger = |\beta\rangle\langle\alpha|$

3. Hermitian 厄米算符

def: $\hat{A}^\dagger = \hat{A}$

本征值和本征态

\hat{A} 可在本征基下展开成
 $\hat{A} = \sum_i A_i |i\rangle\langle i|$, A_i 为本征值

a. 算符的本征问题 $\hat{A} | \psi_n \rangle = a_n | \psi_n \rangle$, then we get $\{a_n\} \{ | \psi_n \rangle \}$

b. 厄米算符的本征值为实数, 且对应不同本征值的本征态相互正交

Proof: $\hat{A} | \psi_n \rangle = a_n | \psi_n \rangle \Rightarrow \langle \psi_m | \hat{A} | \psi_n \rangle = a_n \langle \psi_m | \psi_n \rangle$
 $\langle \psi_m | \hat{A} = \langle \psi_m | \hat{A}^\dagger \Rightarrow \langle \psi_m | \hat{A} | \psi_n \rangle = a_m^* \langle \psi_m | \psi_n \rangle$

thus $(a_n - a_m^*) \langle \psi_m | \psi_n \rangle$, if $m=n$, then $a_n - a_n^* = 0 \Rightarrow a_n \in \mathbb{R}$
 if $m \neq n$, & $a_m \neq a_n$, then $\langle \psi_m | \psi_n \rangle = 0$, Orthogonal

c. 厄米算符的归一化, 非简并本征态集构成对应态空间的一组正交完备基

正交归一性: $\langle \psi_m | \psi_n \rangle = \delta_{mn}$ 完备性: $\sum_n | \psi_n \rangle \langle \psi_n | = \hat{I}$

对任意态 $|\psi\rangle = \sum_n c_n | \psi_n \rangle \Rightarrow \langle \psi_m | \psi \rangle = c_m$

$|\psi\rangle = \sum_n c_n | \psi_n \rangle = \sum_n \langle \psi_m | \psi \rangle | \psi_n \rangle = (\sum_n | \psi_n \rangle \langle \psi_m |) | \psi \rangle$

* 对于连续基的情况 $\langle r|r'\rangle = \delta(r-r')$, $\int d^3r |r\rangle\langle r| = \hat{1}$

$$|\psi\rangle = \int d^3r \psi(r)|r\rangle \quad \langle r|\psi\rangle = \int d^3r \psi(r)\langle r|r\rangle = \psi(r)$$

$$\text{then } \langle \psi|\psi\rangle = \langle \psi|\left(\sum_n |\psi_n\rangle\langle \psi_n|\right)|\psi\rangle = \sum_n C_n C_n^* = 1$$

$$\Rightarrow \langle \psi|\left(\int d^3r |r\rangle\langle r|\right)|\psi\rangle = \int d^3r \psi(r)\psi^*(r) = 1$$

$$\bar{A} = \sum_n |C_n|^2 A_n = \sum_n A_n \langle \psi|\psi_n\rangle\langle \psi_n|\psi\rangle = \sum_n \langle \psi|(A_n|\psi_n\rangle)\langle \psi_n|\psi\rangle = \sum_n \langle \psi|\hat{A}(|\psi_n\rangle\langle \psi_n|)|\psi\rangle = \langle \psi|\hat{A}|\psi\rangle$$

with $\hat{A}|\psi_n\rangle = A_n|\psi_n\rangle$ equivalent to $\hat{1}$

d. 厄米算符的性质

i) 于任意量子态下, Hermitian 期望值为实数

$$\bar{A} = \langle \psi|\hat{A}|\psi\rangle = \langle \psi|\hat{A}^+|\psi\rangle^* = \langle \psi|\hat{A}|\psi\rangle^* = \bar{A}^*$$

ii) 于任意量子态下, 期望值为实数的 Operator 为 Hermitian

Proof: 取 $|\psi\rangle = |\psi_1\rangle + c|\psi_2\rangle$, 则 $\langle \psi| = \langle \psi_1| + \langle \psi_2|c^*$,

$$\bar{A} = \langle \psi|\hat{A}|\psi\rangle = \bar{A}^* = \langle \psi|\hat{A}|\psi\rangle^*, \text{ 并取 } c=1 \& i, \text{ 可得 } \langle \psi_1|\hat{A}|\psi_2\rangle = \langle \psi_1|\hat{A}^+|\psi_2\rangle \text{ 即 } \hat{A} = \hat{A}^+$$

例: \hat{p}_x 的本征态

$$\hat{p}_x|p_x\rangle = p_x|p_x\rangle \Rightarrow -i\hbar \frac{\partial}{\partial x} \varphi_{p_x}(x) = p_x \varphi_{p_x}(x) \Rightarrow \varphi_{p_x} = \frac{1}{\sqrt{2\pi\hbar}} e^{ip_x x/\hbar}$$

4. 简谐振子的代数算法

a. 一维谐振子的能量本征问题

$$H \stackrel{\text{for one-dim}}{\sim} \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2 \sim \hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2 \hat{x}^2$$

$$\text{定义算符 } \begin{cases} \hat{a} = \frac{1}{\sqrt{2}} \left(\sqrt{\frac{m\omega}{\hbar}} \hat{x} + \frac{i}{\sqrt{m\omega\hbar}} \hat{p} \right) \\ \hat{a}^+ = \frac{1}{\sqrt{2}} \left(\sqrt{\frac{m\omega}{\hbar}} \hat{x} - \frac{i}{\sqrt{m\omega\hbar}} \hat{p} \right) \end{cases} \Rightarrow \begin{cases} \hat{x} = \sqrt{\frac{\hbar}{2m\omega}} (\hat{a} + \hat{a}^+) \\ \hat{p} = -i\sqrt{\frac{m\omega\hbar}{2}} (\hat{a} - \hat{a}^+) \end{cases}$$

$$\boxed{\begin{matrix} \hat{a} \neq \hat{a}^+ \\ \hat{x} = \hat{x}^+ \\ \hat{p} = \hat{p}^+ \end{matrix}}, \text{ 由 } [\hat{x}, \hat{p}] = i\hbar \text{ 推得 } [\hat{a}, \hat{a}^+] = 1 \text{ 且 } \hat{H} = \hbar\omega \left(\hat{a}^+ \hat{a} + \frac{1}{2} \right) = \hbar\omega \left(\frac{1}{2} + \hat{N} \right)$$

粒子数 Operator

fake 态

能量本征值 能量本征态

where $\hat{N} = \hat{a}^+ \hat{a}$, 设 $\hat{N}|n\rangle = n|n\rangle$, 则 $\hat{H}|n\rangle = (n + \frac{1}{2})\hbar\omega|n\rangle$

$$[\hat{N}, \hat{a}] = [\hat{a}^+ \hat{a}, \hat{a}] = -\hat{a}, \quad [\hat{N}, \hat{a}^+] = [\hat{a}^+ \hat{a}, \hat{a}^+] = \hat{a}^+$$

$$\begin{cases} \hat{N} \hat{a}^+ |n\rangle = (\hat{a}^+ \hat{N} + \hat{a}^+) |n\rangle = (n+1) \hat{a}^+ |n\rangle \Rightarrow \hat{a}^+ |n\rangle = c |n+1\rangle \\ \hat{N} \hat{a} |n\rangle = (\hat{a} \hat{N} - \hat{a}) |n\rangle = (n-1) \hat{a} |n\rangle \Rightarrow \hat{a} |n\rangle = d |n-1\rangle \end{cases}$$

$$\langle n | \hat{a}^\dagger \hat{a} | n \rangle = \langle n | d^\dagger d | n \rangle = |d|^2 = \langle n | n | n \rangle = n \quad \text{取 } d = \sqrt{n} \Rightarrow \begin{cases} \hat{a}^\dagger | n \rangle = \sqrt{n+1} | n+1 \rangle \\ \hat{a} | n \rangle = \sqrt{n} | n-1 \rangle \end{cases}$$

$$\langle n | \hat{a} \hat{a}^\dagger | n \rangle = |c|^2 = n+1 \quad \text{取 } |c| = \sqrt{n+1}$$

连续地把 \hat{a} 作用于 $|n\rangle$ 上, 同时 $n = \langle n | \hat{a}^\dagger \hat{a} | n \rangle \geq 0$, 设存在 n 的下限记为 n_0 .

if $n_0 = 0$, then n is positive integer

if $0 < n_0 < 1$, then $\hat{a} | n_0 \rangle = \sqrt{n_0} | n_0 - 1 \rangle$, $\hat{N} \hat{a} | n_0 \rangle = (n_0 - 1) \hat{a} | n_0 \rangle$ is impossible

$$\langle n_0 | \hat{a}^\dagger \hat{a}^\dagger (\hat{a} \hat{a} | n_0 \rangle) = \langle n_0 | \hat{a}^\dagger \hat{N} \hat{a} | n_0 \rangle = \langle n_0 | \hat{a}^\dagger (n_0 - 1) \hat{a} | n_0 \rangle = (n_0 - 1) \langle n_0 | \hat{a}^\dagger \hat{a} | n_0 \rangle = (n_0 - 1) \cdot 0 = 0, \text{ 违背了正定性}$$

then $\begin{cases} \hat{a} | n \rangle = \sqrt{n} | n-1 \rangle & \text{能谱 } \hat{H} | n \rangle = E_n | n \rangle, E_n = (n + \frac{1}{2}) \hbar \omega, \text{ 粒子数 } n=0,1,2,\dots \text{ (离散化的)} \\ \hat{a} | n-1 \rangle = \sqrt{n-1} | n-2 \rangle \\ \vdots \\ \hat{a} | 1 \rangle = | 0 \rangle \\ \hat{a} | 0 \rangle = 0 \end{cases}$

对于 $n=0$, $E_0 = \frac{1}{2} \hbar \omega$ 为真空能, 来源于测不准 (量子涨落) \sim Dark Energy?

对于 fock 态, $|n\rangle = \frac{(\hat{a}^\dagger)^n}{\sqrt{n!}} |0\rangle$, $\begin{cases} \hat{\alpha} | n \rangle = \frac{\hbar}{2m\omega} (\sqrt{n} | n-1 \rangle + \sqrt{n+1} | n+1 \rangle) \\ \hat{\beta} | n \rangle = -i \frac{m\omega \hbar}{2} (\sqrt{n} | n-1 \rangle - \sqrt{n+1} | n+1 \rangle) \end{cases}$

$$\Rightarrow \langle n | \hat{\alpha} | n \rangle = 0, \langle n | \hat{\beta} | n \rangle = 0, \langle n | \hat{\alpha}^2 | n \rangle \neq 0, \langle n | \hat{\beta}^2 | n \rangle \neq 0. \Delta x \Delta p = (n + \frac{1}{2}) \hbar$$

b. fock 态的波函数

出发点: $\langle \hat{\alpha} | \hat{\alpha} | 0 \rangle = 0 \Rightarrow \langle x | (\hat{\alpha} + \frac{i}{m\omega} \hat{p}) | 0 \rangle = 0 \xrightarrow{\hat{p} \rightarrow -i\hbar \frac{\partial}{\partial x}} \xrightarrow{\hat{x} \rightarrow x} (x + \frac{\hbar}{m\omega} \frac{\partial}{\partial x}) \varphi_0(x) = 0$, 其中 $\varphi_0(x) = \langle x | 0 \rangle$

解得 $\varphi_0(x) = \frac{1}{\pi^{1/4} \sqrt{x_0}} e^{-\frac{1}{2} (\frac{x}{x_0})^2}$, 其中 $x_0 = \sqrt{\hbar/m\omega}$ 为特征长度.

$$\langle \hat{\alpha} | 1 \rangle = \langle \hat{\alpha} | \hat{a}^\dagger | 0 \rangle = \frac{1}{\sqrt{x_0}} (x - x_0 \frac{\partial}{\partial x}) \varphi_0(x)$$

$$\langle \hat{\alpha} | n \rangle = \frac{1}{\pi^{1/4} \sqrt{2^n n!}} (\frac{x}{x_0} + \frac{\partial}{\partial x})^n (x - x_0 \frac{\partial}{\partial x})^n e^{-\frac{1}{2} (\frac{x}{x_0})^2} \quad \text{Hermit 多项式}$$

c. 相干态 Coherent State

Complex Number as $|\alpha\rangle$ is not a Hermitian

$$\hat{a} \text{ 的本征态: } \hat{a} |\alpha\rangle = \alpha |\alpha\rangle \Leftrightarrow \langle \alpha | \hat{a}^\dagger = \langle \alpha | \alpha^*$$

i) 相干态用 Fock 态表示 $|\alpha\rangle = \sum_n |n\rangle \langle n | \alpha \rangle$, with $\langle n | \alpha \rangle = \frac{\alpha^n}{\sqrt{n!}} \langle 0 | \alpha \rangle$

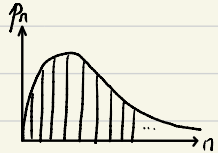
let $\langle 0 | \alpha \rangle = 1$, then $1 = \sum_n \langle n | \alpha \rangle \langle n | \alpha \rangle = \sum_n \frac{|\alpha|^{2n}}{n!} |\langle 0 | \alpha \rangle|^2 = e^{|\alpha|^2} |\langle 0 | \alpha \rangle|^2$

then $\langle 0 | \alpha \rangle = e^{-\frac{1}{2} |\alpha|^2}$

$$\Rightarrow |\alpha\rangle = e^{-\frac{1}{2} |\alpha|^2} \sum_n \frac{\alpha^n}{\sqrt{n!}} |n\rangle \quad \& \quad |\alpha\rangle = e^{-\frac{1}{2} |\alpha|^2} e^{\alpha \hat{a}^\dagger} |0\rangle$$

ii) 粒子数 $\langle \alpha | \hat{N} | \alpha \rangle = \langle \alpha | \hat{a}^\dagger \hat{a} | \alpha \rangle = |\alpha|^2 \langle \alpha | \alpha \rangle = |\alpha|^2 \sim$ 模方具有观测意义 $= \bar{n}$

$$P_n = |\langle n | \alpha \rangle|^2 = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!} = e^{-\bar{n}} \frac{\bar{n}^n}{n!} \sim P(\bar{n}) \text{ Poisson Distribution}$$



iii) 正交归一的完备性保证吗


$$\langle \alpha | \alpha \rangle = 1, \langle \alpha | \beta \rangle = \sum_{m,n} \frac{\alpha^{*m}}{\sqrt{m!}} \frac{\beta^n}{\sqrt{n!}} \delta_{m,n} e^{-\frac{1}{2} |\alpha|^2 - \frac{1}{2} |\beta|^2} = \sum_n \frac{(\alpha^* \beta)^n}{n!} e^{-\frac{1}{2} |\alpha|^2 - \frac{1}{2} |\beta|^2} = e^{\alpha^* \beta - \frac{1}{2} |\alpha|^2 - \frac{1}{2} |\beta|^2} \quad \text{不正交}$$

$$\int d\alpha |\alpha\rangle \langle \alpha| = \pi \hat{I}$$

复平面上取, 令 $\alpha = \rho e^{i\varphi}$ 由 $\int_0^{2\pi} d\varphi e^{i\varphi(n-m)} = 2\pi \delta_{m,n}$

考虑时间演化算符 $| \psi, t=t_0 \rangle = \exp(-i \frac{H_0}{\hbar} t) | \psi, t=0 \rangle$ for $i \hbar \frac{d}{dt} \psi = H \psi$
 $\hat{a}^\dagger | n \rangle = (n+1) | n+1 \rangle$ 则 $| \alpha, t=t_0 \rangle = e^{-i \frac{\hbar \omega \hat{a}^\dagger \hat{a}}{\hbar} t} \sum \frac{e^{-\hbar \omega t}}{\sqrt{n!}} \alpha^n | n \rangle \Rightarrow \alpha = \alpha e^{-i \omega t_0}$ (转动)

iv) 相干态下的不确定性关系 **定义位置/动量算符**

 取实 $\hat{x}_1 = \frac{1}{2}(\hat{a} + \hat{a}^\dagger)$, $\langle \alpha | \hat{x}_1 | \alpha \rangle = \frac{1}{2}(\alpha + \alpha^*) = \text{Re } \alpha$

取虚 $\hat{x}_2 = \frac{1}{2i}(\hat{a} - \hat{a}^\dagger)$, $\langle \alpha | \hat{x}_2 | \alpha \rangle = \frac{1}{2i}(\alpha - \alpha^*) = \text{Im } \alpha$

$$\hat{x}_1^2 = \frac{1}{4}(\hat{a}^2 + \hat{a}^{\dagger 2} + \hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a})$$

$$\langle \alpha | \hat{x}_1^2 | \alpha \rangle = \langle \hat{x}_1^2 \rangle_\alpha = \frac{1}{4}(\alpha^2 + \alpha^{*2} + 2|\alpha|^2 + 1)$$

$$= \frac{1}{4}[(\alpha + \alpha^*)^2 + 1] = (\text{Re } \alpha)^2 + \frac{1}{4}$$

$$\langle \hat{x}_2^2 \rangle_\alpha = -\frac{1}{4}(\alpha^2 + \alpha^{*2} - 2|\alpha|^2 - 1) = -\frac{1}{4}[(\alpha - \alpha^*)^2 - 1] = (\text{Im } \alpha)^2 + \frac{1}{4}$$

$$\Delta x_1 = \sqrt{\langle \hat{x}_1^2 \rangle - \langle \hat{x}_1 \rangle^2} = \frac{1}{2} = \Delta x_2, \quad \Delta x_1 \Delta x_2 = \frac{1}{4} \text{ 最小不确定度}$$

d. 三维谐振子

$-qE\hat{x}$ (电场中附加项)

$$\hat{H} = \sum_{i=x,y,z} \left(\frac{\hat{p}_i^2}{2m} + \frac{1}{2} m \omega^2 \hat{r}_i^2 \right) \quad [\hat{r}_i, \hat{p}_j] = i \hbar \delta_{ij} \quad [\hat{a}_i, \hat{a}_j^\dagger] = \delta_{ij}$$

$$\hat{H} = (\hat{N}_x + \hat{N}_y + \hat{N}_z + \frac{3}{2}) \hbar \omega \quad \text{直积 } |n_x\rangle |n_y\rangle |n_z\rangle = |n_x, n_y, n_z\rangle$$

$$E_{n_x, n_y, n_z} = (n_x + n_y + n_z + \frac{3}{2}) \hbar \omega, \quad n_x, n_y, n_z = 0, 1, 2, \dots$$

当 $E = \frac{3}{2} \hbar \omega$ 时, $|100\rangle |010\rangle |001\rangle$ 三者简并

简并子空间
 $\langle n_x=1, n_y=0 | n_x=0, n_y=1 \rangle = 0$
 $\Rightarrow \langle n_x=1 | \otimes \langle n_y=0 | n_x=0 \rangle \otimes | n_y=1 \rangle$
 $\Rightarrow 0$

$$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2} m \omega^2 \hat{x}^2 - qE\hat{x} = \frac{\hat{p}^2}{2m} + \frac{1}{2} m \omega^2 \left(\hat{x} - \frac{qE}{m\omega^2} \right)^2 - E_0, \quad \text{仍有 } [\hat{x}, \hat{p}] = i \hbar$$

利用 $[\hat{p}, \hat{x}] = -i \hbar$, 则 $[\hat{p}, \hat{x}^n] = n(-i \hbar) \hat{x}^{n-1}$

$f(\hat{x}) = f(0) + f'(0)\hat{x} + \frac{f''(0)}{2!}\hat{x}^2 + \dots$, 则 $[\hat{p}, f(\hat{x})] = -i \hbar \frac{df(x)}{dx}$

第四章 表象representation与表象交换

1 表象与量子态的表达方式

矢量 — 量子态

坐标系 — 表象

坐标 — 量子态表示 \Rightarrow 矩阵、波函数

2 如何确定表象 $\rightarrow \langle \psi | \psi \rangle$

$|\psi\rangle = \sum_n c_n |A_n\rangle$ 用力学量的本征态作为表象的基，可以用本征值为量子数特定基组中的态

a 如 \hat{A} 的本征态非简并 则它构成一组正交归一完备的基

Hermitian 任意态均可用其展开。 $|\psi\rangle = \sum_n c_n |A_n\rangle$ ，且每个均可用 A_n 唯一确定

b \hat{A} 的本征值存在简并

$\{A_1, A_2, \dots, A_m, \dots, A_n\}$ 对于简并子空间的正交基与维度

$\{|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_\alpha\rangle, \dots, |\psi_s\rangle, \dots, |\psi_s\rangle\}$
 \uparrow
 s个

(i) $\hat{A}|\psi_{\alpha\mu}\rangle = A_m |\psi_{\alpha\mu}\rangle \quad \alpha=1, 2, \dots, s$

(ii) 构造 $\{|\psi_{\alpha\mu}\rangle\}$ 两两正交 (Schmidt 正交化)

(iii) 使所有 $\sum_\alpha c_\alpha |\psi_{\alpha\mu}\rangle$ 构成 \hat{A} 算符本征值 A_m 的简并子空间。
 ($\alpha=1, 2, \dots, s$)

中性质

(i) $\hat{A}(\sum_\alpha c_\alpha |\psi_{\alpha\mu}\rangle) = A_m (\sum_\alpha c_\alpha |\psi_{\alpha\mu}\rangle)$

(ii) 简并子空间内任意态与本征值不为 A_m 的本征态正交

(iii) 简并子空间内的任意态均可表示为 $\{|\psi_{\alpha\mu}\rangle\}$ 的线性叠加

所有 \hat{A} 的本征值为 A_m 的本征态均属于该子空间

① 如何唯一地确定 $\{|\psi_{\alpha\mu}\rangle\}$? \Rightarrow 再引入一力学量 \hat{B} ，其满足 $[\hat{A}, \hat{B}] = 0$ ，由 \hat{B} 存在简并子空间内的本征问题确定一组 $\{|\psi_{\alpha\mu}\rangle\}$

$\begin{cases} \hat{A}|\psi_{\alpha\mu}\rangle = A_m |\psi_{\alpha\mu}\rangle \\ \hat{B}|\psi_{\alpha\mu}\rangle = B_{\alpha\mu} |\psi_{\alpha\mu}\rangle \end{cases} \Rightarrow |\psi_{\alpha\mu}\rangle \Rightarrow |A_m, B_{\alpha\mu}\rangle$
 共同本征态

定理：若 $[\hat{A}, \hat{B}] = 0$ ，则 \hat{A}, \hat{B} 有共同本征态

① 非简并： $0 = \langle \psi_n | \hat{A}\hat{B} - \hat{B}\hat{A} | \psi_n \rangle = (A_n - A_k) \langle \psi_n | \hat{B} | \psi_n \rangle \Rightarrow \langle \psi_n | \hat{B} | \psi_n \rangle = B_n \delta_{nk}$

$\therefore \hat{B}|\psi_n\rangle = \sum_k |\psi_k\rangle \langle \psi_k | \hat{B} | \psi_n \rangle = \sum_k |\psi_k\rangle B_n \delta_{nk} = B_n |\psi_n\rangle$

② 简并： $\hat{A}(\hat{B}|\psi_{\alpha\mu}\rangle) = A_m \hat{B}|\psi_{\alpha\mu}\rangle, \hat{B}|\psi_{\alpha\mu}\rangle = \sum_p B_{\alpha\mu p} |\psi_{\alpha p}\rangle$

$|\psi\rangle = \sum_n c_n |\psi_n\rangle, \hat{B}|\psi\rangle = \hat{B}|\psi\rangle \Rightarrow \sum_n B_{\alpha\mu n} c_n = B c_p \rightarrow \begin{pmatrix} B_{p1} & B_{p2} & \dots & B_{ps} \end{pmatrix} \begin{pmatrix} c_1 \\ \vdots \\ c_s \end{pmatrix} = B \begin{pmatrix} \vdots \\ c_p \\ \vdots \end{pmatrix}$

以矩阵的视角看

$$\langle \psi_n | A | \psi_n \rangle \quad \langle \psi_n | B | \psi_n \rangle = \langle \psi_n | B | \psi_n \rangle^*$$

$$\begin{pmatrix} A_1 & & & 0 \\ & A_2 & & \\ & & \boxed{\begin{matrix} A_m & 0 \\ 0 & A_m \end{matrix}} & \\ 0 & & & \ddots \\ & & & & A_n \end{pmatrix} \quad \begin{pmatrix} B_1 & & & \\ & B_2 & & \\ & & \boxed{\text{非对角}} & \\ & & & \ddots \\ & & & & B_n \end{pmatrix}$$

厄米矩阵, 可酉化为对角阵

$$B_m^{(A)} = B_m^{(B)}$$

② 如 B 在 A 的简并子空间内仍存在简并, 找第三个力学量 C, $[A, C] = [B, C] = 0$, 并在 B 的残余子空间内求 C 的本征问题, 依此类推, 直至找到一组两两对易的力学量算符, 它们的共同本征态由这些算符的本征值完全确定, 则 $\{A, B, \dots\}$ 构成体系的力学量完备集

$$|\psi\rangle = \sum_{\alpha, \beta, \dots, r} C_{\alpha, \beta, \dots, r} |\alpha, \beta, \dots, r\rangle$$

此时 $\{|\alpha, \beta, \dots, r\rangle\}$ 构成由该力学量完备集所确定的表象的基。

例: 一维运动 \hat{x}, \hat{p} 都构成力学量完备集 $\hat{x}|\alpha\rangle = \alpha|\alpha\rangle, |\psi\rangle = \int dx \psi(x)|x\rangle$

例: 二维谐振子 $\hat{H} = (\hat{N}_x + \hat{N}_y + 1)\hbar\omega$

③ 量子涨落, 不确定性关系及共同本征态

$$\langle \psi | A | \psi \rangle = \bar{A}$$

$$\text{涨落 } \Delta A = \sqrt{\langle \psi | (A - \bar{A})^2 | \psi \rangle} \quad \text{令 } \Delta A = 0 \Rightarrow \hat{A}|\psi\rangle = \bar{A}|\psi\rangle$$

而 $\Delta x \cdot \Delta p = 0 \Rightarrow$ 找不到 \hat{x}, \hat{p} 的共同本征态。

不确定性关系

依 Schwarz ineq. $\langle \alpha | \alpha \rangle \langle \beta | \beta \rangle \geq |\langle \alpha | \beta \rangle|^2$

$$\text{相态 } |\alpha\rangle = (A - \bar{A})|\psi\rangle, |\beta\rangle = (B - \bar{B})|\psi\rangle$$

$$\text{则 } \langle \alpha | \alpha \rangle = \Delta A^2, \langle \beta | \beta \rangle = \Delta B^2, \langle \alpha | \beta \rangle = \langle \psi | \frac{1}{2}[A, B] + \frac{1}{2}(A - \bar{A})(B - \bar{B}) | \psi \rangle$$

$$[\hat{A}, \hat{B}]^\dagger = -[A, B] \leftarrow \text{反厄米算符}$$

$$\therefore \langle [A, B] \rangle^* = -\langle [A, B] \rangle \Rightarrow \langle [A, B] \rangle \text{ 为纯虚数}$$

$$[A - \bar{A}, B - \bar{B}]^\dagger = [A - \bar{A}, B - \bar{B}] \Rightarrow \langle [A - \bar{A}, B - \bar{B}] \rangle \text{ 为实数}$$

$$\Rightarrow (\Delta A)^2 (\Delta B)^2 \geq \frac{1}{4} |\langle [A, B] \rangle|^2 + \frac{1}{4} |\langle iA - \bar{i}B \rangle|^2$$

$$\Rightarrow (\Delta A)^2 (\Delta B)^2 \geq \frac{1}{4} |\langle [A, B] \rangle|^2 \quad \Delta A \Delta B \geq \frac{1}{2} |\langle [A, B] \rangle|$$

如 $[A, B] = 0$, 则 $\Delta A \Delta B$ 同时为零, 有共同本征态

若 $\neq 0$, 则 $\Delta A \Delta B$ 在 $\langle [A, B] \rangle = 0$, 且 $\langle iA - \bar{i}B \rangle = 0$ 时, 可取等。

3. 分离谱表示 (矩阵展幕)

(1) 算符在其本征态为基的表象下, 其矩阵为对角阵 $\langle \psi_n | \hat{A} | \psi_m \rangle = \delta_{nm} A_m$

(2) 若某算符在某组基矢下的矩阵表示为对角阵, 则该组基矢为算符的本征态, 其对角元为本征值。 $\hat{A} | \psi_n \rangle = \sum_m | \psi_m \rangle \langle \psi_m | \hat{A} | \psi_n \rangle = A_n | \psi_n \rangle$

(3) 期望值 $\langle \psi | \hat{A} | \psi \rangle = \sum_{nm} \langle \psi | \psi_n \rangle \langle \psi_n | \hat{A} | \psi_m \rangle \langle \psi_m | \psi \rangle = \sum_{nm} C_m^* A_{nm} C_n$

(4) $\hat{A} = \sum_{ij} | \psi_i \rangle \langle \psi_j | \hat{A} | \psi_j \rangle \langle \psi_j | = \sum_{ij} A_{ij} | \psi_i \rangle \langle \psi_j |$

4. 分离谱的表象变换

$$\{ | \psi_n \rangle \} \quad \langle \psi_n | \psi_m \rangle = \delta_{nm}$$

$$\sum_n | \psi_n \rangle \langle \psi_n | = \hat{I}$$

$$\{ | \varphi_n \rangle \} \quad \langle \varphi_n | \varphi_m \rangle = \delta_{nm}$$

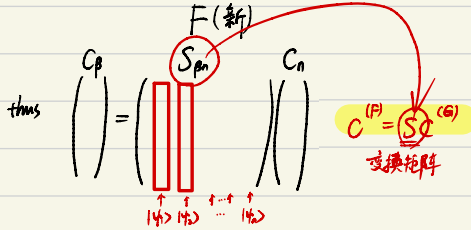
$$\sum_n | \varphi_n \rangle \langle \varphi_n | = \hat{I}$$

$G^{(10)}$

$$| \psi \rangle = \sum_n C_n | \psi_n \rangle = \sum_n C_n | \varphi_n \rangle$$

$$\Rightarrow \sum_n C_n \langle \varphi_n | \psi \rangle = C_p$$

$$\Rightarrow C_p = \sum_n \langle \varphi_n | \psi \rangle C_n = \sum_n S_{pn} C_n$$



$$(S^\dagger S)_{nm} = \sum_p (S^\dagger)_{np} (S)_{pm} = \sum_p \langle \varphi_n | \varphi_p \rangle \langle \varphi_p | \psi_m \rangle = \langle \varphi_n | \psi_m \rangle = \delta_{nm}$$

即 $S^\dagger S = I = S S^\dagger$ 或 $S^\dagger = S^{-1}$ S 为正交矩阵 (Unitary Matrix) 其不改变向量的模

因 $\langle \psi | \psi \rangle = \langle \varphi | \varphi \rangle$

$$\text{因 } \langle \psi | \psi \rangle = (C^{(F)})^\dagger C^{(F)} = (C^{(G)})^\dagger S^\dagger S C^{(G)} = (C^{(G)})^\dagger C^{(G)}$$

对任意 \hat{A} , $A_{op} = \langle \varphi_n | \hat{A} | \varphi_p \rangle = \sum_m \sum_n \langle \varphi_n | \psi_m \rangle \langle \psi_m | \hat{A} | \psi_n \rangle \langle \psi_n | \varphi_p \rangle = \sum_m \sum_n S_{nm} A_{mn} (S^\dagger)_{pn}$

$$\Rightarrow A^{(F)} = S A^{(G)} S^\dagger, \quad S^\dagger A^{(F)} S = A^{(G)}$$

例: $f(\hat{A}) = \sum_n \frac{f^{(n)}(a)}{n!} \hat{A}^n \quad f^{(F)} = S f^{(G)} S^\dagger \quad \text{with } C^{(F)} = S C^{(G)}$

$$| \psi \rangle = \sum_n C_n | \psi_n \rangle \quad | \varphi \rangle = \sum_n C_n | \varphi_n \rangle$$

5. 连续谱表象 $\{|r\rangle\} \{|p\rangle\}$

a. 坐标表象

定义 $\langle r|\psi\rangle = \psi(r)$
 正交性 $\langle r|r'\rangle = \delta(r-r')$ Dirac-delta function
 完备性 $\int dr |r\rangle\langle r| = \hat{I}$

$$|\psi\rangle = \int dr \psi(r) |r\rangle$$

$$\langle\psi| = \int dr \langle r|\psi\rangle\langle r|$$

$$\langle\psi|\psi\rangle = \int dr \psi^*(r)\psi(r)$$

算符的表示 $A|\psi\rangle = \int dr' dr'' |r\rangle\langle r|A|r'\rangle\langle r'|\psi\rangle$

$$|\varphi\rangle = \int dr \varphi(r) |r\rangle$$

故 $|\varphi\rangle = \hat{A}|\psi\rangle \Leftrightarrow \varphi(r) = \int dr' \langle r|A|r'\rangle \psi(r') \Leftrightarrow a_n = \sum_m A_{nm} c_m$

$\langle r|\hat{r}|r'\rangle = r\delta(r-r')$

$\langle r|\hat{V}(\hat{r})|r'\rangle = V(r)\delta(r-r')$

$\langle r|\hat{p}|r'\rangle = ?$

一维情况 考察 $\langle x|[r, \hat{p}]|x\rangle = i\hbar \langle x|x'\rangle = i\hbar \delta(x-x')$
 $\Leftrightarrow \langle x|[r, \hat{p}]|x\rangle = (x-x')\langle x|\hat{p}|x\rangle$

即 $\langle x|\hat{p}|x\rangle = i\hbar \frac{\delta(x-x')}{x-x'} = -i\hbar \frac{\delta(x-x')}{x-x'}$

三维 $\langle r|\hat{p}|r'\rangle = -i\hbar \nabla_r \delta(r-r')$

$\langle r|\hat{A}(\hat{p})|r'\rangle = A(-i\hbar \nabla_r) \delta(r-r')$

考虑 δ 性质

$$\int f(x) \frac{d\delta(x)}{dx} dx \stackrel{\text{integrate by parts}}{=} \delta(x)f(x) \Big|_{-\infty}^{\infty} - \int \delta(x) f'(x) dx$$

thus $-\int \delta(x) f'(x) dx = \int f(x) \delta'(x) dx \Rightarrow -\int f'(x) f(x) = f(x) \delta(x)$

let $f(x) = x$, then $\delta'(x) = -x\delta(x)$

讨论 (i) $\langle x|\hat{p}|p\rangle = p\langle x|p\rangle$

$\langle x|\hat{p}|p\rangle = \int dx' \langle x|\hat{p}|x'\rangle \langle x'|p\rangle = \int dx' [-i\hbar \frac{\partial}{\partial x'} \delta(x-x')] \langle x'|p\rangle = \int dx' [i\hbar \frac{\partial}{\partial x'} \delta(x-x')] \langle x'|p\rangle$

$$\stackrel{\text{integrate by parts}}{=} -i\hbar \frac{\partial}{\partial x} \langle x|p\rangle$$

$\frac{1}{2\pi\hbar} \int e^{ip(x-x')/\hbar} dp = \delta(x-x')$

thus $-i\hbar \frac{\partial}{\partial x} \langle x|p\rangle = p\langle x|p\rangle \Rightarrow \langle x|p\rangle \propto e^{ipx/\hbar}$, $\langle r|p\rangle \propto e^{i\vec{p}\vec{r}/\hbar}$

(ii) 归一化?? $\langle r|p\rangle = \frac{e^{i\vec{p}\vec{r}/\hbar}}{(2\pi\hbar)^{3/2}}$ with $\delta(r-r')\langle r|p\rangle = \int d\vec{p}' \langle r|p'\rangle \langle p'|p\rangle = \frac{1}{(2\pi\hbar)^3} \int e^{i\vec{p}'(\vec{r}-\vec{r}')/\hbar} d\vec{p}' = \delta(r-r')$

(iii) $\langle r|\hat{r}|r'\rangle = -i\hbar \vec{r} \times \nabla_r \delta(r-r')$ $\langle r|\hat{A}(\hat{p})|r'\rangle = A(r, -i\hbar \nabla_r) \delta(r-r')$

$\langle r|\hat{H}|r\rangle = \langle r|\frac{\hat{p}^2}{2m} + V(\hat{r})|r\rangle = -\frac{\hbar^2}{2m} \nabla_r^2 \delta(r-r) + V(r) \delta(r-r)$

Schrödinger eq. $i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{H} |\psi\rangle$

考察 $i\hbar \frac{\partial}{\partial t} \langle r | \psi \rangle = i\hbar \frac{\partial}{\partial t} \psi(r) = \langle r | \hat{H} | \psi \rangle = \int d^3r' \langle r | \hat{H} | r' \rangle \psi(r') = -\frac{\hbar^2}{2m} \nabla^2 \psi(r) + V(r) \psi(r)$

以及 $\hat{H} |\psi\rangle = E |\psi\rangle \Rightarrow \left[-\frac{\hbar^2}{2m} \nabla^2 + V(r) \right] \psi(r) = E \psi(r)$

(iv) 期望值的做法 $\langle \psi | \hat{A} | \psi \rangle = \int d^3r \psi^*(r) A(r, -i\hbar \nabla) \psi(r)$

b. 动量表象 $\langle p | \hat{A} | p \rangle = \iint d^3r d^3r' \langle p | r \rangle \langle r | \hat{A} | r' \rangle \langle r' | p \rangle = \int d^3r r \langle p | r \rangle \langle r | p \rangle = \frac{1}{(2\pi\hbar)^3} \int d^3r (i\hbar \nabla) e^{-i\vec{p}\cdot\vec{r}/\hbar} e^{i\vec{p}'\cdot\vec{r}/\hbar} = i\hbar \nabla \delta(\vec{p}-\vec{p}')$

(i) $\begin{cases} \langle p | \hat{p} | p \rangle = p \delta(\vec{p}-\vec{p}') \\ \langle p | A(\hat{p}) | p \rangle = A(\vec{p}) \delta(\vec{p}-\vec{p}') \end{cases} \quad \begin{cases} \langle p | \hat{p} | p \rangle = i\hbar \nabla \delta(\vec{p}-\vec{p}') \\ \langle p | A(\hat{p}) | p \rangle = A(i\hbar \nabla) \delta(\vec{p}-\vec{p}') \end{cases}$

$i\hbar \nabla \varphi^*(r) = [-i\hbar \nabla \varphi(r)]^*$
 $= \left[\int d^3r' \langle r | \hat{p} | r' \rangle \langle r' | \varphi \rangle \right]^*$
 $= (\langle r | \hat{p} | \varphi \rangle)^* = (\hat{p} | \varphi \rangle)^\dagger | r \rangle$

(ii) \hat{p} 的厄米性

profe. $\langle \varphi | \hat{p} | \psi \rangle = \int d^3r \varphi^*(r) (-i\hbar \nabla) \psi(r) = \varphi^*(r) \psi(r) \Big|_{-\infty}^{\infty} + \int d^3r \psi(r) (i\hbar \nabla) \varphi^*(r) = 0 + \int \langle r | \psi \rangle (\hat{p} | \varphi \rangle)^\dagger | r \rangle d^3r$
 $= (\hat{p} | \varphi \rangle)^\dagger | \psi \rangle = \langle \varphi | \hat{p}^\dagger | \psi \rangle \Rightarrow \hat{p} = \hat{p}^\dagger$

6. 连续谱的表象变换

$|\psi\rangle = \int d^3r \psi(r) |r\rangle = \int d^3p \varphi(p) |p\rangle$
 $\langle r | \implies \int d^3r' \psi(r') \langle r | r' \rangle = \int d^3p \varphi(p) \langle r | p \rangle$
 $\begin{cases} \psi(r) = \int d^3p \varphi(p) \langle r | p \rangle \\ \varphi(p) = \int d^3r \psi(r) \langle p | r \rangle \end{cases}$ with $\langle r | p \rangle = \frac{1}{(2\pi\hbar)^{3/2}} e^{i\vec{p}\cdot\vec{r}/\hbar}$

$\langle r | \hat{A} | r \rangle = \int \langle r | p \rangle \langle p | \hat{A} | p \rangle \langle p | r \rangle d^3p$

连续谱 \iff 无穷维

$|\psi\rangle = \sum_n c_n |u_n\rangle \quad \begin{cases} c_n = \langle u_n | \psi \rangle = \int d^3r \langle u_n | r \rangle \langle r | \psi \rangle = \int d^3r u_n^*(r) \psi(r) \\ \psi(r) = \langle r | \psi \rangle = \sum_n \langle r | u_n \rangle \langle u_n | \psi \rangle = \sum_n u_n(r) c_n \end{cases}$

以及 $A_{nn} = \langle u_n | \hat{A} | u_n \rangle = \int d^3r d^3r' u_n^*(r) \langle r | \hat{A} | r' \rangle u_n(r')$

关于 $\psi_n(t)$ 的讨论 $\psi_n(t) = \langle r | \psi_n \rangle$

$$\begin{cases} \langle \psi_n | \psi_m \rangle = \delta_{nm} \Rightarrow \int d^3r \psi_n^*(r) \psi_m(r) = \delta_{nm} \\ \sum_n |\psi_n\rangle \langle \psi_n| = \hat{I} \Rightarrow \langle r | (\sum_n |\psi_n\rangle \langle \psi_n|) | r' \rangle = \langle r | r' \rangle \hat{I} = \delta(r-r') \end{cases} \quad \underline{\sum_n \psi_n(r) \psi_n^*(r) = \delta(r-r')}$$

例: $e^{i\hat{p}a/\hbar} |\psi\rangle$ (一维情况, 与到坐标表象下:

$$\langle x | e^{i\hat{p}a/\hbar} |\psi\rangle = \int dx' e^{i(-i\hbar \frac{\partial}{\partial x'}) a/\hbar} \delta(x-x') \psi(x') = e^{\frac{\partial}{\partial x} a} \psi(x) = \sum_n \frac{1}{n!} a^n \frac{\partial^n}{\partial x^n} \psi(x) = \psi(x+a)$$

即 $|\psi\rangle \rightarrow \psi(x)$ $e^{i\hat{p}a/\hbar} |\psi\rangle \rightarrow \psi(x+a)$

第五章 时间演化

- 时间与空间不同, 不做为 Operator, 仅为 parameter
- 之前的态与算符理论仅为某一时刻的刻画
- 存在多种等价描述方式

1. Schrödinger eq: $i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{H} |\psi\rangle$ *公设* NR

如 $|\psi\rangle$ 为 \hat{H} 本征态, 则 $\hat{H} |\psi\rangle = E |\psi\rangle = i\hbar \frac{\partial}{\partial t} |\psi\rangle$, 解为 $|\psi\rangle = e^{-iEt/\hbar} |\psi(0)\rangle \Rightarrow$ 定态 Stationary State

写在坐标表象: $i\hbar \frac{\partial}{\partial t} \psi(r,t) = [-\frac{\hbar^2}{2m} \nabla^2 + V(r)] \psi(r,t)$

Trick: Separate of Variables $\psi(r,t) = \psi(r) T(t) \Rightarrow \frac{i\hbar}{T(t)} \frac{dT(t)}{dt} = \frac{1}{\psi(r)} [-\frac{\hbar^2}{2m} \nabla^2 + V(r)] \psi(r) \stackrel{\text{let}}{=} E$

$\Rightarrow T(t) \propto e^{-iEt/\hbar}$, thus $\psi(r,t) = e^{-iEt/\hbar} \psi(r)$, with $[-\frac{\hbar^2}{2m} \nabla^2 + V(r)] \psi(r) = E \psi(r)$

任意不含时的力学量在定态下的期望值与测量值的概率分布均不随时间变化

证: (1) $\langle \psi_E | e^{iEt/\hbar} \hat{A} e^{-iEt/\hbar} | \psi_E \rangle = \langle \psi_E | \hat{A} | \psi_E \rangle$

(2) $\langle \psi_n | \psi_n \rangle = \langle \psi_n | \psi_n \rangle$ $|\langle \psi_n | \psi_E \rangle e^{-iEt/\hbar}|^2 = |\langle \psi_n | \psi_E \rangle|^2$

2. 任意态的时间演化 利用 Hermitian 的本征态正交完备性

$i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{H} |\psi\rangle$ with $\hat{H} |\psi_n\rangle = E_n |\psi_n\rangle$

thus $|\psi(t)\rangle = \sum_n C_n(t) |\psi_n\rangle \Rightarrow i\hbar \sum_n \frac{\partial}{\partial t} C_n(t) |\psi_n\rangle = \hat{H} \sum_n C_n(t) |\psi_n\rangle = \sum_n C_n(t) E_n |\psi_n\rangle \Rightarrow i\hbar \frac{\partial}{\partial t} C_n(t) = C_n(t) E_n \Rightarrow C_n(t) = e^{-iE_n t/\hbar} C_n(0)$

对演化 $i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{H} |\psi\rangle$

$\Rightarrow i\hbar \frac{\partial}{\partial t} \psi(r,t) = [-\frac{\hbar^2}{2m} \nabla^2 + V] \psi(r,t)$

特解 $[-\frac{\hbar^2}{2m} \nabla^2 + V] \psi_0(r) = E_0 \psi_0(r) \rightarrow$ 定态波函数 \Rightarrow 总解 $\psi(r,t) = \sum C_n e^{-iE_n t/\hbar} \psi_n(r)$

② $\{|\psi_0\rangle\}$ $|\psi\rangle = \sum C_n |\psi_0\rangle$

$\Rightarrow i\hbar \frac{\partial}{\partial t} C_n = \sum C_p \hat{H}_{np} C_p$ (i) 如 $\{|\psi_0\rangle\}$ 为 \hat{H} 的本征态, $\hat{H}_{np} = E_n \delta_{np}$

$i\hbar \frac{\partial}{\partial t} \begin{pmatrix} C_1 \\ \vdots \\ C_n \end{pmatrix} = \begin{pmatrix} E_1 C_1 \\ \vdots \\ E_n C_n \end{pmatrix}$

(ii) 如 $\{|\psi_0\rangle\}$ 非 \hat{H} 的本征态

3. 时间演化算符

let: $|\psi(t)\rangle = \hat{U}(t) |\psi(0)\rangle$, 代入 $i\hbar \frac{\partial}{\partial t} |\psi\rangle = \hat{H} |\psi\rangle \Rightarrow i\hbar \frac{\partial}{\partial t} \hat{U}(t) |\psi(0)\rangle = \hat{H} \hat{U}(t) |\psi(0)\rangle$

$\Rightarrow i\hbar \frac{\partial}{\partial t} \hat{U}(t) = \hat{H} \hat{U}(t)$

如 \hat{H} 不显含时, 则 $\hat{U}(t) = e^{-i\hat{H}t/\hbar}$ (展开成级数 $= \sum \frac{(-i\hat{H})^n}{n!} t^n = -\frac{i}{\hbar} \hat{H} t - \frac{1}{2!} \hat{H}^2 t^2 = -\frac{i}{\hbar} \hat{H} \hat{U}(t)$)

性质: $\langle \psi(t) | \psi(t) \rangle = \langle \psi(0) | \hat{U}^\dagger \hat{U} | \psi(0) \rangle = \langle \psi(0) | \psi(0) \rangle \Rightarrow \hat{U}^\dagger \hat{U} = \hat{I} \Rightarrow |\psi(t)\rangle = \hat{U}^\dagger |\psi(0)\rangle \Rightarrow \hat{U} \hat{U}^\dagger = \hat{I}$ 么正

例: 期望值的时间演化, Ehrenfest 定理

$\frac{d}{dt} \langle \psi(t) | \hat{A} | \psi(t) \rangle = \frac{1}{i\hbar} \langle [\hat{A}, \hat{H}] \rangle_\psi + \langle \frac{\partial \hat{A}}{\partial t} \rangle_\psi$

由 $\frac{d}{dt} |\psi(t)\rangle = \frac{1}{i\hbar} \hat{H} |\psi(t)\rangle$, $\frac{d}{dt} \langle \psi(t) | = -\frac{1}{i\hbar} \langle \psi(t) | \hat{H}$

$\Rightarrow i\hbar \frac{d}{dt} \langle \hat{A} \rangle_\psi = \langle [\hat{A}, \hat{H}] \rangle_\psi + i\hbar \langle \frac{\partial \hat{A}}{\partial t} \rangle_\psi$

如 \hat{H} 含时, 则形式解 $\hat{U}(t) = \int_0^t (-\frac{i}{\hbar}) \hat{H}(t') \hat{U}(t') dt' + \hat{U}(0)$
 可迭代求解 $\hat{U}(t) = \hat{I} + \int_0^t (-\frac{i}{\hbar}) \hat{H}(t') dt' + \int_0^t dt' \int_0^{t'} dt'' (-\frac{i}{\hbar}) \hat{H}(t') \hat{H}(t'') + \dots$
 编时算符 $\Rightarrow T e^{-\frac{i}{\hbar} \int_0^t \hat{H}(t') dt'}$

例在某组基下, 体系 \hat{H} 矩阵表示为 $\begin{pmatrix} 0 & \frac{\hbar}{2} \\ \frac{\hbar}{2} & 0 \end{pmatrix}$, 初态为 $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$, 求任意时刻 t 的态

法 I (推荐) 求 \hat{H} 的本征问题: $E_1 = \frac{\hbar}{2}, \begin{pmatrix} 1 \\ 1 \end{pmatrix}$
 $E_2 = -\frac{\hbar}{2}, \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

法 II: G 旧基: $\begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ -1 \end{pmatrix}$
 F 新基: $\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}$
 $S = \begin{pmatrix} |G_1\rangle & |G_2\rangle \\ \langle F_1| & \langle F_2| \end{pmatrix} = \begin{pmatrix} \langle 1,0 | \begin{pmatrix} 1 \\ 1 \end{pmatrix} & \langle 1,0 | \begin{pmatrix} 1 \\ -1 \end{pmatrix} \\ \langle 0,1 | \begin{pmatrix} 1 \\ 1 \end{pmatrix} & \langle 0,1 | \begin{pmatrix} 1 \\ -1 \end{pmatrix} \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$

② 态表示为 $\begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \left[\begin{pmatrix} 1 \\ 1 \end{pmatrix} + \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right]$

用 $G: \hat{H} \rightarrow \begin{pmatrix} \frac{\hbar}{2} & 0 \\ 0 & -\frac{\hbar}{2} \end{pmatrix} A^{(F)} = S A^{(G)} S^\dagger$

么正变换 $S e^{-i(\frac{\hbar}{2} - \frac{\hbar}{2})t/\hbar} S^\dagger \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \cos \frac{\hbar t}{2} \\ -i \sin \frac{\hbar t}{2} \end{pmatrix}$

③ 演化函数 $\frac{1}{2} e^{-i\frac{\hbar t}{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} + \frac{1}{2} e^{i\frac{\hbar t}{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} = \begin{pmatrix} \cos(\frac{\hbar t}{2}) \\ -i \sin(\frac{\hbar t}{2}) \end{pmatrix}$

$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} \frac{\hbar}{2} & 0 \\ 0 & -\frac{\hbar}{2} \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \begin{pmatrix} \frac{\hbar}{2} & 0 \\ 0 & -\frac{\hbar}{2} \end{pmatrix}$

$S^\dagger e^{-i(\frac{\hbar}{2} - \frac{\hbar}{2})t/\hbar} S = \begin{pmatrix} e^{-i\frac{\hbar t}{2}} & 0 \\ 0 & e^{i\frac{\hbar t}{2}} \end{pmatrix}$

依 Ehrenfest 关系 计算 $\langle \hat{A} \rangle_t = \langle [\hat{A}, \hat{H}] \rangle_t + i\hbar \langle \frac{\partial \hat{A}}{\partial t} \rangle_t$

守恒量为: 不显含时间且与 \hat{H} 对易的力学量 $[\hat{A}, \hat{H}] = 0$

如 $[\hat{A}, \hat{H}] = 0$, 则共同本征态 $\{ | \psi_n \rangle \}$ $\{ A_n, E_n \}$

4. 三种绘景 picture

a. Schrödinger Picture

$|\psi(t)\rangle$ 含时演化
 \hat{A} 不演化
 守恒 $\langle \psi(t) | \hat{A} | \psi(t) \rangle = \bar{A}(t)$
 $|\psi(t)\rangle = \hat{U} | \psi(0) \rangle$
 $C_n^2 = |\langle \psi_n | \psi(t) \rangle|^2$

b. Heisenberg Picture

态不演化但算符演化的情况 为保证 $\langle \hat{A} \rangle = \langle \psi | \hat{A} | \psi \rangle$ 不变, $\hat{A}_H = ?$

$$|\psi(0)\rangle_S = |\psi\rangle_H = \hat{U}^\dagger |\psi(t)\rangle_S \Rightarrow \langle \psi | \hat{A}_H | \psi \rangle_H = \langle \psi(t) | \hat{U} \hat{A}_H \hat{U}^\dagger | \psi(t) \rangle_S = \langle \psi(t) | \hat{A}_S | \psi(t) \rangle_S$$

$$\Rightarrow \begin{cases} \hat{A}_H = \hat{U}^\dagger \hat{A}_S \hat{U} \\ |\psi\rangle_H = \hat{U}^\dagger |\psi(t)\rangle_S \end{cases} \quad \text{完整的 Heisenberg Picture}$$

故 Heisenberg eq.: $\frac{d\hat{A}_H}{dt} = \hat{U}^\dagger \frac{\partial \hat{A}}{\partial t} \hat{U} + \frac{1}{i\hbar} [\hat{A}_H, \hat{H}_H] = \left(\frac{\partial \hat{A}}{\partial t} \right)_H + \frac{1}{i\hbar} [\hat{A}_H, \hat{H}_H]$

Trick $[\hat{p}, V(x)] = \sum_n \frac{V^{(n)}(x)}{n!} [\hat{p}, \hat{x}^n] = -i\hbar \sum_n \frac{V^{(n)}(x)}{(n-1)!} \hat{x}^{n-1} = -i\hbar \frac{\partial}{\partial x} V(x)$
 (直接用 $[\hat{p}, V(x)] = [\hat{p}, \hat{x}] V'(x)$ 亦可)

*c. 相互作用绘景

$$\hat{H} = \hat{H}_0 + \hat{V}$$

定义 $|\psi(t)\rangle_I = e^{i\hat{H}_0 t/\hbar} |\psi(t)\rangle_S = e^{i\hat{H}_0 t/\hbar} e^{-i\hat{H} t/\hbar} |\psi(0)\rangle_S$

$$\hat{A}_I = e^{i\hat{H}_0 t/\hbar} \hat{A}_S e^{-i\hat{H}_0 t/\hbar}$$

动力学方程 $\begin{cases} i\hbar \frac{d}{dt} \hat{A}_I = [\hat{A}_I, \hat{H}_0] + \left(\frac{\partial \hat{A}}{\partial t} \right)_I \\ i\hbar \frac{d}{dt} |\psi(t)\rangle_I = \hat{V}_I |\psi(t)\rangle_I \end{cases}$

此处 $\hat{V}_I = e^{i\hat{H}_0 t/\hbar} \hat{V}_S e^{-i\hat{H}_0 t/\hbar}$

1. 一维有限深势阱

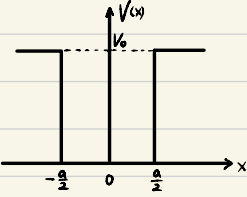
a. $E < V_0$, Bound State

能量本征方程 $\psi'' + \frac{2m}{\hbar^2}(E - V(x))\psi = 0$

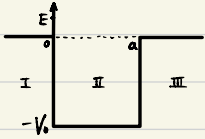
令 $\sqrt{2mE/\hbar^2} = k_0$, $\sqrt{2m(V_0 - E)/\hbar^2} = \beta$, 即有

$$\begin{cases} \psi'' + \frac{2mE}{\hbar^2}\psi = 0 & |x| \leq a/2 \Rightarrow \psi(x) = A \cos k_0 x + B \sin k_0 x \\ \psi'' - \beta^2 \psi = 0 & |x| \geq a/2 \Rightarrow \psi(x) = C e^{-\beta|x|} \end{cases}$$

偶宇称 奇宇称



b. $E > V_0$, Scattering



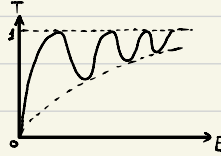
$$\psi(x) = \begin{cases} e^{ikx} + R e^{-ikx} & \text{I} & k = \sqrt{2mE}/\hbar \\ A e^{ikx} + B e^{-ikx} & \text{II} & K = \sqrt{2m(E+V_0)}/\hbar \\ S e^{ikx} & \text{III} \end{cases}$$

散射概率 $|R|^2 + |S|^2 = 1$

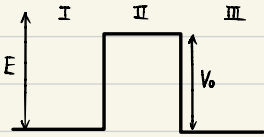
定义透射率 $T = |S|^2 = \left[1 + \frac{\sin^2 Ka}{4E(E+V_0)} \right]^{-1}$

当 $E = -V_0 + \frac{\hbar^2 \pi^2}{2ma^2} \rightarrow KR = n\pi$ (驻波条件)

产生共振透射



c. 方势阱



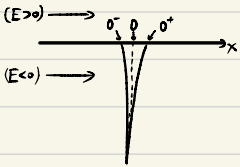
$$\psi(x) = \begin{cases} e^{ikx} + R e^{-ikx} & \text{I} & k = \sqrt{2mE}/\hbar \\ A e^{ikx} + B e^{-ikx} & \text{II} & K = \sqrt{2m(E+V_0)}/\hbar \\ S e^{ikx} & \text{III} \end{cases}$$

透射率: $T = |S|^2 = \left[1 + \frac{1}{E(E+V_0)} \sin^2(Ka) \right]^{-1}$

~ STM 的机制

2. 一维 δ 势阱问题

$V(x) = -\gamma \delta(x)$, $\gamma > 0$



Boundary Condition: $\psi'(0^+) - \psi'(0^-) = \frac{2m}{\hbar^2} \psi(0) \int_0^{0^+} V(x) dx = -\frac{2m}{\hbar^2} \gamma \psi(0)$

对 $x \neq 0$, $\psi'' + \frac{2mE}{\hbar^2}\psi = 0$, 设 $k = \sqrt{2mE}/\hbar$

① $E < 0$ (Bound State) 偶宇称 $\psi(x) = \begin{cases} A e^{-kx}, & x > 0 \\ A e^{kx}, & x < 0 \end{cases}$ BC $\Rightarrow k = \frac{m\gamma}{\hbar^2}$, $E = -\frac{m\gamma^2}{2\hbar^2}$

奇宇称 $\psi(x) = \begin{cases} A e^{-kx}, & x > 0 \\ -A e^{kx}, & x < 0 \end{cases} \Rightarrow A = 0$, trivial

3. 一维谐振子

$$\left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega^2 x^2\right) \psi(x) = E \psi(x)$$

first step: 无量纲化 \Rightarrow 定义 $\alpha = \sqrt{\frac{m\omega}{\hbar}}$, 则 $\xi = \alpha x$ 为无量纲的长度

定义 $\lambda = \frac{E}{\frac{\hbar^2 \omega}{2m}}$ 为无量纲的能量

next step: $\Rightarrow \frac{d^2 \psi}{d\xi^2} + (\lambda - \xi^2) \psi = 0$, with $\xi \rightarrow \pm \infty, \psi \rightarrow 0$ (Bound State) $\psi \sim A e^{-\xi^2/2} + B e^{\xi^2/2}$

令 $\psi = u(\xi) e^{-\xi^2/2}$, 代入原方程即得 $\frac{d^2 u}{d\xi^2} - 2\xi \frac{du}{d\xi} + (\lambda - 1)u = 0$ (Hermite eq.)

其解 $H_n(\xi) = (-1)^n e^{\xi^2} \frac{d^n}{d\xi^n} (e^{-\xi^2})$ (Hermite 多项式)

介绍级数解法: $u(\xi) = \sum_{k=0}^{\infty} C_k \xi^k$, $|\xi| \rightarrow +\infty$, 代入可得递推关系为 $C_{k+2} = \frac{2k - \lambda + 1}{(k+1)(k+2)} C_k$

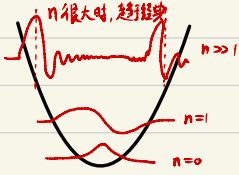
为使 $\psi(\xi)|_{\xi \rightarrow +\infty} = 0$, 则 $u(\xi)$ 的级数展开必须被截断, otherwise $C_{k+2} \sim \frac{2}{k} C_k \Rightarrow C_k \sim \frac{1}{(k/2)!}$

$\Rightarrow u = \sum_k \frac{1}{(k/2)!} \xi^k = e^{\xi^2} \Rightarrow \psi = e^{+\xi^2/2}$ 发散

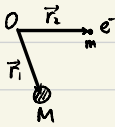
于是截断方式 $\lambda = 2k + 1 \begin{cases} C_0 \sim \text{偶数项} \\ C_1 \sim \text{奇数项} \end{cases} \Rightarrow \frac{E}{\frac{\hbar^2 \omega}{2m}} = 2k + 1 \Rightarrow E_n = (n + \frac{1}{2}) \hbar \omega, n = 0, 1, 2, \dots$

(推广至 3-Dim, $\psi = \prod \psi_x \psi_y \psi_z$ OR Sphere Coordinate)

$(n_x, n_y, n_z > 0)$



4. 氢原子 (三维中心力场的定态问题)



$$\left[-\frac{\hbar^2}{2M} \nabla_R^2 - \frac{\hbar^2}{2m} \nabla_r^2 + V(|\vec{R} - \vec{r}|)\right] \psi(\vec{R}, \vec{r}) = E \psi(\vec{R}, \vec{r})$$

$$\text{令 } \begin{cases} \vec{R} = \frac{m}{m+M} \vec{r} + \frac{M}{m+M} \vec{r} \\ \vec{r} = \vec{R} - \vec{r} \quad (M \gg m) \end{cases} \text{ 则 } \left[-\frac{\hbar^2}{2(M+m)} \nabla_R^2 - \frac{\hbar^2}{2m} \nabla_r^2 + V(|\vec{r}|)\right] \psi(\vec{R}, \vec{r}) = E \psi(\vec{R}, \vec{r})$$

$M \gg m$ Born-Oppenheimer 近似

即 R, r 为分离变量, $\psi(\vec{R}, \vec{r}) = \psi_c(\vec{R}) \psi_e(\vec{r})$, ① 质心运动为平面波: $-\frac{\hbar^2}{2(M+m)} \nabla_R^2 \psi_c(\vec{R}) = E_c \psi_c(\vec{R})$

② 相对运动为 $-\frac{\hbar^2}{2m} \nabla_r^2 + V(|r|) \psi_e(\vec{r}) = E \psi_e(\vec{r})$ 球对称问题, 辅助有 $\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \frac{\partial}{\partial r}) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta \frac{\partial}{\partial \theta}) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \varphi^2}$

$$\text{分离变量, 令 } \psi(r, \theta, \varphi) = R(r) Y(\theta, \varphi), \text{ 得 } \left\{ \frac{1}{R(r)} \frac{d}{dr} \left(r^2 \frac{dR}{dr} \right) - \frac{2m}{\hbar^2} [V(r) - E] \right\} + \frac{1}{Y(\theta, \varphi)} \left\{ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2 Y}{\partial \varphi^2} \right\} = 0$$

应有 $(\lambda) = -(\mu) = l(l+1)$

解 (*) 得球谐函数 $Y_l^m(\theta, \varphi)$ $l = 0, 1, 2, \dots, m = -l, -l+1, \dots, l-1, l$

解 (**), 令 $u(r) = rR(r)$, 则 $-\frac{\hbar^2}{2m} \frac{d^2 u}{dr^2} + [V(r) + \frac{\hbar^2}{2m} \frac{l(l+1)}{r^2}] u(r) = E u(r)$

下面令 $V(r) = -\frac{e^2}{4\pi \epsilon_0 r}$, 无量纲化 $K = \sqrt{\frac{2mE}{\hbar^2}}$, 则 $\rho = \chi r$ 无量纲: $\frac{d^2 u}{d\rho^2} - \left[1 - \frac{\rho}{a} + \frac{l(l+1)}{\rho^2}\right] u = 0$, $\rho_0 = \frac{me^2}{2\hbar^2 \epsilon_0 K}$

其渐近行为 $\begin{cases} \rho \rightarrow \infty, u'' - u = 0, u \sim A e^{-\rho} + B e^{\rho} \\ \rho \rightarrow 0, u'' - \frac{l(l+1)}{\rho^2} u = 0, u \sim A \rho^{l+1} + B \rho^{-l} \end{cases} \text{ 令 } u(\rho) = \rho^{l+1} e^{-\rho} v(\rho)$
 $\Rightarrow \rho v'' + 2(l+1 - \rho) v' + (\rho_0 - 2l - 2) v = 0$

令 $v = \sum_k C_k \rho^k \Rightarrow C_{k+1} = \frac{2(k+l+1)}{(k+1)(k+2l+2)} C_k$, 当 $k \rightarrow \infty$ 时, $C_k \sim \frac{2^k}{k!} C_0 \Rightarrow v \sim e^{\rho}$ 则 u 会发散

级数需截断 $\Rightarrow \rho_0 = 2(k_{max} + l + 1) = 2n, n = 1, 2, \dots$

得到 $E_n = -\frac{\hbar^2 k^2}{2m} = -\frac{\hbar^2}{2ma_0^2} \frac{1}{n^2}$, $a_0 = \frac{4\pi\epsilon_0 \hbar^2}{me^2}$ 为 Bohr Radius

进而波函数 $\psi(r) = L_{n-1}^{2l+1}(2\rho) \rightarrow$ 广义 Legendre 多项式

$$\Rightarrow \psi_{nlm}(r) = \sqrt{\left(\frac{2}{na_0}\right)^3 \frac{n-l-1!}{2^n [(n+l)!]^3}} e^{-\frac{r}{na_0}} \left(\frac{2r}{na_0}\right)^l L_{n-l-1}^{2l+1}\left(\frac{2r}{na_0}\right) Y_l^m(\theta, \varphi)$$

$= \langle r | nlm \rangle$ $E_n \sim \frac{1}{n^2} \sim |nlm\rangle \Rightarrow E_n$ 有 $\sum_{l=0}^{n-1} (2l+1) = n^2$ 重简并. 考虑 Spin 后为 $2n^2$.

第六章 角动量

1. 轨道角动量

Operator $\hat{L} = \hat{r} \times \hat{p}$ with $[\hat{L}^2, \hat{L}_i] = 0$, $[\hat{L}_i, \hat{L}_j] = i\hbar \epsilon_{ijk} \hat{L}_k$

$$\begin{cases} \hat{L}^2 Y_l(\theta, \varphi) = l(l+1)\hbar^2 Y_l(\theta, \varphi) \\ \hat{L}_z Y_l(\theta, \varphi) = m\hbar Y_l(\theta, \varphi) \end{cases} \quad \text{球谐函数 } Y_l^m(\theta, \varphi) = (-1)^m \sqrt{\frac{(l-m)! (l+m)!}{(l+m)! 4^m}} P_l^m(\cos\theta) e^{im\varphi}$$

在 Dirac 符号下, 只需掌握

$$\begin{cases} \hat{L}^2 |l, m\rangle = l(l+1)\hbar^2 |l, m\rangle \\ \hat{L}_z |l, m\rangle = m\hbar |l, m\rangle \end{cases} \quad \text{with } Y_l^m(\theta, \varphi) = \langle r | l, m \rangle$$

Properties: i) $\langle l, m | l', m' \rangle = \delta_{ll'} \delta_{mm'}$

ii) $\sum_{l,m} |l, m\rangle \langle l, m| = \hat{I}$

Trick: 利用 $(x, y, z) \leftrightarrow (r, \theta, \varphi)$ 代数关系可

2. 角动量的代数性质

$$\textcircled{1} \hat{J}_+ \hat{J}_\pm |j, m\rangle = \hat{J}_\pm \hat{J}_\pm |j, m\rangle = l(l+1)\hbar^2 \hat{J}_\pm |j, m\rangle \quad \text{with } [\hat{L}^2, \hat{L}_i] = 0$$

$$\textcircled{2} \hat{J}_\pm |j, m\rangle = \sqrt{l(l+1) - m(m\pm 1)} \hbar |j, m\pm 1\rangle$$

3. 自旋 Spin

$S = \frac{1}{2}$ Pauli Operator $\hat{S}_i = \frac{\hbar}{2} \sigma_i$ 在 $\{S_x, S_y, S_z\}$ 表象下

Pauli Matrix $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ $\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

对任意 2×2 矩阵总可用 $\{I, \sigma_x, \sigma_y, \sigma_z\}$ 展开.

e.g. 求 σ_y 算符的本征值与本征态, 如电子处于 $(\alpha|1\rangle + \beta|2\rangle)$ 自旋态

问测 S_y 的可能测值与对应几率.

法一: $\sigma_y \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \Rightarrow \lambda = i, \frac{\hbar}{2} \begin{pmatrix} 1 \\ i \end{pmatrix} \quad \lambda = -i, \frac{\hbar}{2} \begin{pmatrix} 1 \\ -i \end{pmatrix}$

$\therefore |\psi\rangle = \langle 1|\psi\rangle |1\rangle + \langle 2|\psi\rangle |2\rangle$

$\therefore \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \frac{\hbar}{2} (\alpha - i\beta) \frac{1}{2} \begin{pmatrix} 1 \\ i \end{pmatrix} + \frac{\hbar}{2} (\alpha + i\beta) \frac{1}{2} \begin{pmatrix} 1 \\ -i \end{pmatrix}$

法二: $\frac{\hbar}{2} \begin{bmatrix} 1 & -i \\ i & 1 \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \end{bmatrix} = \begin{bmatrix} \frac{\hbar}{2} (\alpha - i\beta) \\ \frac{\hbar}{2} (\alpha + i\beta) \end{bmatrix}$

e.g. 转动算符的矩阵表示 $e^{-i\hat{S}_z \phi / \hbar} = e^{-i\hat{\sigma}_z \phi / 2}$

with $(\hat{\sigma} \cdot \vec{a})(\hat{\sigma} \cdot \vec{b}) = \hat{\sigma}_j a_j \hat{\sigma}_k b_k = (\frac{1}{2} \{ \hat{\sigma}_j, \hat{\sigma}_k \} + \frac{1}{2} [\hat{\sigma}_j, \hat{\sigma}_k]) a_j b_k$

& $\{ \hat{\sigma}_i, \hat{\sigma}_j \} = 2 \delta_{ij}, [\hat{\sigma}_i, \hat{\sigma}_j] = 2i \epsilon_{ijk} \hat{\sigma}_k$

thus $(\hat{\sigma} \cdot \vec{a})(\hat{\sigma} \cdot \vec{b}) = a_j b_j + i \epsilon_{jkl} \hat{\sigma}_l a_j b_k = \vec{a} \cdot \vec{b} + i(\vec{a} \times \vec{b}) \cdot \hat{\sigma}$

noticing that $(\hat{\sigma} \cdot \vec{n})^2 = 1$, expanding it:

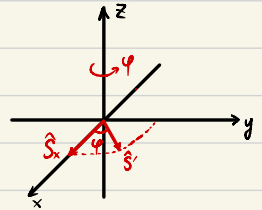
$$e^{-i(\hat{\sigma} \cdot \vec{n}) \phi / 2} = \left[1 - \frac{(\hat{\sigma} \cdot \vec{n})^2 \phi^2}{2!} + \frac{(\hat{\sigma} \cdot \vec{n})^4 \phi^4}{4!} + \dots \right] + \left[-i(\hat{\sigma} \cdot \vec{n}) \frac{\phi}{2} + i \frac{(\hat{\sigma} \cdot \vec{n})^3 \phi^3}{3!} + \dots \right]$$

$$= \cos(\frac{\phi}{2}) \hat{I} - i(\hat{\sigma} \cdot \vec{n}) \sin(\frac{\phi}{2})$$

$\Rightarrow e^{-i(\hat{\sigma} \cdot \vec{n}) \phi} = \cos \phi \hat{I} - i \sin \phi (\hat{\sigma} \cdot \vec{n})$

e.g. 转动算符

(1) $e^{-iS_z \phi / \hbar} \hat{S}_x e^{iS_z \phi / \hbar} = \frac{\hbar}{2} e^{-i\sigma_z \phi / 2} \sigma_x e^{i\sigma_z \phi / 2} = \cos \phi \hat{S}_x + \sin \phi \hat{S}_y$



(2) 在 $\{S_x, S_z\}$ 的本征基下, 求 \hat{S}_n 在 $\vec{n} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$ 方向上分量的矩阵表示与本征态.

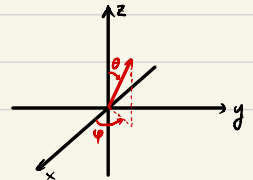
法一: $\hat{S}_n = \hat{S} \cdot \vec{n} = \sin \theta \cos \phi \hat{S}_x + \sin \theta \sin \phi \hat{S}_y + \cos \theta \hat{S}_z$

$$\Rightarrow \frac{\hbar}{2} \begin{bmatrix} \cos \theta & \sin \theta e^{-i\phi} \\ \sin \theta e^{i\phi} & -\cos \theta \end{bmatrix} \Rightarrow \begin{cases} \frac{\hbar}{2}, & \begin{bmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} \end{bmatrix} \\ -\frac{\hbar}{2}, & \begin{bmatrix} -\sin \frac{\theta}{2} e^{-i\phi/2} \\ \cos \frac{\theta}{2} e^{i\phi/2} \end{bmatrix} \end{cases}$$

法二: 定义转动算符由两步完成

$$\hat{U} = e^{-i\frac{\theta}{2} \hat{\sigma}_z} e^{-i\frac{\phi}{2} \hat{\sigma}_x}$$

$$= \begin{bmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} & -\sin \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} & \cos \frac{\theta}{2} e^{i\phi/2} \end{bmatrix}$$



逆换法: $\hat{U} \hat{S}_z \hat{U}^\dagger = \frac{\hbar}{2} \begin{pmatrix} \cos\theta & \sin\theta e^{-i\varphi} \\ \sin\theta e^{i\varphi} & -\cos\theta \end{pmatrix}$ 同时有 $\begin{cases} |1_0\rangle = \hat{U}|1_z\rangle = \hat{U} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \cos\frac{\theta}{2} e^{-i\frac{\varphi}{2}} \\ \sin\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{pmatrix} \\ |1_1\rangle = \hat{U}|1_z\rangle = \hat{U} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} -\sin\frac{\theta}{2} e^{-i\frac{\varphi}{2}} \\ \cos\frac{\theta}{2} e^{i\frac{\varphi}{2}} \end{pmatrix} \end{cases}$

e.g: 自旋 $\frac{1}{2}$ 的电子在外磁场中的 Hamiltonian 为 $\hat{H} = A \hat{S} \cdot \vec{B}$ 假设磁场 z 向, 电子初态为 S_x 的本征值 $\frac{\hbar}{2}$ 的本征态.

求 t 时刻 S_x, S_y, S_z 的期望值及测值几率分布.

$\hat{H} = A B S_x, \hat{U} = e^{-i \frac{A B}{\hbar} \hat{S}_x t} \Rightarrow \begin{pmatrix} e^{-i \frac{A B}{\hbar} t} & 0 \\ 0 & e^{i \frac{A B}{\hbar} t} \end{pmatrix}$

$|1_x\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, |1_y\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

$|\psi(t)\rangle = \langle 1_x | \psi(t) \rangle |1_x\rangle + \langle 1_y | \psi(t) \rangle |1_y\rangle = \cos\frac{\phi t}{2} |1_x\rangle - i \sin\frac{\phi t}{2} |1_y\rangle$

即 $|1_x\rangle$ 绕 z 轴转 $\frac{A B t}{\hbar}$ 角

$|\psi(t)\rangle = \hat{U}|1_x\rangle = \frac{\hbar}{2} \begin{pmatrix} e^{-i\phi t/2} \\ e^{i\phi t/2} \end{pmatrix}$ with $\phi = AB$

测值: $\langle \hat{S}_z \rangle_t = 0 \begin{cases} \frac{\hbar}{2}, P = \frac{1}{2} \\ -\frac{\hbar}{2}, P = -\frac{1}{2} \end{cases} \quad \langle \hat{S}_x \rangle_t = \frac{\hbar}{2} \cos \phi t \begin{cases} \frac{\hbar}{2}, P = \cos^2 \frac{\phi t}{2} \\ -\frac{\hbar}{2}, P = \sin^2 \frac{\phi t}{2} \end{cases} \quad \langle \hat{S}_y \rangle_t = \frac{\hbar}{2} \sin \phi t \begin{cases} \frac{\hbar}{2}, \frac{1}{2} [1 + \sin \phi t] \\ -\frac{\hbar}{2}, \frac{1}{2} [1 - \sin \phi t] \end{cases}$

e.g: Bloch Sphere 与 2×2 体系动力学

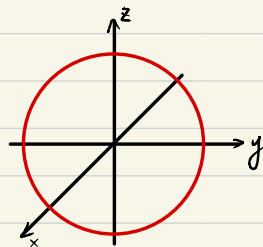
$H_0 = A I + B \sigma_x + C \sigma_y + D \sigma_z$

选取新势能零点, $H = B \sigma_x + C \sigma_y + D \sigma_z = E (\hat{\sigma} \cdot \vec{n})$ 不是 S_n 吗

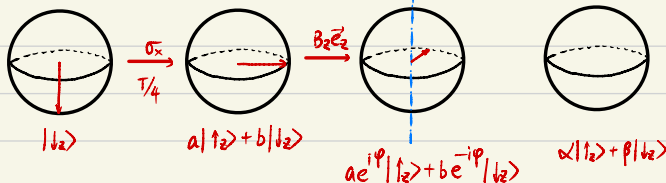
\Rightarrow 其本征态 $E_i: \begin{pmatrix} \cos\frac{\theta}{2} e^{-i\varphi/2} \\ \sin\frac{\theta}{2} e^{i\varphi/2} \end{pmatrix}; -E_i \begin{pmatrix} -\sin\frac{\theta}{2} e^{-i\varphi/2} \\ \cos\frac{\theta}{2} e^{i\varphi/2} \end{pmatrix}$

$|\uparrow\rangle \Rightarrow \theta=0, \varphi=0$ 的规范 $\hat{U}(\theta, \varphi) |\uparrow\rangle = \begin{pmatrix} \cos\frac{\theta}{2} e^{-i\varphi/2} \\ \sin\frac{\theta}{2} e^{i\varphi/2} \end{pmatrix}$

若取 $\vec{n} = -\vec{n}$, 则 $\begin{cases} \theta \rightarrow \pi - \theta \\ \varphi \rightarrow \varphi + \pi \end{cases} \quad \begin{matrix} |\uparrow_n\rangle \rightarrow \\ |\uparrow_{-n}\rangle \rightarrow i \end{matrix} \begin{pmatrix} \cos\frac{\theta}{2} e^{-i\varphi/2} \\ \sin\frac{\theta}{2} e^{i\varphi/2} \end{pmatrix}$



e.g: (承接上例) 干涉仪



$\sum_m |j m\rangle \langle j m| = \hat{I} \leftarrow 2j+1 \text{ 维}$

4. 角动量耦合

考虑两独立的角动量 \hat{j}_1, \hat{j}_2 即 $[\hat{j}_{1\alpha}, \hat{j}_{2\beta}] = 0$

定义 $\hat{j} = \hat{j}_1 + \hat{j}_2$, 则 \hat{j} 亦为角动量算符, 服从 $[\hat{j}_\alpha, \hat{j}_\beta] = i\hbar \epsilon_{\alpha\beta\gamma} \hat{j}_\gamma$

如研究氧原子
 $\uparrow + \uparrow = \uparrow$ or $\hat{j}_1 + \hat{j}_2 = \hat{j}$
 轨道 电子旋

可研究 $\{\hat{j}^2, \hat{j}_z\}$ 的本征问题

老性质仍然满足 $[\hat{j}^2, \hat{j}_z] = 0, [\hat{j}_\alpha, \hat{j}_\beta] = 0 \quad i=1,2$

① $\{\hat{j}^2, \hat{j}_z, \hat{j}_{1z}, \hat{j}_{2z}\} \quad \begin{matrix} \hat{j}_1^2, \hat{j}_{1z} & \hat{j}_2^2, \hat{j}_{2z} \\ \downarrow & \downarrow \\ |j_1, m_1\rangle \otimes |j_2, m_2\rangle & = |j_1, m_1; j_2, m_2\rangle \end{matrix}$

非耦合表象

$$\begin{cases} \hat{j}_1^2 | \rangle = j_1(j_1+1)\hbar^2 | \rangle \\ \hat{j}_2^2 | \rangle = j_2(j_2+1)\hbar^2 | \rangle \\ \hat{j}_{1z} | \rangle = m_1\hbar | \rangle \\ \hat{j}_{2z} | \rangle = m_2\hbar | \rangle \end{cases}$$

② 耦合表象 $\{\hat{j}^2, \hat{j}_z, \hat{j}_1^2, \hat{j}_2^2\} \quad |j, j_z, j, m\rangle$

$$\begin{cases} \hat{j}^2 | \rangle = j(j+1)\hbar^2 | \rangle \\ \hat{j}_z | \rangle = j_z\hbar | \rangle \\ \hat{j}_1^2 | \rangle = j_1(j_1+1)\hbar^2 | \rangle \\ \hat{j}_2^2 | \rangle = j_2(j_2+1)\hbar^2 | \rangle \end{cases}$$

③ 表象变换与CG系数 CG 系数性质 定义: $|j_1, j_2, j, m\rangle = \sum_{m_1, m_2} \langle j_1, m_1; j_2, m_2 | j_1, j_2, j, m\rangle |j_1, m_1; j_2, m_2\rangle$

(i) 非零, 则 $m = m_1 + m_2$

$$(\hat{j}_z - \hat{j}_{1z} - \hat{j}_{2z}) | \rangle = 0$$

$$\Rightarrow \langle (\hat{j}_z - \hat{j}_{1z} - \hat{j}_{2z}) | \rangle = 0$$

$$(m - m_1 - m_2) \langle | \rangle = 0 \quad \text{角动量守恒}$$

(ii) $|j_1 - j_2| \leq j \leq j_1 + j_2$

(iii) 规范要求CG系数为实数.

CG系数

$$\begin{aligned} \hat{j}_+ |j, m\rangle &= \sqrt{(j-m)(j+m+1)} |j, m+1\rangle \\ \hat{j}_- |j, m\rangle &= \sqrt{(j+m)(j-m-1)} |j, m-1\rangle \end{aligned}$$

e.g. $\{|j_1, m_1\rangle\}$ 轨道 $\{|j_2 = \frac{1}{2}, m_2 = \pm \frac{1}{2}\rangle\}$ 自旋

$$\hat{j}_{2+} | \frac{1}{2}, \frac{1}{2} \rangle = 0, \quad |j_1, \frac{1}{2}, j, m\rangle = C_{\frac{1}{2}} |j_1, m+\frac{1}{2}, \frac{1}{2}, -\frac{1}{2}\rangle + C_{\frac{1}{2}} |j_1, m-\frac{1}{2}, \frac{1}{2}, \frac{1}{2}\rangle \dots (1)$$

$$\hat{j}^2 = \hat{j}_1^2 + \hat{j}_2^2 + 2\hat{j}_{1z}\hat{j}_{2z} + \hat{j}_{1+}\hat{j}_{2-} + \hat{j}_{1-}\hat{j}_{2+} \dots (2)$$

利用 (1)(2) 式, 可得一大坨

$$\left\{ \begin{array}{l} \text{左乘 } \langle j, m+\frac{1}{2}, \frac{j}{2} - \frac{m}{2} | \\ \text{左乘 } \langle j, m-\frac{1}{2}, \frac{j}{2}, \frac{m}{2} | \end{array} \right. \xrightarrow[\text{等价方程}]{\text{两式相减}} j(j+1)C_{-\frac{1}{2}} = [j, (j+1) + \frac{1}{4} - m]C_{-\frac{1}{2}} + \sqrt{(j-m+\frac{1}{2})(j+m+\frac{1}{2})}C_{\frac{1}{2}}$$

再乘以归一化条件 $C_{\frac{1}{2}}^2 + C_{-\frac{1}{2}}^2 = 1$

且有 $|j - \frac{1}{2}| \leq j \leq j + \frac{1}{2} \Rightarrow j = j, \pm \frac{1}{2}$ 即总角动量有 2 种取值情况

$$1^\circ \text{ 若 } j = j + \frac{1}{2}, \text{ 则 } \begin{cases} \sqrt{j+m+\frac{1}{2}}C_{-\frac{1}{2}} = \sqrt{j-m+\frac{1}{2}}C_{\frac{1}{2}} \\ C_{-\frac{1}{2}}^2 + C_{\frac{1}{2}}^2 \end{cases} \Rightarrow \left(\frac{C_{-\frac{1}{2}}}{C_{\frac{1}{2}}} \right) = \frac{1}{\sqrt{2j+1}} \left(\frac{\sqrt{j-m+\frac{1}{2}}}{\sqrt{j+m+\frac{1}{2}}} \right) = \frac{1}{\sqrt{2j}} \left(\frac{\sqrt{j-m}}{\sqrt{j+m}} \right)$$

$$\text{即: } |j, \frac{j}{2}, j, m\rangle = \sqrt{\frac{j-m}{2j}} |j, m+\frac{1}{2}, \frac{j}{2}, -\frac{j}{2}\rangle + \sqrt{\frac{j+m}{2j}} |j, m-\frac{1}{2}, \frac{j}{2}, \frac{j}{2}\rangle$$

$$2^\circ \text{ 若 } j = j - \frac{1}{2}, \text{ 则 } \begin{cases} \sqrt{j+m+\frac{1}{2}}C_{-\frac{1}{2}} = \sqrt{j-m+\frac{1}{2}}C_{\frac{1}{2}} \\ C_{-\frac{1}{2}}^2 + C_{\frac{1}{2}}^2 \end{cases} \Rightarrow \left(\frac{C_{-\frac{1}{2}}}{C_{\frac{1}{2}}} \right) = \frac{1}{\sqrt{2j+1}} \left(\frac{\sqrt{j+m+\frac{1}{2}}}{\sqrt{j-m+\frac{1}{2}}} \right) = \frac{1}{\sqrt{2j+2}} \left(\frac{\sqrt{j+m+1}}{\sqrt{j-m+1}} \right)$$

$$\text{即: } |j, \frac{j}{2}, j, m\rangle = \sqrt{\frac{j+m+1}{2j+2}} |j, m+\frac{1}{2}, \frac{j}{2}, -\frac{j}{2}\rangle - \sqrt{\frac{j-m+1}{2j+2}} |j, m-\frac{1}{2}, \frac{j}{2}, \frac{j}{2}\rangle$$

e.g. ① $\langle j, m_j | \hat{L}_z | j, m_j \rangle$

$$= \sum_{m_1, m_2} \langle j, m_j | m_1 m_2 \rangle \langle m_1 m_2 | j, m_j \rangle m_1 \hbar$$

1° 如 $j = l + \frac{1}{2}$

$$\langle \hat{L}_z \rangle_{j, m_j} = \left(\frac{j-m_j}{2j} \right) (m_j + \frac{1}{2}) \hbar + \left(\frac{j+m_j}{2j} \right) (m_j - \frac{1}{2}) \hbar = \frac{2j-1}{2j} m_j \hbar$$

2° 如 $j = l - \frac{1}{2}$

$$\langle \hat{L}_z \rangle_{j, m_j} = \left(\frac{j+m_j+1}{2j+2} \right) (m_j + \frac{1}{2}) \hbar + \left(\frac{j-m_j+1}{2j+2} \right) (m_j - \frac{1}{2}) \hbar = \left(m_j + \frac{m_j}{2j+2} \right) \hbar$$

$$\text{② } \langle \hat{S}_z \rangle_{j, m_j} \begin{cases} j = l + \frac{1}{2} & \left(\frac{j-m_j}{2j} \right) (-\frac{1}{2}) \hbar + \left(\frac{j+m_j}{2j} \right) \frac{1}{2} \hbar = \frac{m_j}{2j} \hbar \\ j = l - \frac{1}{2} & \left(\frac{j+m_j+1}{2j+2} \right) (-\frac{1}{2}) \hbar + \left(\frac{j-m_j+1}{2j+2} \right) \frac{1}{2} \hbar = -\frac{m_j}{2j+2} \hbar \end{cases}$$

③ $\langle \hat{L}_z \rangle_{j, m_j} + \langle \hat{S}_z \rangle_{j, m_j} = m_j \hbar \left(\in \langle \hat{J} \rangle_{j, m_j} \right)$ 是 $m = m_1 + m_2$ 的体现

$$\langle \hat{L}_z \rangle_{j, m_j} + 2 \langle \hat{S}_z \rangle_{j, m_j} = \begin{cases} j = l + \frac{1}{2} : \left(m_j + \frac{m_j}{2j} \right) \hbar \\ j = l - \frac{1}{2} : \left(m_j - \frac{m_j}{2j+2} \right) \hbar \end{cases}$$

省略写法: $\begin{cases} |l s j m_j\rangle = |j m_j\rangle \\ \hat{L} + \hat{S} = \hat{J} \end{cases}$

$$|m_1 m_2\rangle = |l, m_l, s, m_s\rangle$$

e.g: 自旋-自旋耦合 (He原子)

$S_1 = \frac{1}{2}, S_2 = \frac{1}{2}$, 即 $S = 0, 1$ (由 $|S_1 - S_2| \leq S \leq S_1 + S_2$ 给出) 取整数

$S=0, |0, 0\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$ 交换反对称态 spin singlet 自旋单态
费米子-电子

$S=1, \begin{cases} |1, 1\rangle = |\uparrow\uparrow\rangle \\ |1, 0\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle) \\ |1, -1\rangle = |\downarrow\downarrow\rangle \end{cases}$ 交换对称态 spin triplet 自旋三重态
玻色子

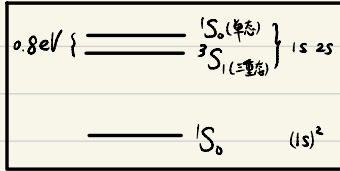
He原子电子态

全同粒子

$\psi(\text{空间}) \chi_{\text{spin}} \sim |\psi\rangle \otimes |\text{spin}\rangle$
 空间波函数部分 $\begin{cases} \psi(\text{空间}) \text{ 交换对称, } \chi_{\text{spin}} \text{ 交换反对称} \rightarrow \text{singlet} \\ \quad \rightarrow \text{电子平均间距, 库仑排斥能高} \\ \psi(\text{空间}) \text{ 交换反对称, } \chi_{\text{spin}} \text{ 交换对称} \rightarrow \text{triplet} \\ \quad \rightarrow \text{电子平均间距, 库仑排斥能低} \end{cases}$

引入原子光谱项 $^{2S+1}L_J$ 定义: 对于 $(1s)^2$, 考虑轨道角动量 $L: 1, 1_2$ 都要, 则 L 只能为零, $L=0$
 with $l=0, 1, 2$ 考虑自旋角动量 $S: S=0, *$
最后考虑总角动量 $J: J=0, *$ $\left. \begin{matrix} \\ \\ \end{matrix} \right\} ^{2S+1}L_J \xrightarrow{\text{写作}} ^1S_0$

对于 $1s 2s, L=0, S=0, 1, J=0, 1$ $\Rightarrow ^{2S+1}L_J \begin{cases} ^1S_0 \\ ^3S_1 \end{cases}$



e.g: 耦合量子数

$\vec{L} + \vec{S} = \vec{J}$ 如 $\begin{cases} L=1 & J=\frac{1}{2} & m_J = \pm\frac{1}{2} & 2 \text{ 个态} \\ S=\frac{1}{2} & J=\frac{3}{2} & m_J = \pm\frac{3}{2}, \pm\frac{1}{2} & 4 \text{ 个态} \end{cases} \left. \right\} 6 \text{ 个态}$

考虑电子构型 $1s 2p$

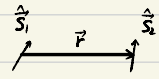
$\begin{cases} L=1 & J=1 & m_J = \pm 1, 0 \\ S=0 \end{cases}$ $\begin{cases} L=1 & J=0 & m_J = 0 \\ J=1 & m_J = \pm 1, 0 \\ S=1 & J=2 & m_J = \pm 2, \pm 1, 0 \end{cases}$ 12个态
3个态
1
3
5 直和

非耦合表象下

$1s, m_s = \pm\frac{1}{2}$
 $2p, \begin{cases} m_l = \pm 1, 0 \\ m_s = \pm\frac{1}{2} \end{cases} \begin{matrix} 2 \\ 3 \times 2 = 6 \end{matrix} \xrightarrow{2 \times 6 = 12}$ 直积

e.g. 两自旋 $\frac{1}{2}$ 粒子的张量势写做

$$\hat{V} = \frac{4}{\hbar^2} \left[\frac{3(\hat{S}_1 \cdot \mathbf{r})(\hat{S}_2 \cdot \mathbf{r})}{r^2} - \hat{S}_1 \cdot \hat{S}_2 \right]$$



常用代换

$\hat{J}_1 \cdot \hat{J}_2 = \frac{1}{2}(\hat{J}^2 - \hat{J}_1^2 - \hat{J}_2^2)$ 用于 $|J, m\rangle$ 表象

$= \hat{J}_{1z} \hat{J}_{2z} + \frac{1}{2}(\hat{J}_1^+ \hat{J}_2^- + \hat{J}_1^- \hat{J}_2^+)$ 用于 $|m, m_1, m_2\rangle$ 表象

\mathbf{r} 为相对位矢, 将 \hat{V} 表示为 $\hat{S} = \hat{S}_1 + \hat{S}_2$ 与 \mathbf{r} 的函数.

令 $\hat{n} = \frac{\mathbf{r}}{r}$, $\hat{S}_n = \hat{S} \cdot \hat{n} = \hat{S}_{1n} + \hat{S}_{2n}$

$$\hat{S}_n^2 = \hat{S}_{1n}^2 + \hat{S}_{2n}^2 + 2\hat{S}_{1n}\hat{S}_{2n} = (\frac{1}{2}\hbar)^2 + (\frac{1}{2}\hbar)^2 + 2\hat{S}_{1n}\hat{S}_{2n} = \frac{\hbar^2}{2} + 2\hat{S}_{1n}\hat{S}_{2n}$$

$$\Leftrightarrow \hat{S}_{1n}\hat{S}_{2n} = \frac{\hat{S}_n^2 - \frac{\hbar^2}{2}}{2}$$

$$\hat{S}_1 \cdot \hat{S}_2 = \frac{\hat{S}^2 - \hat{S}_1^2 - \hat{S}_2^2}{2} = \frac{1}{2}\hat{S}^2 - \frac{3}{4}\hbar^2, \quad \hat{V} = \frac{1}{\hbar^2} [6(\hat{S} \cdot \hat{n})^2 - 2\hat{S}^2]$$

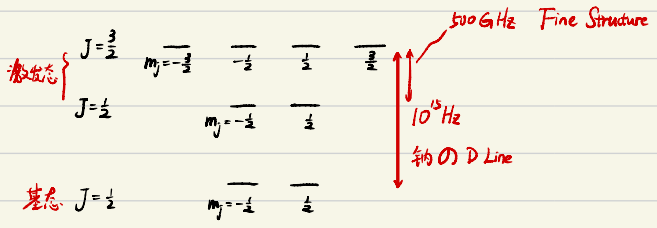
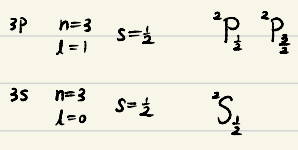
$$(\hat{S} \cdot \hat{n})^2 = 1$$

$$(\hat{S} \cdot \hat{n})^2 = \frac{\hbar^2}{4}$$

$$\hat{S}_n \rightarrow \frac{\hbar}{2} \begin{pmatrix} \cos\theta & \sin\theta e^{-i\varphi} \\ \sin\theta e^{i\varphi} & -\cos\theta \end{pmatrix}$$

e.g. CG 系数在能级跃迁几率中的应用

碱金属 ^{23}Na



W-E 定理

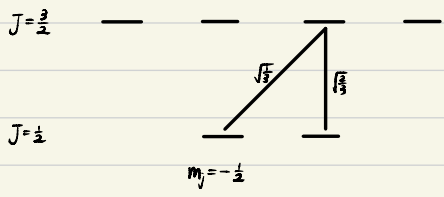
$$\langle \alpha', j', m' | \hat{T}_2^{(k)} | \alpha, j, m \rangle = \langle j, m, k, q | j, k, j', m' \rangle \frac{\langle \alpha', j' || T^{(k)} || \alpha, j \rangle}{\sqrt{2j+1}}$$

↑
光场张量 $k=1, q=\pm 1, 0$

↓
 m, q, q' 守恒

↓
 $m = m + q$

↓
 $|j-k| \leq j \leq j+k$ 选择定则



第八章 近似方法 (微扰 & 变分法)

1. 定态微扰论

求: $A|\psi\rangle = E|\psi\rangle$? $A = A_0 + \lambda \hat{V}$ (小量) \sim 微扰项

即已知 $A_0|\psi_n^{(0)}\rangle = E_n^{(0)}|\psi_n^{(0)}\rangle$

$$\begin{cases} |\psi_n^{(0)}\rangle \xrightarrow{\hat{V}} |\psi_n\rangle = \sum c_l |\psi_l^{(0)}\rangle \\ E_n^{(0)} \xrightarrow{\hat{V}} E_n \end{cases}$$

a. 非简并微扰论

$A|\psi_k\rangle = E_k|\psi_k\rangle$

$$\begin{cases} E_k = E_k^{(0)} + \lambda E_k^{(1)} + \lambda^2 E_k^{(2)} + \dots \\ |\psi_k\rangle = |\psi_k^{(0)}\rangle + \lambda |\psi_k^{(1)}\rangle + \lambda^2 |\psi_k^{(2)}\rangle + \dots \end{cases} \Rightarrow (A_0 + \lambda \hat{V})(|\psi_k^{(0)}\rangle + \lambda |\psi_k^{(1)}\rangle + \lambda^2 |\psi_k^{(2)}\rangle + \dots) = (E_k^{(0)} + \lambda E_k^{(1)} + \lambda^2 E_k^{(2)} + \dots)(|\psi_k^{(0)}\rangle + \lambda |\psi_k^{(1)}\rangle + \lambda^2 |\psi_k^{(2)}\rangle + \dots)$$

提取阶数:

- λ 的 0 次项: $A_0|\psi_k^{(0)}\rangle = E_k^{(0)}|\psi_k^{(0)}\rangle$
- λ 的 1 次项: $A_0|\psi_k^{(1)}\rangle + \hat{V}|\psi_k^{(0)}\rangle = E_k^{(0)}|\psi_k^{(1)}\rangle + E_k^{(1)}|\psi_k^{(0)}\rangle$
- λ 的 2 次项: $A_0|\psi_k^{(2)}\rangle + \hat{V}|\psi_k^{(1)}\rangle = E_k^{(0)}|\psi_k^{(2)}\rangle + E_k^{(1)}|\psi_k^{(1)}\rangle + E_k^{(2)}|\psi_k^{(0)}\rangle$

一级近似解:

用 $\langle \psi_m^{(0)} |$ 作用至 λ 1 次项的两边 设 $|\psi_k^{(1)}\rangle = \sum_n C_n^{(1)} |\psi_n^{(0)}\rangle$

$$\Rightarrow E_m^{(0)} C_m^{(1)} + \langle \psi_m^{(0)} | \hat{V} | \psi_k^{(0)} \rangle = E_k^{(0)} C_m^{(1)} + E_k^{(1)} \delta_{mk}$$

$$\Rightarrow \begin{cases} m=k \text{ 时, } E_k^{(1)} = V_{kk} = \langle \psi_k^{(0)} | \hat{V} | \psi_k^{(0)} \rangle \\ m \neq k \text{ 时, } C_m^{(1)} = \frac{V_{mk}}{E_k^{(0)} - E_m^{(0)}} \end{cases} \Rightarrow |\psi_k\rangle \approx |\psi_k^{(0)}\rangle \quad \text{波函数 0 级近似}$$

$$E_k \approx E_k^{(0)} + V_{kk} \quad \text{能量 1 级近似}$$

进而: $|\psi_k\rangle \approx |\psi_k^{(0)}\rangle + |\psi_k^{(1)}\rangle = |\psi_k^{(0)}\rangle + \sum_{n \neq k} \frac{V_{nk}}{E_k^{(0)} - E_n^{(0)}} |\psi_n^{(0)}\rangle$ 波函数 1 级近似

- ① 非简并的重要性: $E_k^{(0)} \neq E_n^{(0)}$ 对所有 $n \neq k$
- ② $\langle \psi_k^{(0)} | \psi_k^{(1)} \rangle = 0$

二级近似解:

用 $\langle \psi_m^{(0)} |$ 作用至 λ 2 次项的两边 设 $|\psi_k^{(2)}\rangle = \sum_n C_n^{(2)} |\psi_n^{(0)}\rangle$

$$A_0 \sum_n C_n^{(2)} |\psi_n^{(0)}\rangle + \hat{V} \sum_{n \neq k} \frac{V_{nk}}{E_k^{(0)} - E_n^{(0)}} |\psi_n^{(0)}\rangle = E_k^{(0)} \sum_n C_n^{(2)} |\psi_n^{(0)}\rangle + V_{kk} \sum_{n \neq k} \frac{V_{nk}}{E_k^{(0)} - E_n^{(0)}} |\psi_n^{(0)}\rangle + E_k^{(2)} |\psi_k^{(0)}\rangle$$

$$\Rightarrow E_m^{(0)} C_m^{(2)} + \sum_{n \neq k} \frac{V_{mn} V_{nk}}{E_k^{(0)} - E_n^{(0)}} = E_k^{(0)} C_m^{(2)} + \frac{V_{kk} V_{mk}}{E_k^{(0)} - E_m^{(0)}} (-\delta_{mk}) + E_k^{(2)} \delta_{mk}$$

$$\Rightarrow E_k^{(2)} = \sum_{m \neq k} \frac{|V_{mk}|^2}{E_k^{(0)} - E_m^{(0)}}$$

讨论: (i) 正交归一完备性

(ii) 矩阵图像

e.g. $\hat{H} = \underbrace{\frac{\hat{p}^2}{2m}}_{\hat{H}_0} + \underbrace{\frac{1}{2}m\omega^2 \hat{x}^2}_{\hat{V}} + \varepsilon \frac{1}{2}m\omega^2 \hat{x}^2$ ($\varepsilon \ll 1$), 求问二阶修正?

$$\begin{cases} E_n^{(0)} = (n + \frac{1}{2})\hbar\omega & |n\rangle \\ E_n = E_n^{(0)} + \langle n | \hat{V} | n \rangle + \sum_{k \neq n} \frac{|V_{nk}|^2}{E_n^{(0)} - E_k^{(0)}} \end{cases}$$

由 $\hat{x}^2 = \frac{\hbar}{2m\omega} (\hat{a}^2 + \hat{a}^{\dagger 2} + \hat{a}\hat{a}^{\dagger} + \hat{a}^{\dagger}\hat{a})$, 则 $\langle n | \hat{x}^2 | n \rangle = \frac{\hbar^2}{2m\omega^2} [\sqrt{n(n-1)}\delta_{m,n-2} + \sqrt{(n+1)(n+2)}\delta_{m,n+2} + (2n+1)\delta_{m,n}]$

即 $V_{nn} = \frac{1}{2}(2n+1)\hbar\omega\varepsilon$, $V_{nk} = \frac{1}{4}\hbar\omega\varepsilon (\sqrt{n(n-1)}\delta_{k,n-2} + \sqrt{(n+1)(n+2)}\delta_{k,n+2})$ ($k \neq n$)

$$\Rightarrow E_n \approx (n + \frac{1}{2})\hbar\omega + \frac{1}{4}(2n+1)\hbar\omega\varepsilon + \frac{\frac{1}{16}\hbar^2\omega^2\varepsilon^2}{-2\hbar\omega} (n+2)(n+1) + \frac{\frac{1}{16}\hbar^2\omega^2\varepsilon^2}{2\hbar\omega} n(n-1)$$

$$|\psi_n\rangle \approx |n\rangle + \sum_{n \geq 2} \varepsilon \left[\sqrt{n(n-2)} |n-2\rangle - \sqrt{(n+1)(n+2)} |n+2\rangle \right] + O(\varepsilon^2)$$

对 $n=0$, $E_0 = \frac{1}{2}\hbar\omega + \frac{1}{4}\hbar\omega\varepsilon - \frac{1}{16}\hbar\omega\varepsilon^2$

$$|\psi_0\rangle \approx |0\rangle - \frac{\varepsilon}{8} |2\rangle$$

而精确解为

$$E = (n + \frac{1}{2})\sqrt{1 \pm \varepsilon}\hbar\omega = (n + \frac{1}{2})\hbar\omega (1 + \frac{1}{2}\varepsilon - \frac{1}{8}\varepsilon^2 + \dots)$$

b. 简并微扰论

$\hat{H} = \hat{H}_0 + \hat{V}$ 其中 \hat{H}_0 的本征态为 $\{| \psi_{mp}^{(0)} \rangle, | \psi_n^{(0)} \rangle\}$ $m \neq n$

$m, p = 1, 2, 3, \dots, g$, 构成 g 重简并子空间.

$$\begin{cases} \hat{H}_0 | \psi_{mp}^{(0)} \rangle = E_m^{(0)} | \psi_{mp}^{(0)} \rangle \\ \hat{H}_0 | \psi_n^{(0)} \rangle = E_n^{(0)} | \psi_n^{(0)} \rangle \end{cases}$$

① 一般方法: 设 $|\psi\rangle = |\psi^{(0)}\rangle + \lambda|\psi^{(1)}\rangle$ $E_m = E_m^{(0)} + E_m^{(1)}$

且 $|\psi^{(0)}\rangle$ 与整个简并子空间正交

$|\psi^{(0)}\rangle$ 可以用 $\{| \psi_{mp}^{(0)} \rangle\}$ 展开

$\hat{H}|\psi\rangle = E|\psi\rangle$ 的 λ -阶 $|\psi^{(0)}\rangle$ 项式为:

$$\hat{H}_0 | \psi^{(0)} \rangle + \hat{V} \sum_p C_{mp}^{(0)} | \psi_{mp}^{(0)} \rangle = E_m^{(0)} | \psi^{(0)} \rangle + E_m^{(1)} \sum_p C_{mp}^{(0)} | \psi_{mp}^{(0)} \rangle$$

左乘 $\langle \psi_{m0}^{(0)} |$, 有

即在 $\{| \psi_{m_n}^{(0)} \rangle\}$ 为基的简并子空间内对角化 \hat{V} 的矩阵

- 本征态: 简并子空间内新的 0 级波函数
- 本征值: 能量的 1 级修正

$$\text{即 } \begin{vmatrix} V_{11} - E_n^{(1)} & V_{12} & \dots & V_{1g} \\ V_{21} & V_{22} - E_n^{(1)} & \dots & V_{2g} \\ \vdots & \vdots & \ddots & \vdots \\ V_{g1} & V_{g2} & \dots & V_{gg} - E_n^{(1)} \end{vmatrix} = 0$$

g 为 $E_n^{(0)}$ 的简并度, $\Rightarrow E_n^{(1)}; i=1,2,\dots,g$

② Practical Methods

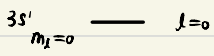
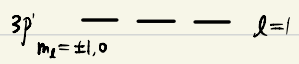
1. 寻找算符 A , 使 $[A, H_0] = 0, [A, \hat{V}] = 0, \{A, H_0\}$ 的共同本征态在 H_0 的简并子空间内简并.

则这些本征态是合适选 0 级波函数 $\Rightarrow \hat{V}$ 在这些本征态下 对角

$$\langle \psi_m | A\hat{V} - \hat{V}A | \psi_n \rangle = 0 = (A_m - A_n) V_{mn} \Rightarrow V_{mn} = \delta_{mn} V_{nn}$$

eg: (Anomalous Zeeman Effect)

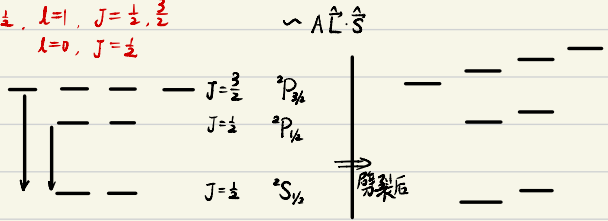
考虑 ^{23}Na 原子 $n=3$ 时



• 外加磁场, when $B=0$, 不变; else if $B \neq 0$, 3个简并能级分立开来.

• 假设我们不知道 S_{pn} , 则三态简并

• 考虑 $S = \pm \frac{1}{2}, l=1, J = \pm \frac{3}{2}, \frac{1}{2}$
 $l=0, J = \pm \frac{1}{2}$



下面展示具体运算.

$$\hat{H} = A \hat{L} \cdot \hat{S} + B(\hat{L}_z + 2\hat{S}_z) \quad \rightarrow \text{z方向为量子化方向, 人为定义}$$

背景未知

考虑如下情况 (i) $A \gg B$ 强耦合极限

(ii) $A \ll B$ 弱耦合极限

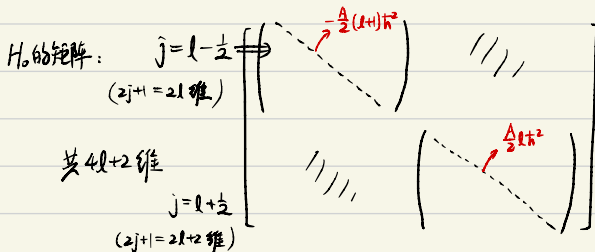
(i) $A \gg B$ 时, $\hat{H}_0 = A \hat{L} \cdot \hat{S}, \hat{V} = B(\hat{L}_z + 2\hat{S}_z)$

开始解 H_0 的本征问题

利用 $\hat{J} = \hat{L} + \hat{S}, \hat{L} \cdot \hat{S} = \frac{1}{2}(J^2 - L^2 - S^2)$ 可知 $[\hat{J}^2, H_0] = 0, [\hat{J}_z, H_0] = 0$

引入 $\{|j, m_j\rangle\}$. $E_0 = \langle j, m_j | \Lambda \hat{L}^2 \hat{S} | j, m_j \rangle = \frac{\Delta}{2} [j(j+1)h^2 - l(l+1)h^2 - s(s+1)h^2]$

with $\begin{cases} S = \frac{1}{2}, j = l + \frac{1}{2} \Rightarrow E_0 = \frac{\Delta}{2} l h^2 \\ S = \frac{1}{2}, j = l - \frac{1}{2} \Rightarrow E_0 = -\frac{\Delta}{2} (l+1) h^2 \end{cases}$ 这里只取 1 或 0.



\hat{V} 的矩阵元:

要用 CG 系数!

$$\begin{aligned} \langle j', m_j' | \hat{V} | j, m_j \rangle &= B \langle j', m_j' | \hat{V}_2 | j, m_j \rangle + 2B \langle j', m_j' | \hat{S}_2 | j, m_j \rangle \\ &= B \delta_{j'j} \delta_{m_j'm_j} m_j h + 2B \langle j', m_j' | \hat{S}_2 | j, m_j \rangle \end{aligned}$$

• 同一个 j 值子空间内, 求对角元

$$\begin{cases} j = l + \frac{1}{2} & \langle j, m_j | \hat{S}_2 | j, m_j \rangle = \left[\frac{h}{2} \frac{j+m_j}{2j} + (-\frac{h}{2}) \frac{j-m_j}{2j} \right] \delta_{m_j'm_j} = \frac{m_j}{2j} h \delta_{m_j'm_j} \\ j = l - \frac{1}{2} & \langle j, m_j | \hat{S}_2 | j, m_j \rangle = \left[\frac{h}{2} \frac{j-m_j+1}{2j+2} + (-\frac{h}{2}) \frac{j+m_j+1}{2j+2} \right] \delta_{m_j'm_j} = -\frac{m_j}{2(j+1)} h \delta_{m_j'm_j} \end{cases}$$

• 对于非对角元, (对二阶能量修正有贡献)

修正

$$\begin{aligned} \langle j', m_j' | \hat{S}_2 | j, m_j \rangle &= \left(\langle m_j'+\frac{1}{2}, \frac{1}{2} | \sqrt{\frac{j'+m_j'+1}{2j'+2}} - \langle m_j'-\frac{1}{2}, \frac{1}{2} | \sqrt{\frac{j'-m_j'+1}{2j'+2}} \right) \hat{S}_2 \left(\sqrt{\frac{j-m_j}{2j}} | m_j+\frac{1}{2}, -\frac{1}{2} \rangle - \sqrt{\frac{j+m_j}{2j}} | m_j-\frac{1}{2}, \frac{1}{2} \rangle \right) \\ &= \left(-\frac{1}{2} h \sqrt{\frac{j'+m_j'+1}{2j'+2}} \sqrt{\frac{j-m_j}{2j}} - \frac{1}{2} h \sqrt{\frac{j'-m_j'+1}{2j'+2}} \sqrt{\frac{j+m_j}{2j}} \right) \delta_{j', j+1} \delta_{m_j', m_j} \end{aligned}$$

故简并微扰 一级修正为

$$E_1 = \langle j, m_j | \hat{V} | j, m_j \rangle = B m_j h + 2B \langle j, m_j | \hat{S}_2 | j, m_j \rangle$$

$$\Rightarrow \begin{cases} j = l + \frac{1}{2} & E_0 + E_1 = \frac{\Delta}{2} l h^2 + B \left(\frac{m_j}{2j} + m_j \right) h \rightarrow \text{弄出 } 4 \text{ 个能级} \\ j = l - \frac{1}{2} & E_0 + E_1 = -\frac{\Delta}{2} (l+1) h^2 + B \left(m_j - \frac{m_j}{2(j+1)} \right) h \rightarrow \text{弄出 } 2 \text{ 个能级} \end{cases} \quad (\text{取 } j=1)$$

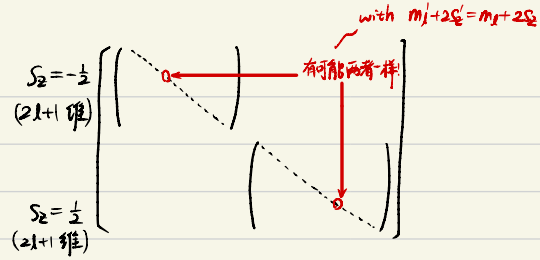
(ii) $A \ll B$

$$H_0 = B(\hat{L}_z + 2\hat{S}_z) \quad \hat{V} = A\hat{L}_z^2$$

自然而然地非耦合表象 (作为零级波函数) $\{|m_x, s_z\rangle\}$

$$E_0 = \langle m_l s_z | A_0 | m_l s_z \rangle = B(m_l + 2s_z) \hbar$$

即 A_0 的矩阵元如右:



计算 \hat{V} 的矩阵元 $\langle \hat{V} \rangle$:

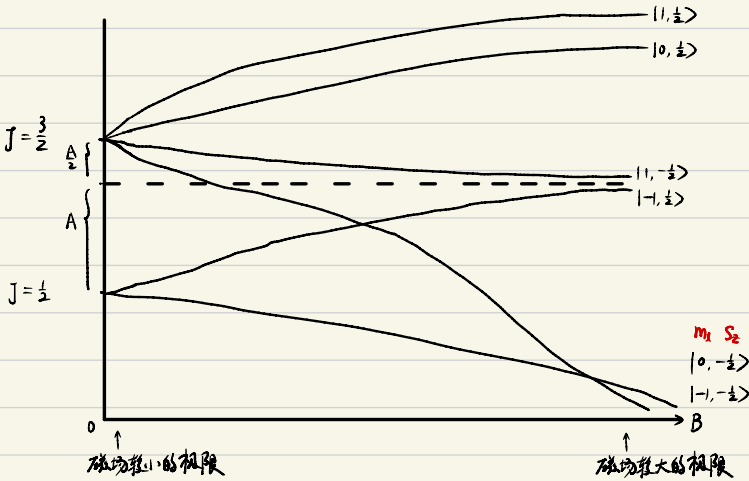
$$\begin{aligned} & \langle m_l s_z | \hat{L} \cdot \hat{S} | m_l s_z \rangle \\ &= \langle m_l s_z | \hat{L}_z \hat{S}_z + \frac{1}{2} (\hat{L}_+ \hat{S}_- + \hat{L}_- \hat{S}_+) | m_l s_z \rangle \\ &= m_l s_z \hbar^2 \delta_{m_l m_l} \delta_{s_z s_z} + C_1 \delta_{s_z, s_z-1} \delta_{m_l, m_l+1} + C_2 \delta_{s_z, s_z+1} \delta_{m_l, m_l-1} \end{aligned}$$

非对角元: $s_z + m_l = s_z + m_l$

问题就化为了非简并微扰!

$$\begin{aligned} \text{能量的一级修正 } E_1 &= \langle m_l s_z | A \hat{L} \cdot \hat{S} | m_l s_z \rangle = \langle m_l s_z | \hat{L}_z \hat{S}_z + \frac{1}{2} (\hat{L}_+ \hat{S}_- + \hat{L}_- \hat{S}_+) | m_l s_z \rangle \\ &= A \hbar^2 m_l s_z \end{aligned}$$

$$\text{thus } E = E_0 + E_1 = B(m_l + 2s_z) \hbar + A \hbar^2 m_l s_z$$



耦合

e.g.: (Toy Model) 自旋立的三维各向同性谐振子处于基态

求在微扰 $\hat{V} = \lambda \hat{\sigma}_x \hat{q}^2$ 作用下的基态能量, 精确到二阶

$|000\rangle \rightarrow |000\rangle \Rightarrow |020\rangle |020\rangle$
耦合自旋

$$\hat{q}^2 = \frac{\hbar}{2m\omega} (\hat{a}^2 + \hat{a}^{\dagger 2} + 2\hat{a}^\dagger \hat{a} + 1)$$

$$\begin{matrix} \langle 000\uparrow | \\ \langle 000\downarrow | \\ \langle 020\uparrow | \\ \langle 020\downarrow | \end{matrix} \begin{pmatrix} \left(\begin{matrix} \frac{3}{2}\hbar\omega & \frac{\hbar}{2m\omega}\lambda \\ \frac{\hbar}{2m\omega}\lambda & \frac{3}{2}\hbar\omega \end{matrix} \right) & 0 & \frac{\sqrt{2}\hbar}{2m\omega}\lambda \\ 0 & \frac{\sqrt{2}\hbar}{2m\omega}\lambda & \left(\begin{matrix} \frac{7}{2}\hbar\omega & \frac{\hbar}{2m\omega}\lambda \\ \frac{\hbar}{2m\omega}\lambda & \frac{7}{2}\hbar\omega \end{matrix} \right) \end{pmatrix}$$

Step 1: 对角化

一阶(简并微扰) $\frac{3}{2}\hbar\omega \pm \frac{\hbar}{2m\omega}\lambda$

二阶 \otimes for: $\frac{3}{2}\hbar\omega - \frac{\hbar}{2m\omega}\lambda \Rightarrow |\psi^{(0)}\rangle = \frac{\sqrt{2}}{2}(|000\uparrow\rangle - |000\downarrow\rangle)$

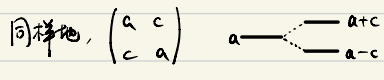
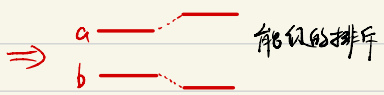
$$E_2 = \frac{|\langle 020\uparrow | \hat{V} | \psi^{(0)} \rangle|^2 + |\langle 020\downarrow | \hat{V} | \psi^{(0)} \rangle|^2}{\frac{3}{2}\hbar\omega - \frac{7}{2}\hbar\omega} = -\frac{\hbar^2 \lambda^2}{4m^2 \omega^3}$$

Intro: 近简并微扰论

with $c \ll a, b$

$\hat{A} = \begin{pmatrix} a & c \\ c & b \end{pmatrix}$ 微扰问题其实就是求 \hat{A} 的本征问题, 解析地有

$$\frac{a+b}{2} \pm \frac{\sqrt{(a-b)^2 + 4c^2}}{2} \xrightarrow{|c| \ll |a-b|} \begin{cases} a - \frac{c^2}{a-b} \\ b - \frac{c^2}{b-a} \end{cases}$$



③ 如 \hat{V} 不能消除简并, 则需找到合适的零级波函数

$|\psi\rangle = |\psi^{(0)}\rangle + |\psi^{(1)}\rangle + |\psi^{(2)}\rangle + \dots$ 用n作标记

并要求 $\begin{cases} |\psi^{(0)}\rangle = \sum_{m \neq n} C_{m,n}^{(0)} |\psi_{m,n}^{(0)}\rangle & \text{同量子空间之内} \\ |\psi^{(1)}\rangle = \sum_{n \neq m} C_{n,m}^{(1)} |\psi_{n,m}^{(1)}\rangle & \text{同量子空间之外} \\ |\psi^{(2)}\rangle = \sum_{n \neq m} C_{n,m}^{(2)} |\psi_{n,m}^{(2)}\rangle \end{cases} \Rightarrow \begin{cases} O(\lambda): (\hat{A}_n - E_n^{(0)}) |\psi^{(0)}\rangle = (E_n^{(0)} - \hat{V}) |\psi^{(0)}\rangle \\ O(\lambda^2): (\hat{A}_n - E_n^{(0)}) |\psi^{(1)}\rangle = (E_n^{(0)} - \hat{V}) |\psi^{(1)}\rangle + E_n^{(1)} |\psi^{(0)}\rangle \end{cases}$

$\langle \psi_{m,n}^{(0)} |$ 左乘 $O(\lambda)$: $\sum_{\mu} [V_{m\nu, m\mu} - \delta_{m\nu, m\mu} E_n^{(1)}] C_{m\mu}^{(1)} = 0$

如 $V_{m\nu, m\nu}$ 为对角阵, 且对角元不相同, 则 $|\psi^{(0)}\rangle$ 已为合适的波函数

否则, 如 $V_{mp, mv}$ 为对角阵, 且对角元相同, 则能级在一级近似下仍简并

接着, $\langle V_{mv}^{(0)} |$ 左乘 $O^{(1)}$: $E_m^{(0)} C_{mv}^{(0)} = \sum_{n \neq m} V_{mv, n} C_n^{(0)}$

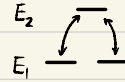
$\langle V_n^{(0)} |$ 左乘 $O^{(1)}$: $(E_n^{(0)} - E_m^{(0)}) C_n^{(1)} = - \sum_{p \neq n} V_{np, mp} C_{mp}^{(0)} \Rightarrow C_n^{(1)} = \sum_{p \neq n} \frac{V_{np, mp}}{E_n^{(0)} - E_m^{(0)}} C_{mp}^{(0)}$

上两式联立消 $C_n^{(1)}$ 有

$$\sum_p \left[\sum_{n \neq m} \frac{V_{np, n} V_{n, mp}}{E_n^{(0)} - E_m^{(0)}} - E_m^{(0)} \delta_{mp, mv} \right] C_{mp}^{(0)} = 0$$

该矩阵的本征方程决定 $C_{mp}^{(0)}$, 通过久期方程可求出 $E_m^{(2)}$, $i=1, 2, \dots, g$ (g 为简并度)

e.g: $H = H_0 + V = \begin{pmatrix} E_1 & 0 \\ 0 & E_1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix}$ with $|a|, |b| \ll |E_1|, |E_2|, |E_1 - E_2|$



$$\sum_{n \neq m} \frac{V_{mv, n} V_{n, mp}}{E_m^{(0)} - E_n^{(0)}}$$

$$\Rightarrow \begin{pmatrix} \frac{a^2}{E_1 - E_2} & \frac{ab}{E_1 - E_2} \\ \frac{ab}{E_1 - E_2} & \frac{b^2}{E_1 - E_2} \end{pmatrix}$$

对角化

$$E_1^{(0)} = 0, \frac{a^2 + b^2}{E_2 - E_1}$$

thus

$$\begin{cases} E_a = E_1 \\ E_b = E_1 + \frac{a^2 + b^2}{E_2 - E_1} \\ E_c = E_2 \end{cases}$$

这才更精确!!!

C. 微扰论在氢原子中的应用

零级近似 $\hat{H}_0 = -\frac{\hbar^2}{2m} \nabla^2 + V(r)$

解方程 $|n l m\rangle$
轨道角动量量子数 l
磁量子数 m

$$E_n = -\left[\frac{m}{2\hbar^2} \left(\frac{e^2}{4\pi\epsilon_0} \right)^2 \right] \frac{1}{n^2}$$

有 $2n^2$ 重简并

$$g = \sum_{l=0}^{n-1} (2l+1) = n^2$$

考虑自旋后为 $2n^2$

④ Fine Structure

(i) SR 修正 $T = \frac{p^2}{2m} \Leftrightarrow T_{SR} = \sqrt{p^2 c^2 + m^2 c^4} - mc^2 \approx \frac{p^2}{2m} - \frac{p^4}{8m^3 c^2}$

量子化 $\hat{V} = -\frac{p^4}{8m^3 c^2}$ with $[\hat{p}^2, \hat{l}^2] = 0, [\hat{p}^2, \hat{l}_z] = 0$ $\{ \hat{l}^2, \hat{l}_z \}$
 $|n l m\rangle$

一级修正

$$E_f = \langle n l m | \hat{V} | n l m \rangle = -\frac{E_n^2}{2mc^2} \left(\frac{4n}{l+1/2} - 3 \right)$$

已经和角动量有关了, 一定程度上破除了简并

(ii) 自旋-轨道耦合

$$\hat{H}_{so} = \frac{e^2}{8\pi\epsilon_0} \frac{1}{m^2} \frac{1}{c^2} \hat{S} \cdot \hat{L}$$

with $[\hat{H}_{so}, \hat{L}^2] = 0, [\hat{H}_{so}, \hat{S}^2] = 0$
but $[\hat{H}_{so}, \hat{L}_z] \neq 0, [\hat{H}_{so}, \hat{S}_z] \neq 0$

定义 $\hat{J} = \hat{L} + \hat{S}$, 则 $[\hat{H}_{so}, \hat{J}^2] = [\hat{H}_{so}, \hat{J}_z] = [\hat{H}_0, \hat{J}^2] = [\hat{H}_0, \hat{J}_z] = 0$

\Rightarrow 基 $|n l s m_j\rangle$

$$\text{进而 } E_{so} = \langle n l s j m_j | \hat{H}_{so} | n l s j m_j \rangle = \frac{E_n^2}{m c^2} \left\{ \frac{n [J(J+1) - l(l+1) - \frac{3}{4}]}{l(l+\frac{1}{2})(l+1)} \right\} \quad \left. \right\} J^2 - L^2 - S^2$$

得到 Fine Structure

$$E_{nj} = E_n + \frac{E_n^2}{2m c^2} \left(3 - \frac{4n}{j+\frac{1}{2}} \right) = - \frac{13.6 \text{ eV}}{n^2} \left[1 + \frac{\alpha^2}{n^2} \left(\frac{n}{j+\frac{1}{2}} - \frac{3}{4} \right) \right] \quad \text{with } \alpha = \frac{e^2}{4\pi\epsilon_0 \hbar c} = \frac{1}{137} \quad \text{Fine Structure Constant}$$

② Zeeman Effect

③ Hyper Fine Structure

考虑核自旋与电子轨道/自旋的相互作用

$$\hat{H}_{hf} = \hat{A} \frac{1}{\hbar^2} [3(\hat{L} \cdot \hat{e}_r)(\hat{S} \cdot \hat{e}_r) - \hat{L} \cdot \hat{S}] + \frac{8\pi}{3} \hat{A} \hat{L} \cdot \hat{S} \delta(r) + \hat{B}(r) \hat{I} \cdot \hat{S}$$

$$\sim A \hat{I} \cdot \hat{S} \quad \leftarrow \text{只考虑此项}$$

对 $l=0$ 的态(基态) $\hat{H}_{hf} = A \hat{I} \cdot \hat{S}$ 定义 $\hat{F} = \hat{I} + \hat{S}$ ^{Coupling}, with $[\hat{F}^2, \hat{H}_{hf}] = [\hat{F}_z, \hat{H}_{hf}] = 0$

进而得基 $|n l s j I F m_F\rangle$

$$l=0, s=\frac{1}{2}, I=\frac{1}{2} \xrightarrow{\text{Coupling}} J=\frac{1}{2}, I=\frac{1}{2} \xrightarrow{\text{Coupling}} F=0, 1$$

$$E_{hf} = \langle F m_F | \hat{H}_{hf} | F m_F \rangle = \frac{1}{2} A \hbar^2 [F(F+1) - I(I+1) - S(S+1)] \quad \begin{cases} \frac{1}{4} A \hbar^2 & F=1 \\ -\frac{3}{4} A \hbar^2 & F=0 \end{cases}$$

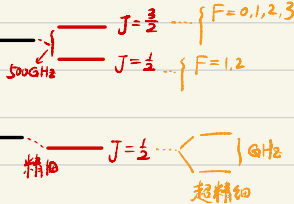
宇宙尺度上量纲见波长, 表明存在大量 H.
 亦即 $\sim 1420 \text{ MHz}, \lambda = 21 \text{ cm}$

^{23}Na 一类氢原子 $I = \frac{3}{2}$

$n=3$ ———

$n=2$ ———

$n=1$ ———



加个磁场, Zeeman Effect

$$F=3 \left\{ m_F = \pm 3, \pm 2, \pm 1, 0 \right. \left. \begin{array}{l} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right.$$

509 GHz

精细

超精细

1 GHz

2. 变分法

对于给定 \hat{H} 和任意态 $|\psi\rangle$, 均有如下关系

Prove: $|\psi\rangle = \sum C_n |\psi_n\rangle$, $\langle \hat{H} \rangle_\psi = \sum |C_n|^2 E_n \geq E_{gs} \sum |C_n|^2$

$$\langle \psi | \hat{H} | \psi \rangle \geq E_{gs}, \quad \hat{H} |\psi_{gs}\rangle = E_{gs} |\psi_{gs}\rangle$$

应用: 猜基态形式, 把求解基态的问题转化为最优化问题 $|\psi(\alpha_n)\rangle$, 其中 $\{\alpha_n\}$ 称为变分参数.

BCS 理论

$$E(\alpha_n) = \frac{\langle \psi(\alpha_n) | \hat{H} | \psi(\alpha_n) \rangle}{\langle \psi(\alpha_n) | \psi(\alpha_n) \rangle}, \quad \text{由 } \frac{\partial E}{\partial \alpha_n} = 0, \text{ 求得 } \{\alpha_n\} \text{ 及 } E(\alpha_n)$$

期望值的极值

e.g.: He 原子基态

$$H = -\frac{\hbar^2}{2m} (\nabla_1^2 + \nabla_2^2) - \frac{e^2}{4\pi\epsilon_0} \left(\frac{2}{r_1} + \frac{2}{r_2} - \frac{1}{|r_1 - r_2|} \right) \quad \leftarrow \text{Born-Oppenheimer 近似}$$

回顾 H 原子基态 $\langle r | n l m \rangle = \frac{1}{\sqrt{\pi a^3}} e^{-r/a} \quad \leftarrow a \text{ 为 Bohr 半径}$

试探波函数猜成 $\psi(r_1, r_2) = \frac{Z^2}{\pi a^3} e^{-Z(r_1+r_2)/a} \quad Z e \text{ 电量!}$

于是整理成 $H = \underbrace{-\frac{\hbar^2}{2m} (\nabla_1^2 + \nabla_2^2)}_{H_0} - \frac{e^2}{4\pi\epsilon_0} \left(\frac{2}{r_1} + \frac{2}{r_2} \right) + \underbrace{\frac{e^2}{4\pi\epsilon_0} \left(\frac{z-2}{r_1} + \frac{z-2}{r_2} \right) + \frac{e^2}{4\pi\epsilon_0} \frac{1}{|r_1 - r_2|}}_{H_1}$

$$\begin{cases} \langle H_0 \rangle_\psi = 2Z^2 E_1, \quad \text{其中 } E_1 = \frac{e^2}{4\pi\epsilon_0 a} \sim 13.6 \text{ eV} \\ \langle H_1 \rangle_\psi = 2(z-2) \frac{e^2}{4\pi\epsilon_0} \frac{z}{a} \\ \langle V \rangle_\psi = -\frac{5}{4} Z E_1 \end{cases}$$

组装得到 $\langle H \rangle_\psi = (-2z^2 + \frac{27}{2}z) E_1$, 求其期望最小值

$$\frac{d\langle H \rangle_\psi}{dz} = 0 \Rightarrow z = \frac{27}{16} \sim 1.69 \text{ 比 } 2 \text{ 小一点的正数}$$

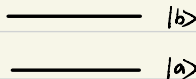
此刻 $\langle H \rangle_\psi = -77.5 \text{ eV}$ (实验: -78.95 eV)

对于 $m \neq k$ 的态, 定义跃迁概率为

$$P_{k-m}(t) = \frac{1}{\hbar^2} \left| \int_0^t V_{mk}(t') e^{i\omega_{mk}t'} dt' \right|^2$$

e.g. 光场与二能级原子的耦合

$$V(t) = V(\hat{r}) (e^{i\omega t} + e^{-i\omega t}) \quad E_b - E_a = \hbar\omega$$



(近似) RWA 近似

$$V_{aa} = V_{bb} = 0, \quad V_{ab} = \langle a | V(\hat{r}) | b \rangle$$

(i) 一级修正解

$$\text{初态 } |a\rangle, \quad \tilde{C}_b(t) = -\frac{i}{\hbar} \int_0^t V_{ba}(e^{i\omega t'} + e^{-i\omega t'}) e^{i\omega_b t'} dt' \stackrel{\text{积分得到}}{=} -\frac{V_{ba}}{\hbar} \left[\frac{e^{i(\omega_b + \omega)t} - 1}{\omega_b + \omega} + \frac{e^{i(\omega_b - \omega)t} - 1}{\omega_b - \omega} \right]$$

快速项可去 慢变项

$$P_{a \rightarrow b}(t) = |\tilde{C}_b(t)|^2, \quad \text{with } |V_{ba}| \ll \hbar\omega, \hbar\omega, \quad \hbar(\omega_b - \omega) \ll |V_{ba}| \rightarrow \omega_b \approx \omega \text{ (共振条件)}$$

$$\text{利用 RWA, 可得 } \tilde{C}_b(t) \approx -\frac{V_{ba}}{\hbar} e^{i(\omega_b - \omega)t/2} \left| \frac{1}{\omega_b - \omega} 2i \sin[(\omega_b - \omega)t/2] \right|$$

$$\text{即 } P_{a \rightarrow b}(t) \approx \frac{4|V_{ba}|^2}{\hbar^2} \frac{\sin^2\left[\frac{(\omega_b - \omega)t}{2}\right]}{(\omega_b - \omega)^2}$$

$$\stackrel{t \rightarrow \infty}{=} \frac{2\pi}{\hbar^2} t |V_{ba}|^2 \delta(\omega_b - \omega) \quad \left(\text{with } \frac{1}{|V_{ba}|} \gg t, \text{ let } P < 1 \right)$$

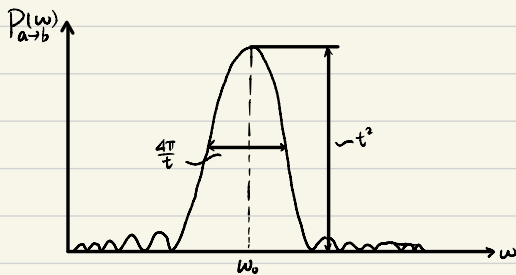
$$\text{单位时间跃迁几率 } \omega_{a \rightarrow b} = \frac{2\pi}{\hbar^2} |V_{ba}|^2 \delta(\omega_b - \omega)$$

$$\infty |b\rangle \text{ 附近有一系列态 } \sum_b P_{a \rightarrow b}(t) \Big|_{t \rightarrow \infty} = \int dE \rho(E) \frac{2\pi}{\hbar} |V_{ba}|^2 \delta(E - E_a)$$

Trick:

$$\lim_{t \rightarrow \infty} \frac{\sin^2\left[\frac{(\omega - \omega_0)t}{2}\right]}{(\omega - \omega_0)^2} = \frac{\pi}{4} + \delta\left(\frac{\omega - \omega_0}{2}\right)$$

利用 $\lim_{x \rightarrow 0} \frac{\sin^2(x)}{x^2} = \delta(x) \pi$



Fermi's Golden Rule

诘问: 会有 $a \rightarrow b$, 单次测量能量不守恒? 计算能量涨落 $\Delta E \cdot \Delta t \approx \frac{4\pi}{t} \hbar \cdot t = 4\pi \hbar$

思考: 时间测量尺度越小, 能量涨落越大

(ii) 严格解

$$i\hbar \frac{\partial}{\partial t} \begin{pmatrix} C_a \\ C_b \end{pmatrix} = \begin{pmatrix} E_a & V_{ab}(e^{i\omega t} + e^{-i\omega t}) \\ \dots & E_b \end{pmatrix} \begin{pmatrix} C_a \\ C_b \end{pmatrix} \quad \text{取相互作用表象} \begin{cases} \tilde{C}_a = C_a e^{i\left(\frac{E_a + E_b}{2\hbar} - \frac{\omega}{2}\right)t} \\ \tilde{C}_b = C_b e^{i\left(\frac{E_a + E_b}{2\hbar} + \frac{\omega}{2}\right)t} \end{cases}$$

$$\Rightarrow i\hbar \frac{\partial}{\partial t} \begin{pmatrix} \tilde{C}_a \\ \tilde{C}_b \end{pmatrix} = \begin{pmatrix} \delta \frac{\omega - \omega_0}{2} & \frac{V_{ab}}{\hbar} (1 + e^{-i2\omega t}) \\ \dots & -\delta \frac{\omega - \omega_0}{2} \end{pmatrix} \begin{pmatrix} \tilde{C}_a \\ \tilde{C}_b \end{pmatrix} \quad \delta \begin{cases} \frac{\delta}{2} |a\rangle \\ \dots \\ -\frac{\delta}{2} |b\rangle \end{cases} \quad \text{能量零点}$$

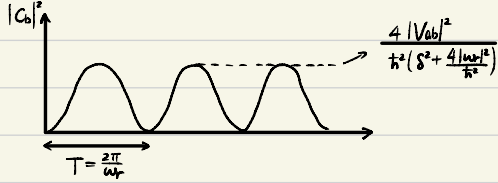
上述变换后的V矩阵可写成Pauli矩阵和: $\tilde{V} = \frac{\delta}{2} \sigma_z + \text{Re} \left(\frac{V_{ab}}{\hbar} \right) \sigma_x - \text{Im} \left(\frac{V_{ab}}{\hbar} \right) \sigma_y$, $\begin{pmatrix} \tilde{C}_a(t) \\ \tilde{C}_b(t) \end{pmatrix} = e^{-i\tilde{V}t} \begin{pmatrix} \tilde{C}_a(0) \\ \tilde{C}_b(0) \end{pmatrix}$

其解

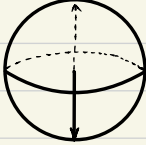
$$\begin{pmatrix} \tilde{C}_a \\ \tilde{C}_b \end{pmatrix} = \begin{pmatrix} \cos \frac{\omega_r}{2} t + i \frac{\delta}{\omega_r} \sin \frac{\omega_r}{2} t & -i \frac{2V_{ab}}{\hbar \omega_r} \sin \frac{\omega_r}{2} t \\ \dots & \cos \frac{\omega_r}{2} t - i \frac{\delta}{\omega_r} \sin \frac{\omega_r}{2} t \end{pmatrix} \begin{pmatrix} \tilde{C}_a(0) \\ \tilde{C}_b(0) \end{pmatrix} \quad \text{with } \omega_r = \sqrt{\delta^2 + \frac{4|V_{ab}|^2}{\hbar^2}} \quad \text{Rabi Frequency}$$

考虑 $\tilde{C}_a(0)=1, \tilde{C}_b(0)=0$ 的特殊情况

$$\textcircled{1} \begin{cases} \tilde{C}_a(t) = \cos \frac{\omega_r}{2} t + i \frac{\delta}{\omega_r} \sin \frac{\omega_r}{2} t \\ \tilde{C}_b(t) = -i \frac{2V_{ab}}{\hbar \omega_r} \sin \frac{\omega_r}{2} t \end{cases}$$



② 用 Bloch 球去理解



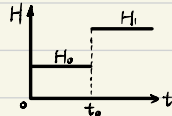
$e^{i\psi(\pi/2)}$
想翻上去, 则 ψ 必在 xy 平面内 $\Rightarrow \delta=0$, 共振

c. 含时问题特殊

① 突变近似

$$\hat{H}_0 | \psi_n \rangle = E_n | \psi_n \rangle$$

$$\hat{H}_1 | \psi_n \rangle = E_n | \psi_n \rangle$$



在 t_0 时刻, 态近似不变.

$$t=0, |\psi\rangle = \sum_n c_n | \psi_n \rangle$$

$$0 < t < t_0, |\psi\rangle = \sum_n c_n e^{-iE_n t} | \psi_n \rangle$$

$$t=t_0, |\psi\rangle = \sum_n c_n e^{-iE_n t_0} | \psi_n \rangle$$

$$t > t_0, |\psi\rangle = \sum_n e^{-iE_n(t-t_0)} | \psi_n \rangle$$

② 绝热近似

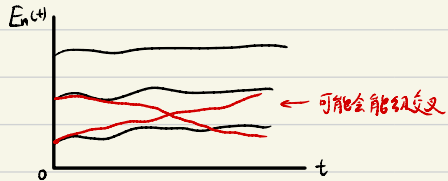
$\hat{H}(t)$ 缓变, 则初始本征态绝热跟随瞬时 $\hat{H}(t)$ 的本征态演化 $\hat{H}(t) | \psi_n(t) \rangle = E_n(t) | \psi_n(t) \rangle$

$$t=0, | \psi_n \rangle, \hat{H}(t=0) | \psi_n \rangle = E_n(0) | \psi_n \rangle$$

$$t>0, | \psi_n(t) \rangle \approx e^{i\theta_n(t)} e^{i\gamma_n(t)} | \psi_n(t) \rangle$$

$$\text{动力学 phase } \theta_n = -\frac{i}{\hbar} \int_0^t E_n(t') dt'$$

$$\text{几何学 phase } \gamma_n = i \int_0^t \langle \psi_n(t') | \frac{d}{dt'} | \psi_n(t') \rangle dt'$$



Spin, 角动量耦合 (CG) ; 绝热近似 { 简单 / 非简单 } ; 变分 { 简单 / 含时 }

Bonus: 拓展论题

1. 全同粒子 详见 Griffith

内禀属性一致的全同粒子

N个粒子体系

$$\hat{P}_{ij} \psi(z_1, \dots, z_i, \dots, z_j, \dots, z_N) = \psi(z_1, \dots, z_j, \dots, z_i, \dots, z_N)$$

如 $[\hat{P}_{ij}, A] = 0$, 有共同本征态

$$\hat{P}_{ij} \psi = \lambda \psi, \hat{P}_{ij}^2 \psi = \lambda^2 \psi = \psi \Rightarrow \lambda = \pm 1$$

$$\text{定义} \begin{cases} \hat{P}_{ij} \psi^S = \psi^S & \text{交换对称} \\ \hat{P}_{ij} \psi^A = -\psi^A & \text{交换反对称} \end{cases}$$

e.g. 两粒子波函数

$$\hat{H} = \hat{h}_1(g_1) + \hat{h}_2(g_2) \quad \text{设 } h_i \varphi_{k_i}(g_i) = \varepsilon_{k_i} \varphi_{k_i}(g_i) \quad i=1,2$$

$$\text{考虑 } \hat{H} \varphi_{k_1}(g_1) \varphi_{k_2}(g_2) = (\varepsilon_{k_1} + \varepsilon_{k_2}) \varphi_{k_1}(g_1) \varphi_{k_2}(g_2)$$

直积态

e.g. 交换作用 (参见 Griffith)

$$\text{直积态 } |a\rangle |b\rangle \quad \text{对称态 } \frac{1}{\sqrt{2}}(|a\rangle |b\rangle + |b\rangle |a\rangle) \quad \text{反对称态 } \frac{1}{\sqrt{2}}(|a\rangle |b\rangle - |b\rangle |a\rangle)$$

求 $\langle (\hat{x}_1 - \hat{x}_2)^2 \rangle$

$$\text{直积态: } \langle a | \langle b | (\hat{x}_1^2 + \hat{x}_2^2 - 2\hat{x}_1\hat{x}_2) | a \rangle | b \rangle = \langle \hat{x}_1^2 \rangle_a + \langle \hat{x}_2^2 \rangle_b - 2\langle \hat{x}_1 \rangle_a \langle \hat{x}_2 \rangle_b$$

$$\text{设 } \begin{cases} \langle \hat{x}_1^2 \rangle_a = \langle \hat{x}_2^2 \rangle_a & \langle \hat{x}_1 \rangle_a = \langle \hat{x}_2 \rangle_a \\ \langle \hat{x}_1^2 \rangle_b = \langle \hat{x}_2^2 \rangle_b & \langle \hat{x}_1 \rangle_b = \langle \hat{x}_2 \rangle_b \end{cases} \Rightarrow \langle \hat{x}_1^2 \rangle_a + \langle \hat{x}_2^2 \rangle_b - 2\langle \hat{x}_1 \rangle_a \langle \hat{x}_2 \rangle_b \neq 2\langle \hat{x}_1 \rangle_{ab}^2$$

$$\text{即 } \begin{cases} \text{对称态} & \text{间距小 (成键)} \\ \text{反对称态} & \text{间距大 (反键)} \end{cases}$$

2. 量子信息初步

① 密度矩阵

a. 对于任意态 $|\psi\rangle$ $\hat{\rho} = |\psi\rangle\langle\psi|$ 含概了体系的量子信息

$$\text{力学量期望值: } \langle \psi | \hat{A} | \psi \rangle = \sum_k \langle \psi | \hat{A} | \psi \rangle \langle \psi | \psi_k \rangle = \text{Tr}(\hat{A} \hat{\rho})$$

e.g. a: 二能级体系 (qubit)

$$|\psi\rangle = |\uparrow_x\rangle = \frac{\sqrt{2}}{2}(|\uparrow_z\rangle + |\downarrow_z\rangle)$$

$$\Rightarrow \hat{\rho} = |\psi\rangle\langle\psi| = \dots \sim \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix} \leftarrow \text{相干性 Coherence}$$

则 \hat{S}_z 测值 $\begin{cases} P = \frac{1}{2} \uparrow_z \\ P = \frac{1}{2} \downarrow_z \end{cases}$ \hat{S}_x 测值 $\begin{cases} P = 1 \uparrow_x \\ P = 0 \downarrow_x \end{cases}$ **纯态**

再考虑 $\hat{\rho}' = \frac{1}{2}|\uparrow_z\rangle\langle\uparrow_z| + \frac{1}{2}|\downarrow_z\rangle\langle\downarrow_z| \sim \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{pmatrix}$ 则 \hat{S}_x 测值 $\begin{cases} P = \frac{1}{2} \uparrow_x \\ P = \frac{1}{2} \downarrow_x \end{cases}$ **混态**

$= \frac{1}{2}|\uparrow_x\rangle\langle\uparrow_x| + \frac{1}{2}|\downarrow_x\rangle\langle\downarrow_x|$ **我不能化到只有一项纯**

应用: 随机制备 $\begin{cases} \frac{1}{2} \uparrow_x \begin{cases} \frac{1}{2} \uparrow_x \\ \frac{1}{2} \downarrow_x \end{cases} \\ \frac{1}{2} \downarrow_x \begin{cases} \frac{1}{2} \uparrow_x \\ \frac{1}{2} \downarrow_x \end{cases} \end{cases} \Rightarrow \begin{cases} \frac{1}{2} \uparrow_x \\ \frac{1}{2} \downarrow_x \end{cases}$

b. 两个二能级体系

$$|\psi_{AB}\rangle = a|\uparrow_z\rangle_A |\uparrow_z\rangle_B + b|\downarrow_z\rangle_A |\downarrow_z\rangle_B \quad \text{with } |a|^2 + |b|^2 = 1$$

对 A 的态测量 $\begin{cases} P = |a|^2 \uparrow_z \text{ 同时态坍塌为 } |\uparrow_z\rangle_A |\uparrow_z\rangle_B \\ P = |b|^2 \downarrow_z \text{ 同时态坍塌为 } |\downarrow_z\rangle_A |\downarrow_z\rangle_B \end{cases}$

如不关心 B 的结果, 只对 A 进行测量:

$$\langle \psi_{AB} | \hat{M}_A \otimes \hat{I}_B | \psi_{AB} \rangle = |a|^2 \langle \uparrow_z | \hat{M}_A | \uparrow_z \rangle_A + |b|^2 \langle \downarrow_z | \hat{M}_A | \downarrow_z \rangle_A \quad \leftarrow \text{把完备基, } \{|\uparrow_z\rangle, |\downarrow_z\rangle\} \text{ 为 A 的基}$$

$$\stackrel{\substack{\text{交换下标的} \\ \text{相乘顺序}}}{=} |a|^2 \langle \uparrow_z | \hat{M}_A | \uparrow_z \rangle + |a|^2 \langle \uparrow_z | \hat{M}_A | \uparrow_z \rangle + |b|^2 \langle \downarrow_z | \hat{M}_A | \downarrow_z \rangle + |b|^2 \langle \downarrow_z | \hat{M}_A | \downarrow_z \rangle$$

$$\text{定义 } \hat{\rho}_A = |a|^2 |\uparrow_z\rangle\langle\uparrow_z| + |b|^2 |\downarrow_z\rangle\langle\downarrow_z| \Rightarrow \text{混态}$$

$$= \text{Tr}_B(\hat{\rho}_{AB} \hat{M}_A)$$

② 纠缠与纠缠态

可分离的态 $|\psi_{AB}\rangle = |\varphi_A\rangle \otimes |\chi_B\rangle$

$$\hat{\rho}_A = \text{Tr}_B(\hat{\rho}_{AB}) = |\varphi_A\rangle\langle\varphi_A| \otimes \langle\chi|\chi\rangle_B = |\varphi_A\rangle\langle\varphi_A| \quad \text{成为纯态}$$

找一组 B 的完备基, 把 B 作用掉!