

绪论

力学: 物体位置, 形状变化, $r_i = r_i(t)$, ($i=1, 2, \dots, n$)

描述 — 运动学 坐标系, 参考系

解释 — 动力学 $F = ma$ (惯)

$$F = -\frac{GMm}{r^2} \quad F = -kx \quad F = qE + qv \times B$$

质点, 四年

刚体, 波(叠加原理)

动量: 整体位置

角动量: 整体方位

能量

复杂体系(质心系) 原点在质心上, 质心可平动

理论力学: 介绍另外一些与牛顿方程等价的动力学解释

牛顿方程
力

$$F(t+\epsilon) = F(t) + \epsilon \dot{F}(t)$$

$$\dot{v}(t+\epsilon) = \dot{v}(t) + \epsilon \ddot{v}(t) = \dot{v}(t) + \epsilon \frac{F(t)}{m}$$

考查相邻时刻状态之间的联系

$$p \cdot E = \frac{p^2}{2m} \quad p \times E = -\frac{\partial B}{\partial t}$$

$$v \cdot B = 0 \quad v \times B = \mu j + \frac{1}{c} \frac{\partial E}{\partial t}$$

分析力学
能量

最小作用原理(位形空间) $\frac{\partial L}{\partial q} = \frac{d}{dt} \frac{\partial L}{\partial \dot{q}}$
拉格朗日方程

最小作用原理(相空间)

哈密顿方程 $\dot{q}_i = \frac{\partial H}{\partial p_i}$ 对于位置和速度同时对称
牛顿力学只能描述定态变化

$$\dot{p}_i = -\frac{\partial H}{\partial q_i}$$

给出一个圆的不同方法:

1. 一个点一个点描迹 牛顿力学
2. 围出最大面积的闭曲线 拉格朗日力学
3. $g = \frac{u}{2a}$ (u, g) $y = ux - g$ 哈密顿力学

对称性是现代物理的基石之一

空间平移不变性 \Rightarrow 动量守恒

空间旋转不变性 \Rightarrow 角动量守恒

时间平移不变性 \Rightarrow 能量守恒

课程结构

• Lagrange 力学

多自由度微振动 \rightarrow 场论

• Hamilton 力学

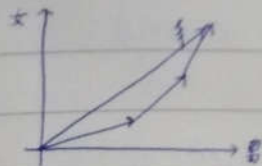
散射

刚体

CH1. 运动学

§1 坐标变换

有大小, 有方向, 满足三角形法则, 无标量



$$\vec{r} = \sum_j x_j \hat{x}_j = \sum_j (\vec{r} \cdot \hat{x}_j) \hat{x}_j$$

$$= \sum_j x_j \hat{x}_j = \sum_j (\vec{r} \cdot \hat{x}_j) \hat{x}_j$$

$$\hat{x}_i = \sum_j (\hat{x}_i \cdot \hat{x}_j) \hat{x}_j = \sum_j \lambda_{ij} \hat{x}_j \quad \lambda_{ij} \equiv \hat{x}_i \cdot \hat{x}_j$$

$$\hat{x}_j = \sum_i (\hat{x}_i \cdot \hat{x}_j) \hat{x}_i = \sum_i \lambda_{ji} \hat{x}_i$$

$$x_i = \vec{r} \cdot \hat{x}_i = \sum_j (x_j \cdot \hat{x}_j) \cdot \hat{x}_i = \sum_j \lambda_{ji} x_j \quad x_i = \sum_j \lambda_{ji} x_j$$

一. 变换矩阵 $\lambda = \begin{pmatrix} \lambda_{11} & \lambda_{12} & \lambda_{13} \\ \lambda_{21} & \lambda_{22} & \lambda_{23} \\ \lambda_{31} & \lambda_{32} & \lambda_{33} \end{pmatrix} \leftarrow \hat{x}_i$

\uparrow
 \hat{x}_j

$$\delta_{ij} = \hat{x}_i \cdot \hat{x}_j = \left(\sum_k \lambda_{ik} \hat{x}_k \right) \cdot \left(\sum_l \lambda_{jl} \hat{x}_l \right) = \sum_{kl} \lambda_{ik} \lambda_{jl} \hat{x}_k \cdot \hat{x}_l = \sum_{kl} \lambda_{ik} \lambda_{jl} \delta_{kl}$$

$$(ij) = (11) (22) (33) (12) (23) (31) \quad \text{三个独立变量}$$

$$(21) (32) (13)$$

$$I = \lambda I \lambda^T = \lambda \lambda^T$$

1. 正交变换 $\lambda \in O(3): \lambda \lambda^T = I = \lambda^T \lambda$

2. $\det(\lambda) = \begin{cases} +1 & \text{转动} \\ -1 & \text{反演 (+转动)} \end{cases} \quad \lambda \in SO(3)$

右手系 \rightarrow 左手系

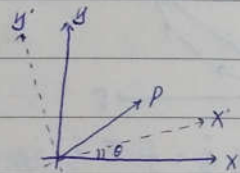
3. $\lambda, \mu \in SO(3) \Rightarrow \lambda\mu, \mu\lambda \in SO(3)$

一般 $\lambda\mu \neq \mu\lambda$ 转动次序不可交换

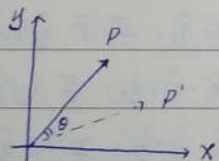
4. $\lambda \in SO(3)$ 描述的变换可以经由一次转动实现

转轴 n , 转角 θ (奇阶正交阵必有 1 这一特征值)

5. 两种观点: 被动 轴动



主动 点动



二. 求和约定

如果某一指标在同一单项式中重复出现, 则对其求和

矩阵乘法 $(AB)_{ij} = \sum_{k=1}^3 A_{ik} B_{kj}$ ($i, j = 1, 2, 3$)

$\Leftrightarrow (AB)_{ij} = A_{ik} B_{kj}$ $k \leftarrow$ 求和(哑)指标, $ij \leftarrow$ 自由指标

$$\lambda_{ik} \lambda_{jk} = \delta_{ij} = \lambda_{ki} \lambda_{kj}$$

$$\delta_{ii} = 3 \quad (A_{ii} = A_{11} + A_{22} + A_{33} = \text{tr} A)$$

$$A_{ij} \delta_{jk} = A_{ik} \quad A_{ij} \delta_{ji} = A_{ii} = A_{jj} = \text{tr} A$$

$$A_{ij} B_{ji} = (AB)_{ii} = \text{tr}(AB) \quad \text{先对 } j \text{ 求和}$$

$$= (BA)_{jj} = \text{tr}(BA) \quad \text{先对 } i \text{ 求和}$$

三. 排列符号 ($i, j, k = 1, 2, 3$)

$\epsilon = \begin{cases} \pm 1 & (ijk) \text{ 偶排列, } -1: (ijk) \text{ 奇排列, } -1 \\ 0 & \end{cases}$

$$\begin{vmatrix} \delta_{11} & \delta_{12} & \delta_{13} \\ \delta_{21} & \delta_{22} & \delta_{23} \\ \delta_{31} & \delta_{32} & \delta_{33} \end{vmatrix}$$

1. 完全反对称 ($\epsilon_{123} = 1$)

$$2. \epsilon_{ijk} \epsilon_{mnk} = \delta_{im} \delta_{jn} - \delta_{in} \delta_{jm} = \epsilon_{ikj} \epsilon_{mkn} = \epsilon_{kij} \epsilon_{kmn}$$

$$\epsilon_{ijk} \epsilon_{mjk} = \delta_{im} \delta_{jj} - \delta_{ij} \delta_{jm} = 3\delta_{im} - \delta_{im} = 2\delta_{im}$$

$$\epsilon_{ijk} \epsilon_{ijk} = 6$$

$$3. \epsilon_{ijk} A_{i1} A_{j2} A_{k3} = \det A = \epsilon_{ijk} A_{i1} A_{j2} A_{k3}$$

$$\epsilon_{ijk} A_{i1} A_{j2} A_{k3} = \epsilon_{lmn} \det A$$

§2 张量及其运算

一. 张量

3 维 Euclid 空间的 n 阶张量 T

在任一给定直角坐标系下由 3^n 个分量 $T_{i_1 \dots i_n}$ 描述

在正交变换 $X_i \mapsto X'_i = \lambda_{ij} X_j, \lambda \in O(3), T$

$$T'_{i_1 \dots i_n} = \underbrace{\lambda_{i_1 k_1} \dots \lambda_{i_n k_n}}_{n \uparrow} T_{k_1 \dots k_n}$$

1. 标量(零阶) $\phi: \phi' = \phi$ 与坐标系选取无关

eg: $\pi, t, l, m, \rho, Q, \rho_0$

2. 矢量(一阶) $\vec{f}: f'_i = \lambda_{ij} f_j$

eg: $\vec{r}, d\vec{r}, \hat{x}_i (i=1, 2, 3)$

3. 二阶张量 $\vec{T}: T'_{ij} = \lambda_{ik} \lambda_{jl} T_{kl}; T_{kl} \neq T_{lk}; T' = \lambda T \lambda^T$ (相似变换)

eg: $\vec{T} = \vec{A}\vec{B}$; $T_{ij} = A_i B_j \Rightarrow \hat{x}_i \hat{x}_j (i, j = 1, 2, 3)$

eg: 单位张量 \vec{I} : $I_{ij} = \delta_{ij} = I_{ji}$

对称张量 $T_{ij} = T_{ji}$ 反对称张量 $T_{ij} = -T_{ji}$

4. n阶张量: $T_{i \dots j} = \det \lambda \cdot \lambda_{i1} \dots \lambda_{jk} T_{i \dots k}$

eg: $\det \lambda \cdot \epsilon_{ijk} = \lambda_{i1} \lambda_{j2} \lambda_{k3} \epsilon_{123}$

二. 张量代数运算

0. 相等 若 $T_{ij} = S_{ij}$, 则 $T_{ij} = S_{ij}$

1. 线性组合 n 阶 n 阶 $\Rightarrow n$ 阶

$(aT + bS)_{i \dots j} = aT_{i \dots j} + bS_{i \dots j}$

eg: $\vec{v} = \frac{d\vec{r}}{dt}$, $\vec{a} = \frac{d\vec{v}}{dt}$, $\vec{p} = m\vec{v}$, $\vec{F} = m\vec{a}$

$\vec{f} = f_i \hat{x}_i$ $\vec{T} = T_{ij} \hat{x}_i \hat{x}_j$

$f'_i \hat{x}'_i = (\lambda_{ik} f_k)(\lambda_{il} \hat{x}_l) = \lambda_{ik} \lambda_{il} (f_k \hat{x}_l) = \delta_{kl} f_k \hat{x}_l = f_l \hat{x}_l$

$T'_{ij} = \frac{T_{ij} + T_{ji}}{2} + \frac{T_{ij} - T_{ji}}{2} = T_{(ij)} + T_{[ij]}$

2. 张量积 n 阶, m 阶 $\Rightarrow n+m$ 阶

$(T \otimes S)_{i \dots j k \dots l} = T_{i \dots j} S_{k \dots l}$
 $\uparrow \quad \uparrow \quad \uparrow \quad \uparrow$
 $n \quad m \quad n \quad m$

$\vec{T} = \vec{f}\vec{g}$ 并矢 不满足交换律

3. 缩并 $n (\geq 2)$ 阶 $\Rightarrow (n-2)$ 阶

$T_{ijk} \Rightarrow \begin{cases} T_{ikk} = A_i \\ T_{kik} = B_i \\ T_{kji} = C_i \end{cases}$

$T_{ij} \Rightarrow T_{ii} = \text{tr} T$

$\vec{A} \times \vec{B} = (\epsilon_{ijk} A_j B_k) \hat{x}_i$ 轴(赝矢量)

对应于反对称张量

$\vec{r} \times \vec{p}$ $\vec{r} \times \vec{F}$ $d\vec{B} = \frac{\mu}{4\pi} \frac{Id\vec{l} \times \vec{r}}{r^3}$ $\vec{m} = \frac{1}{2} \int \vec{r} \times d\vec{r}$

$\vec{f} \cdot \vec{g} = (f_i \hat{x}_i) \cdot (g_j \hat{x}_j) = f_i g_j \hat{x}_i \hat{x}_j$

$\vec{f} \cdot \vec{g} = (f_i \hat{x}_i) \cdot (g_j \hat{x}_j) = f_i g_j \hat{x}_i \hat{x}_j = f_i g_i$

$\vec{f} \times \vec{g} = (f_i \hat{x}_i) \times (g_j \hat{x}_j) = f_i g_j \hat{x}_i \times \hat{x}_j = (\epsilon_{ij} f_i g_j) \hat{x}_k$

$\vec{T} \cdot \vec{g} = (T_{ij} \hat{x}_i \hat{x}_j) \cdot (g_k \hat{x}_k) = T_{ij} g_k \hat{x}_i \hat{x}_j \hat{x}_k = (T_{ij} g_j) \hat{x}_i$

三. 张量不变性

Theorem 线性映射 $\vec{T}: \vec{A} \mapsto \vec{B} = \vec{T}(\vec{A})$ 为二阶张量

$\vec{B} = B_i \hat{x}_i$

$\vec{T}(A_j \hat{x}_j) = A_j \vec{T}(\hat{x}_j) = A_j T_{ij} \hat{x}_i$ $T_{ij} = \hat{x}_i \cdot \vec{T}(\hat{x}_j)$

$B_i = T_{ij} A_j$

变换行为 $T'_{ij} = \hat{x}'_i \cdot \vec{T}(\hat{x}'_j) = \lambda_{ik} \lambda_{jl} T_{kl}$
 $\uparrow \quad \uparrow$
 $\lambda_{ik} \hat{x}_k \quad \lambda_{jl} \hat{x}_l$

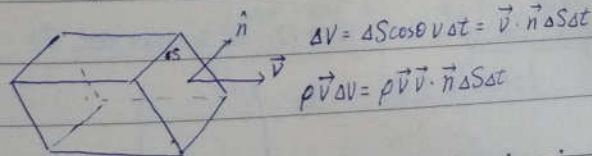
表示两个向量之间的变换关系

$\vec{T}: \vec{A} \mapsto I(\vec{A}) = \vec{A}$

如: $\vec{P} = \epsilon_0 \vec{X} \cdot \vec{E}$

$\vec{T}: \vec{a} \mapsto \vec{F} = \vec{T}(\vec{a}) = m\vec{a} \Rightarrow \vec{F} = m\vec{a} = m\vec{I} \cdot \vec{a}$

如: $dI = \vec{j} \cdot d\vec{S}$ dt 内穿过 ΔS 的动量



$$\rho \vec{v} \cdot \Delta \vec{S} = \vec{T} \cdot \Delta \vec{S} \quad (\vec{T} = \rho \vec{v} \vec{v}) \quad \text{动量流密度}$$

$$\oint \vec{T} \cdot d\vec{S}$$

$$T_{ij} = \rho \hat{x}_i \hat{x}_j = \hat{x}_i \cdot \Delta \vec{S} \cdot \hat{x}_j$$

在 \hat{x}_i 上的投影 从与 \hat{x}_j 垂直流出

$$-\frac{d}{dt} \int \rho dV = \oint_{\partial V} \vec{j} \cdot d\vec{S} \Leftrightarrow -\partial_t \rho = \nabla \cdot \vec{j} \quad \text{连续性方程}$$

$$-\frac{d}{dt} \int \vec{g} dV = \oint \vec{T} \cdot d\vec{S} + \int \vec{f} dV$$

$$\begin{matrix} \uparrow & \uparrow & \uparrow \\ \rho \vec{E} \times \vec{B} & \frac{1}{2} \epsilon_0 (\vec{E}^2 + c^2 \vec{B}^2) \vec{I} & \rho \vec{E} + \vec{j} \times \vec{B} \end{matrix}$$

$$-\epsilon_0 (\vec{E} \vec{E} + c^2 \vec{B} \vec{B})$$

电磁场动量
量减少

电磁场动量流出

使粒子动量增加

$$\Rightarrow -\partial_i \vec{g} = \nabla \cdot \vec{T} + \vec{f}$$

Theorem 双线性函数

$$\vec{T}: \vec{A}, \vec{B} \mapsto \phi = \vec{T}(\vec{A}, \vec{B}) \text{ 为二阶张量}$$

$$T_{ij} = \vec{T}(\hat{x}_i, \hat{x}_j)$$

$$dl' = dx_i dx_j = \delta_{ij} dx_i dx_j = \delta_{ij} dx_i dx_j = dl'' \quad x_i = \lambda_{ij} x_j$$

$$\Rightarrow \lambda \lambda^T = I$$

正交变换另一种引入, 易推广

四维空间亦有6个独立转动

$$ds^2 = g_{\alpha\beta} dx_\alpha dx_\beta = dl'^2 - (cdt)^2 = dl''^2 - (cdt)^2 = g_{\alpha\beta} dx'_\alpha dx'_\beta = ds'^2$$

$$x_\alpha = \Lambda_{\alpha\beta} x'_\beta \quad x_\alpha \rightarrow (x_0, x_1, x_2, x_3) = (ct, \vec{r})$$

$$\text{Lorentz 变换} \quad g_{\alpha\beta} = \begin{pmatrix} -1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix}$$

在以上讨论中, 将矢量作位矢作为矢量的原型

弯曲空间下矢量的定义

$$\vec{x}_p: f \mapsto \vec{x}_p(f) = \hat{x}_i \frac{\partial}{\partial x_i} f|_p \quad (\text{以偏导为基})$$

用对应关系作为一切的基础

§3 转动矩阵的几何意义(主动)

Theorem $\lambda \in SO(3)$ 的本征值可写为 $\lambda_1 = e^{i\theta}, \lambda_2 = e^{-i\theta}, \lambda_3 = +1$

证: ① $\det \lambda = 1 = \lambda_1 \lambda_2 \lambda_3$

② 本征矢方程 $\lambda X = aX$

$$X^T \lambda X = a^* X^T X \quad \lambda^T = (\lambda^*)^T$$

$$\Rightarrow X^T \lambda^T \lambda X = a a^* X^T X$$

$$\Rightarrow X^T X = |a|^2 X^T X$$

$$\Rightarrow |\lambda_1| = |\lambda_2| = |\lambda_3| = 1$$

③ 久期方程 $\det(\lambda - aI) = 0$

是三次实系数的方程

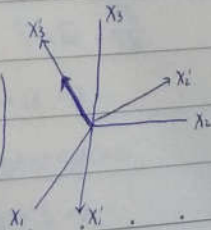
Theorem $\lambda \in SO(3)$ 描述的变换可经由一次转动实现

转轴 $\hat{n}: \lambda \hat{n} = +1 \cdot \hat{n}$

转角 $\theta: \cos \theta = \frac{\text{tr} \lambda - 1}{2}$

证: $x'_i = \mu_{ij} x_j \quad (\mu \mu^T = I)$

$$\lambda' = \mu \lambda \mu^T = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ +\sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



$$\text{tr} \lambda = \lambda_1 + \lambda_2 + \lambda_3 = 1 + 2\cos\theta$$

$$\text{tr} \lambda' = 1 + 2\cos\theta'$$

$$\Rightarrow \theta' = \pm\theta$$

$$\lambda = \begin{pmatrix} \lambda_{11} & \lambda_{12} & \lambda_{13} \\ \lambda_{21} & \lambda_{22} & \lambda_{23} \\ \lambda_{31} & \lambda_{32} & \lambda_{33} \end{pmatrix}$$

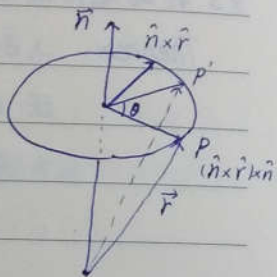
$$\cos\theta = \frac{(\lambda_{11} + \lambda_{22} + \lambda_{33}) - 1}{2}$$

$$\hat{n} = (\lambda_{32} - \lambda_{23}, \lambda_{13} - \lambda_{31}, \lambda_{21} - \lambda_{12})$$

$$\vec{r}' = \vec{r}'(\vec{r}, \hat{n}, \theta)$$

$$= a\hat{n} + b\hat{n} \times \vec{r} + c(\hat{n} \times \vec{r}) \times \hat{n}$$

$$\begin{matrix} \uparrow & \uparrow & \uparrow \\ \hat{n} \cdot \vec{r} & \sin\theta & \cos\theta \end{matrix}$$



1. 转动公式 $\vec{r}' = \vec{r} \cos\theta + \hat{n}(\hat{n} \cdot \vec{r})(1 - \cos\theta) + \hat{n} \times \vec{r} \sin\theta$

转动用3个独立变量描述, 但不能用一个矢量描述

(1) 其分量无意义

(2) 次序不可交换

2. 无限小转动 ($\theta \rightarrow d\theta$)

$$\vec{r}' = \vec{r} + \vec{n} \times \vec{r} d\theta \Rightarrow d\vec{r} = \vec{r}' - \vec{r} = \vec{n} d\theta \times \vec{r} = d\vec{\omega} \times \vec{r}$$

$$\Rightarrow \frac{d\vec{r}}{dt} = \vec{n} \times \vec{r}$$

$$\frac{d\vec{r}}{dt} = \vec{\omega} \times \vec{r} \quad \vec{\omega} \equiv \frac{d\vec{\omega}}{dt} = \vec{n} \frac{d\theta}{dt}$$

$$X'_i = X_i + \epsilon_{ijk} n_k X_j d\theta = \lambda_{ij} X_j$$

$$\lambda_{ij} = \delta_{ij} - \epsilon_{ijk} n_k d\theta$$

$$\lambda = I + d\Omega$$

$$d\Omega = \begin{pmatrix} 0 & -n_3 & n_2 \\ n_3 & 0 & -n_1 \\ -n_2 & n_1 & 0 \end{pmatrix} d\theta$$

无限小转动对应张量分解

= 对称张量 + 反对称张量

都相等

↓
质矢量

$$|\vec{C}(t)| = \text{常数} \Leftrightarrow \frac{d\vec{C}}{dt} = \vec{\omega} \times \vec{C}$$

两边C左点乘

$$m \frac{d\vec{v}}{dt} = q \vec{v} \times \vec{B} \Rightarrow \frac{d\vec{v}}{dt} = \vec{\omega} \times \vec{v} \quad (\vec{\omega} = -\frac{q\vec{B}}{m})$$

§4 场

场也可以看作一种映射 $\vec{E}: P \rightarrow \vec{E}(P)$

一. 标量场

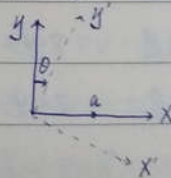
$$\varphi: P \rightarrow \varphi(P)$$

$$\varphi(P) = \varphi(P)$$

↑

$$\varphi(\vec{X}) = \varphi(\vec{X})$$

如: $\varphi(x, y) = (x-a)^2 + y^2$



$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

$$\varphi(\vec{X}') = \varphi(\vec{X}) = \varphi(\lambda^{-1}\vec{X}')$$

$$\varphi(x', y') = (x' \cos\theta + y' \sin\theta - a)^2 + (-x' \sin\theta + y' \cos\theta)^2$$

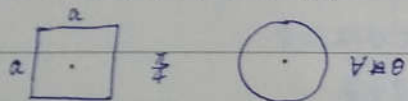
$$= (x' - a \cos\theta)^2 + (y' - a \sin\theta)^2$$

二. 标量场的对称性

若 $\varphi(\mathbf{X}) = \varphi(\mathbf{X}')$, 即 $\varphi(\lambda \mathbf{X}) = \varphi(\mathbf{X})$

则称 φ 在变换 $\mathbf{X}' = \lambda \mathbf{X}$ 下是不变/对称的

而入称为 φ 的对称操作



n 次对称轴 $\theta_n = \frac{2\pi}{n}$
旋转对称轴 θ

三. 梯度算子

$$\nabla \equiv \hat{x}_i \frac{\partial}{\partial x_i} = \hat{x}_i \partial_i \quad (\partial_i = \frac{\partial}{\partial x_i}, \quad \partial_x = \frac{\partial}{\partial x}, \quad \partial_\theta = \frac{\partial}{\partial \theta})$$

一阶线性偏微分矢量算子

$$\frac{\partial}{\partial x_i} = \frac{\partial x_j}{\partial x_i} \frac{\partial}{\partial x_j}$$

$$x_j = \lambda_{ij} x'_k \Rightarrow \frac{\partial x_j}{\partial x_i} = \lambda_{ij} \frac{\partial x'_k}{\partial x_i} = \lambda_{ij} \delta_{ki} = \lambda_{ij}$$

$$\Rightarrow \partial_i = \lambda_{ij} \partial_j$$

1. 三个定义

$$d\varphi = \nabla\varphi \cdot d\vec{l} \quad \text{梯度} \quad \nabla\varphi = (\partial_i \varphi) \hat{x}_i$$

$$\nabla \cdot \vec{F} = \lim_{V \rightarrow 0} \frac{\oint_V \vec{F} \cdot d\vec{S}}{V} \quad \text{散度} \quad \nabla \cdot \varphi = \partial_i F_i$$

$$\hat{n} \cdot (\nabla \times \vec{F}) = \lim_{S \rightarrow 0} \frac{\oint_S \vec{F} \cdot d\vec{l}}{S} \quad \text{旋度} \quad \nabla \times \varphi = (\epsilon_{ijk} \partial_j F_k) \hat{x}_i$$

2. 恒等式

$$\nabla \times \nabla\varphi = 0, \quad \nabla \cdot (\nabla \times \vec{A}) = 0$$

$$\nabla \cdot (\nabla \times \vec{A}) = \partial_i (\nabla \times \vec{A})_i = (\partial_i \epsilon_{ijk} \partial_j A_k)$$

$$= \epsilon_{ijk} \partial_i \partial_j A_k = 0$$

$$\nabla \times \nabla\varphi = \hat{x}_i \epsilon_{ijk} \partial_j (\partial_k \varphi) = 0$$

3. 两个定理

$$\nabla \times \vec{F} = 0 \Rightarrow \vec{F} = -\nabla\varphi$$

$$\nabla \cdot \vec{F} = 0 \Rightarrow \vec{F} = \nabla \times \vec{A}$$

要求 单连通区域, 或局部上

如: $\nabla \times \vec{F}(\vec{r}) = 0 \Rightarrow \vec{F}(\vec{r}) = -\nabla U(\vec{r})$

$$\Rightarrow U(\vec{r}) = \int_p^{\vec{r}} dU = \int_p^{\vec{r}} \nabla U \cdot d\vec{r} = - \int_p^{\vec{r}} \vec{F} \cdot d\vec{r}$$

恒力 \vec{F} $U = -\vec{F} \cdot \vec{r} = -\vec{F} \cdot \vec{r}$

弹性力 \vec{F} $U = +k \int \vec{r} \cdot d\vec{r} = k \int r dr = \frac{1}{2} k r^2$

平方反比 \vec{F} $U = -\alpha \int \frac{\vec{r} \cdot d\vec{r}}{r^3} = -\alpha \int \frac{dr}{r^2} = \frac{\alpha}{r}$

如: $\nabla \times \vec{F}(\vec{r}, t) \Rightarrow \vec{F}(\vec{r}, t) = -\nabla U(\vec{r}, t)$

可以写出标量势, 但是不是保守力

如: 电磁势

$$\nabla \cdot \vec{B} = 0 \Rightarrow \vec{B} = \nabla \times \vec{A}$$

$$\nabla \times \vec{E} = -\partial_t \vec{B} = -\partial_t (\nabla \times \vec{A}) = -\nabla \times (\partial_t \vec{A})$$

$$\Rightarrow \nabla \times (\vec{E} + \partial_t \vec{A}) = 0, \quad \vec{E} + \partial_t \vec{A} = -\nabla\varphi$$

$$\vec{E} = -\nabla\varphi - \partial_t \vec{A}, \quad \vec{B} = \nabla \times \vec{A}$$

$$\vec{E} = -\nabla\varphi - \partial_t \vec{A} = -\nabla\varphi - \partial_t \vec{A}$$

$$\vec{B} = \nabla \times \vec{A} = \nabla \times \vec{A}$$

$$\Rightarrow \nabla \times (\vec{A} - \vec{A}') = 0 \Rightarrow \vec{A} - \vec{A}' = \nabla\psi = \nabla\psi$$

$$\nabla(\varphi - \varphi') = -\partial_t(\vec{A} - \vec{A}') = -\partial_t \nabla\psi = -\nabla \partial_t \psi$$

$$\nabla(\varphi' - \varphi + \partial_t \psi) = 0 \Rightarrow \varphi' = \varphi - \partial_t \psi - f(t) = \varphi - \partial_t \psi$$

四. 推广

1. 对矢量求导

$$f = f(\vec{A}) : \frac{\partial f}{\partial \vec{A}} \equiv \frac{\partial f}{\partial A_i} \hat{x}_i = \left(\frac{\partial f}{\partial A_1} \quad \frac{\partial f}{\partial A_2} \quad \frac{\partial f}{\partial A_3} \right)$$

直角坐标系

$$f(\vec{r}, t) = \frac{df}{dt} = \frac{\partial f}{\partial x_i} \dot{x}_i + \frac{\partial f}{\partial t} = \frac{\partial f}{\partial \vec{r}} \cdot \dot{\vec{r}} + \frac{\partial f}{\partial t}$$

$$f = A(\vec{r}, t) \cdot \dot{\vec{r}} \quad \frac{\partial f}{\partial \dot{\vec{r}}} = A$$

$$f = f(\vec{r}, \dot{\vec{r}}, t) \quad \frac{df}{dt} = \frac{\partial f}{\partial \vec{r}} \cdot \dot{\vec{r}} + \frac{\partial f}{\partial \dot{\vec{r}}} \cdot \ddot{\vec{r}} + \frac{\partial f}{\partial t}$$

2. 对一组变量求导

$$f = f(q) = f(q_1, q_2, \dots, q_n) \quad \frac{\partial f}{\partial q} = \left(\frac{\partial f}{\partial q_1}, \dots, \frac{\partial f}{\partial q_n} \right)$$

$$q = (r, \theta, \phi) \quad \frac{\partial f}{\partial q} = \left(\frac{\partial f}{\partial r}, \frac{\partial f}{\partial \theta}, \frac{\partial f}{\partial \phi} \right) = \nabla f$$

$$dU = \nabla U \cdot d\vec{r} + \frac{\partial U}{\partial t} dt$$

3. (单连通, 局部)

$$F_i = -\frac{\partial \varphi}{\partial q_i} \Leftrightarrow \frac{\partial}{\partial q_i} F_i = \frac{\partial}{\partial q_i} F_j$$

场的引入: 避免超距作用

§5 正交曲线坐标系

$$\vec{r} = \vec{r}(u_1, u_2, u_3)$$

$$d\vec{r} = \frac{\partial \vec{r}}{\partial u_1} du_1 + \frac{\partial \vec{r}}{\partial u_2} du_2 + \frac{\partial \vec{r}}{\partial u_3} du_3$$

$$= (dx_i) \hat{x}_i$$

直角坐标

$$= (dr) \hat{r} + (r d\theta) \hat{\theta} + (r \sin\theta) d\phi \hat{\phi}$$

球坐标

$$= (ds) \hat{s} + (s d\phi) \hat{\phi} + (dz) \hat{z}$$

柱坐标

$h_i \rightarrow$ Lamé 系数

$$\vec{v} \equiv \frac{d\vec{r}}{dt}$$

$$= \dot{x}_i \hat{x}_i$$

$$= \dot{r} \hat{r} + r \dot{\theta} \hat{\theta} + r \dot{\phi} \sin\theta \hat{\phi}$$

$$= \dot{s} \hat{s} + s \dot{\phi} \hat{\phi} + \dot{z} \hat{z}$$

$$v^2 \equiv \dot{x}_i \dot{x}_i$$

$$= \dot{r}^2 + r^2 \dot{\theta}^2 + r^2 \dot{\phi}^2 \sin^2\theta$$

$$= \dot{s}^2 + s^2 \dot{\phi}^2 + \dot{z}^2$$

§6 约束

$$(x, y, z) \leftarrow \text{独立} \quad \frac{\partial x_i}{\partial x_j} = \delta_{ij}$$

$$(\dot{x}, \dot{y}, \dot{z}) \leftarrow \text{独立} \quad \frac{\partial \dot{x}_i}{\partial \dot{x}_j} = \delta_{ij}$$

$$(\vec{r}, \vec{v}) \leftarrow \text{独立} \quad \frac{\partial \dot{x}_i}{\partial x_j} = 0$$

约束: 是理想模型, 与质点, 刚体类似

主动力

约束力: 应运而生

一. 例子

1. 曲面: $f(\vec{r}, t) = 0 \Rightarrow \frac{df}{dt} = \frac{\partial f}{\partial \vec{r}} \cdot \dot{\vec{r}} + \frac{\partial f}{\partial t} = 0$

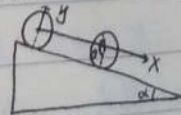
2. 曲线: $f_1(\vec{r}, t) = 0, f_2(\vec{r}, t) = 0$

需要两个方程来描述, 是两个约束

3. 纯滚动 $\dot{x} - R\dot{\theta} = 0$

$$\Rightarrow x = R\theta$$

4. 纯滚动 $\dot{x} = -R\dot{\phi} \sin\psi, \dot{y} = R\dot{\phi} \cos\psi$



$$d\theta = d\phi \hat{n} + d\psi \hat{z}$$

$$0 = \vec{v}_p = \vec{v} + \vec{\omega} \times \vec{r}_p^*$$

$$= (\dot{x} \hat{x} + \dot{y} \hat{y}) + (\dot{\phi} \hat{n} + \dot{\psi} \hat{z}) \times (R \hat{z}) \times \hat{x}$$

$$= (\dot{x} \hat{x} + \dot{y} \hat{y}) - R \dot{\phi} \hat{n} \times \hat{z}$$

$$= (\dot{x} + R \dot{\phi} \sin\psi) \hat{x} + (\dot{y} - R \dot{\phi} \cos\psi) \hat{y}$$

(这个约束条件导致的方程是不可积的)

(原本是四个坐标, 仍需要四个坐标)

$$f(x_1, x_2, \dots, x_n) = 0 \Rightarrow df = 0 \Rightarrow \sum_i A_i dx_i = 0$$

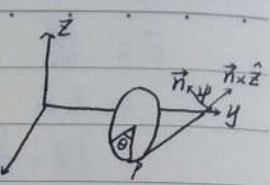
$$\sum_i A_i(x_1, x_2, \dots, x_n) dx_i = 0 \Rightarrow df(x_1, x_2, \dots, x_n) = 0 \Rightarrow f(x_1, x_2, \dots, x_n) = C$$

$$g_{A_i} = \frac{\partial f}{\partial x_i} \text{ (非零 } g) \text{ 可积条件}$$

$$\partial_i(g_{A_j}) = \partial_j(g_{A_i}) \text{ 无旋}$$

$$\vec{A} = \vec{A}(\vec{r}) \quad \text{积分曲线 } \vec{r} = \vec{r}(t) \quad \left\{ \begin{array}{l} \frac{d\vec{r}}{dt} = g\vec{A}(\vec{r}) \text{ 非线性} \\ \vec{r}(0) = \vec{r}_0 \end{array} \right.$$

$$\text{积分曲面 } f(\vec{r}) = C \quad \left\{ \begin{array}{l} \frac{\partial f}{\partial \vec{r}} = g\vec{A}(\vec{r}) \text{ 除非 } \vec{A} \cdot (\nabla \times \vec{A}) = 0 \\ \text{在局部也无解} \\ f(\vec{r}_0) = C \end{array} \right.$$



二. 约束分类

$$\text{约束方程 } f(\vec{r}, \dot{\vec{r}}, t) = f(\vec{r}_1, \dots, \vec{r}_n, \dot{\vec{r}}_1, \dots, \dot{\vec{r}}_n, t) = 0 (\geq 0)$$

1. 可解(单侧) $f \geq 0$

不可解(双侧) $f = 0$

解指解集. 双侧: 既不能进曲面内, 也不能离开曲面

2. 稳定 不显含时间 t

不稳定 显含时间 t

3. 几何 $f(\vec{r}, t) = 0$

微分 $f(\vec{r}, \dot{\vec{r}}, t) = 0$

可积, 可转化为对位置的限制
不可积

4. 完整: 几何 + 可积
非完整

三. 完整体系的运动学描述

n 粒子 $\vec{r} = (\vec{r}_1, \dots, \vec{r}_n)$

m 约束 $f_\alpha(\vec{r}, t) = 0 (\alpha = 1, 2, \dots, m)$ (独立)

$$f = z = 0 \quad (x, y)$$

$$f = r - R = 0 \quad \left\{ \begin{array}{l} x = R \sin\theta \cos\psi \quad (\theta, \psi) \\ y = R \sin\theta \sin\psi \\ z = R \cos\theta \end{array} \right.$$

$$y = R \sin\theta \sin\psi$$

$$z = R \cos\theta$$

1. 自由度

$$S = 3n - m$$

为了完全确定完整体系的位形所需独立变量个数

2. 广义坐标

$$q = (q_1, q_2, \dots, q_s)$$

独立

能够完全确定位形

$$\text{广义速度 } \dot{q} = (\dot{q}_1, \dot{q}_2, \dots, \dot{q}_s)$$

3. 变换方程 $\vec{r} = \vec{r}(q, t)$ 来自不稳定约束

$$\dot{\vec{r}} = \frac{\partial \vec{r}}{\partial q_i} \dot{q}_i + \frac{\partial \vec{r}}{\partial t} = \vec{r}'(q, \dot{q}, t)$$

$$\frac{\partial \vec{r}}{\partial q_k} = \frac{\partial \vec{r}}{\partial q_k \partial q_i} \dot{q}_i + \frac{\partial \vec{r}}{\partial q_k} \frac{\partial q_i}{\partial q_k} + \frac{\partial \vec{r}}{\partial q_k \partial t}$$

$$\Rightarrow \frac{\partial \vec{r}}{\partial q_k} = \frac{d}{dt} \frac{\partial \vec{r}}{\partial \dot{q}_k} \quad \frac{\partial \vec{r}}{\partial t} = \frac{d}{dt} \frac{\partial \vec{r}}{\partial t} \quad \frac{\partial \vec{r}}{\partial q_k} = \frac{\partial \vec{r}}{\partial q_k}$$

四. 位形空间

以 $q = (q_1, q_2, \dots, q_s)$ 为直角坐标构建的 s 维空间

用一条曲线代替了原来的 n 条曲线

$\vec{r} = (\vec{r}_1, \vec{r}_2, \dots, \vec{r}_n) = (x_1, y_1, z_1, \dots, x_n, y_n, z_n)$ $3n$ 维(母空间)

$f(\vec{r}, t) = 0$ $(3n-1)$ 维超曲面

$f_\alpha(\vec{r}, t) = 0 (\alpha=1, 2, \dots, m)$ $S = (3n-m)$ 维位形空间曲面

参数方程 $\vec{r} = \vec{r}(q, t)$

s 个独立切向量 $\vec{t}_i = \frac{\partial \vec{r}}{\partial q_i} (i=1, 2, \dots, s)$

m 个独立法向量 $\vec{n}_\alpha = \frac{\partial f_\alpha}{\partial \vec{r}} (\alpha=1, 2, \dots, m)$

独立约束, 也指法向量独立。必须低一维。

广义坐标是局部的, 平面的坐标是一一对应的。

理论力学中很多定理不严谨处, 往往是坐标失效处

五. (速度)相空间

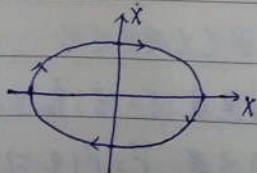
以 $(q, \dot{q}) = (q_1, q_2, \dots, q_s, \dot{q}_1, \dot{q}_2, \dots, \dot{q}_s)$ 为直角坐标构建的 $2s$ 维空间

空间

相点 \rightarrow 相轨迹

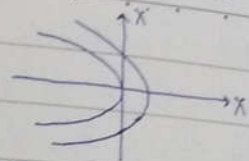
$$m\ddot{x} = -kx \quad \frac{d}{dt} \begin{pmatrix} x \\ \dot{x} \end{pmatrix} = \begin{pmatrix} \dot{x} \\ -\omega^2 x \end{pmatrix}$$

$$(\omega = \sqrt{\frac{k}{m}}) \quad \frac{d\vec{R}}{dt} = \vec{V}(\vec{R})$$



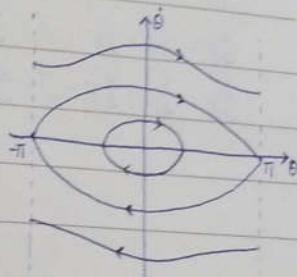
$$E = \frac{1}{2} m \dot{x}^2 + \frac{1}{2} m \omega^2 x^2 \text{ 椭圆}$$

$$\ddot{x} = -g \quad \frac{d}{dt} \begin{pmatrix} x \\ \dot{x} \end{pmatrix} = \begin{pmatrix} \dot{x} \\ -g \end{pmatrix}$$



$$\ddot{\theta} = -\omega_0^2 \sin \theta \quad \frac{d}{dt} \begin{pmatrix} \theta \\ \dot{\theta} \end{pmatrix} = \begin{pmatrix} \dot{\theta} \\ -\omega_0^2 \sin \theta \end{pmatrix}$$

$$\omega_0^2 = \frac{g}{l}$$



相空间中任意两条轨迹不可能相交

相空间维数 $\left\{ \begin{array}{l} \text{受可积, 几何约束} \quad \text{减} = \\ \text{受不可积约束} \quad \text{减} = \end{array} \right.$

六. 动能

1. 一般形式

$$T = \frac{1}{2} m a \left(\frac{\partial \vec{r}_a}{\partial q_i} \dot{q}_i + \frac{\partial \vec{r}_a}{\partial t} \right) \cdot \left(\frac{\partial \vec{r}_a}{\partial q_j} \dot{q}_j + \frac{\partial \vec{r}_a}{\partial t} \right)$$

$$= \frac{1}{2} m a \frac{\partial \vec{r}_a}{\partial q_i} \cdot \frac{\partial \vec{r}_a}{\partial q_j} \dot{q}_i \dot{q}_j + m a \left(\frac{\partial \vec{r}_a}{\partial q_i} \cdot \frac{\partial \vec{r}_a}{\partial t} \right) \dot{q}_i + \frac{1}{2} m a \frac{\partial \vec{r}_a}{\partial t} \cdot \frac{\partial \vec{r}_a}{\partial t}$$

$A_{ij}(q, t) \qquad B_i(q, t) \qquad C(q, t)$

$$T = \frac{1}{2} m a \vec{r}_a'^2 = T(\vec{r})$$

$$T = \frac{1}{2} A_{ij} \dot{q}_i \dot{q}_j + B_i \dot{q}_i + C = T_2 + T_1 + T_0 = T(q, \dot{q}, t)$$

对称, 正定

2. Euler 定理

$$\dot{q}_k \frac{\partial T}{\partial \dot{q}_k} = 2T_2 + T_1$$

$$\text{稳定约束 } \vec{r} = \vec{r}(q, t_0) \Rightarrow T = T_2 \Rightarrow \dot{q}_k \frac{\partial T}{\partial \dot{q}_k} = 2T$$

$$\vec{r} = \vec{r}(q, t), \quad \dot{\vec{r}} = \dot{\vec{r}}(q, \dot{q}, t)$$

$$T = \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\theta}^2 + r^2 \dot{\phi}^2 \sin^2 \theta) = \frac{1}{2} m v^2$$

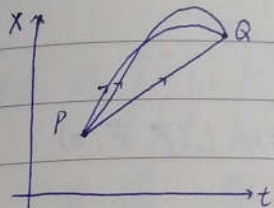
$$\frac{\partial T}{\partial \dot{r}} = \frac{\partial T}{\partial \dot{r}} \cdot \frac{\partial \dot{r}}{\partial \dot{r}} = \vec{p} \cdot \frac{\partial \dot{r}}{\partial \dot{r}} = \vec{p} \cdot \hat{r} = m \dot{r}$$

$$\frac{\partial T}{\partial \dot{\theta}} = \frac{\partial T}{\partial \dot{\theta}} \cdot \frac{\partial \dot{r}}{\partial \dot{\theta}} = \vec{p} \cdot \frac{\partial \dot{r}}{\partial \dot{\theta}} = \vec{p} \cdot r \hat{\theta} = \vec{p} \cdot (\hat{\phi} \times \vec{r}) = \vec{r} \times \hat{\phi} \cdot \vec{p} = \hat{\phi} \cdot \vec{l}$$

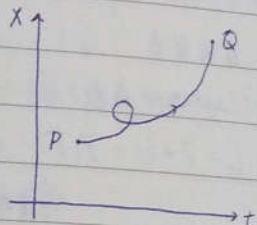
$$\frac{\partial T}{\partial \dot{\phi}} = \frac{\partial T}{\partial \dot{\phi}} \cdot \frac{\partial \dot{r}}{\partial \dot{\phi}} = \vec{p} \cdot \frac{\partial \dot{r}}{\partial \dot{\phi}} = \vec{p} \cdot r \sin \theta \hat{\phi} = \vec{p} \cdot (\hat{z} \times \vec{r}) = \hat{z} \cdot (\vec{r} \times \vec{p}) = \hat{z} \cdot \vec{l}$$

CH2. Lagrange 力学

§ 2.0 运动假想



可能路径



不可能路径

一. 匀速直线运动

解释: 是最短路径

$$\int \sqrt{\dot{x}^2 + c^2} dt = \int_{t_1}^{t_2} \sqrt{\dot{x}^2 + c^2} dt$$

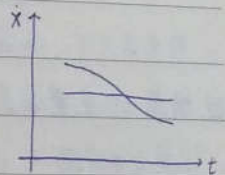
$$\Rightarrow \int_{t_1}^{t_2} (\dot{x}^2 + c^2) dt \Rightarrow \int_{t_1}^{t_2} \dot{x}^2 dt$$

$$0 \leq \int_{t_1}^{t_2} (\dot{x} - \langle \dot{x} \rangle)^2 dt$$

$$= \int_{t_1}^{t_2} \dot{x}^2 dt - 2 \int_{t_1}^{t_2} \dot{x} \langle \dot{x} \rangle dt + \int_{t_1}^{t_2} \langle \dot{x} \rangle^2 dt$$

$$= \int_{t_1}^{t_2} \dot{x}^2 dt - \int_{t_1}^{t_2} \langle \dot{x} \rangle^2 dt$$

$$\int_{t_1}^{t_2} T dt = \int_{t_1}^{t_2} \frac{1}{2} m \dot{x}^2 dt \quad \text{最小}$$



二. 抛物运动

解释: 在自由下落参考系中, 匀速

$$\dot{x}' = \dot{x} + \frac{1}{2} g t^2, \quad \ddot{x}' = \ddot{x} + g t$$

$$\int_{t_1}^{t_2} \frac{1}{2} m \dot{x}'^2 dt = \int_{t_1}^{t_2} \frac{1}{2} m (\dot{x} + g t)^2 dt$$

$$= \int_{t_1}^{t_2} \frac{1}{2} m \dot{x}^2 dt$$

$$+ \int_{t_1}^{t_2} m g t \dot{x} dt \leftarrow m g t x \Big|_{t_1}^{t_2} - \int_{t_1}^{t_2} m g x dt$$

$$+ \int \frac{1}{2} m g^2 t^2 dt \leftarrow \text{常数}$$

$$\int_{t_1}^{t_2} (T-U) dt = \int_{t_1}^{t_2} (\frac{1}{2} m \dot{x}^2 - mgx) dt \text{ 最小}$$

不仅解释了抛体运动，也将匀速直线运动作为特例包含

三. 猜想 1: 自由体系

拉氏量 (Lagrange 函数)

$$L = T - U = T(\vec{v}) - U(\vec{r}, t) = L(\vec{r}, \vec{v}, t)$$

↑
内力, 外力

作用量 (action)

$$S = \int_{t_1}^{t_2} L(\vec{r}, \vec{v}, t) dt$$

$$[S] = [m][v]^2[t] = ML^2/T = L(ML/T) \text{ 具有角动量量纲}$$

约束条件下, 需要算上约束力的势能, 但约束力不知道

四. 猜想 2: 完整体系

二维天才实验



短程线, 测地线, 直线

角度, 圆, 三角形

惯性定律

约束力与位形曲面垂直, 在其切线上无效应

可能路径: 相同端点, 位形曲面/满足约束

拉氏量: $L = T - U$ (主动力)

$$\text{约束力: } f_{\alpha}(\vec{r}, t) = 0 \Rightarrow \vec{N}_{\alpha} = \begin{pmatrix} \vec{N}_{\alpha 1} \\ \vdots \\ \vec{N}_{\alpha n} \end{pmatrix}$$

$$\vec{N}_{\alpha} \parallel \frac{\partial f_{\alpha}}{\partial \vec{r}} \neq 0 \text{ (不守恒) 理想约束假设}$$

牛顿力学给不出约束力方向

非完整约束下, 约束力方向无统一规律

$$f_{\alpha} = 0 (\alpha = 1, 2, \dots, m) \Rightarrow \vec{N} = \begin{pmatrix} \vec{N}_1 \\ \vdots \\ \vec{N}_m \end{pmatrix} \quad \vec{N}_{\alpha} \parallel \frac{\partial f_{\alpha}}{\partial \vec{r}} = 0$$

$$\text{主动力: } \vec{F} = \begin{pmatrix} \vec{F}_1 \\ \vdots \\ \vec{F}_n \end{pmatrix} = \begin{pmatrix} -\frac{\partial U}{\partial \vec{r}_1} \\ \vdots \\ -\frac{\partial U}{\partial \vec{r}_n} \end{pmatrix} = -\frac{\partial U}{\partial \vec{r}}$$

$$\vec{F} \cdot \frac{\partial \vec{r}}{\partial q_k} = -\frac{\partial U}{\partial \vec{r}} \cdot \frac{\partial \vec{r}}{\partial q_k} = -\frac{\partial U}{\partial q_k}$$

怎样证明: ① 把这条最小路径找出来

② 证明与牛顿方程同

极值: 函数 $X: t \rightarrow X(t)$ 微分

泛函 $S: X(t) \rightarrow S[X(t)]$ 变分

$$\Delta X = X(t+\Delta t) - X(t) = k\Delta t, k=0 \Rightarrow \Delta X = \frac{1}{2} a (\Delta t)^2$$

$a > 0$ 极小

$a < 0$ 极大

$$a=0 \Rightarrow \Delta X = \frac{1}{2} b (\Delta t)^3$$

$$\Delta t \rightarrow 0: dt, dx = \frac{dx}{dt} dt$$

变分中, 用 $\delta X, \frac{\delta f}{\delta X}$ 以示区别

§ 2.1 泛函与变分

一. 泛函

$$I: q(t) = (q_1(t), q_2(t), \dots, q_n(t)) \mapsto I[q(t)] = \int_{t_1}^{t_2} f(q, \dot{q}, t) dt$$

Theorem 若对任意 $q(t)$, 都有 $\int_{t_1}^{t_2} G(t) q(t) dt = 0$, 则 $G(t) \equiv 0$

推论 若对任意 $q_1(t), q_2(t), \dots, q_n(t)$ 都有 $\int_{t_1}^{t_2} [G_1(t) q_1(t) + \dots + G_n(t) q_n(t)] dt = 0$, 则 $G_1(t) = \dots = G_n(t) \equiv 0$

二. 泛函极值的定义

小量 $\eta(t) = \bar{q}(t) - q(t)$, $\dot{\eta}(t) = \bar{q}'(t) - \dot{q}(t)$

$\Rightarrow \Delta I[q; \bar{q}] = I[q + \eta] - I[q] \approx \int_{t_1}^{t_2} G_k(t) \eta_k(t) dt$

若 $I[q(t) + \eta(t)] - I[q(t)] > 0$

则 $I[q(t) - \eta(t)] - I[q(t)] < 0$

不可能是极值路径

若 $q(t)$ 为极值路径, 则 $G_k(t) = 0$ ($k = 1, 2, \dots, n$)

$\eta(t) \rightarrow 0$ 路径变分 $\delta q(t) = \eta(t)$

速度变分 $\delta \dot{q}(t) = \dot{\eta}(t)$

$\delta \frac{dq}{dt} = \frac{d}{dt} \delta q$

泛函的(-阶)变分 $\delta I[q(t)] = I[q + \delta q] - I[q]$

$= \int_{t_1}^{t_2} f(q + \delta q, \dot{q} + \delta \dot{q}, t) dt - \int_{t_1}^{t_2} f(q, \dot{q}, t) dt$

$= \int_{t_1}^{t_2} [f(q + \delta q, \dot{q} + \delta \dot{q}, t) - f(q, \dot{q}, t)] dt$

函数变分 $\delta f(q, \dot{q}, t) = f(q + \delta q, \dot{q} + \delta \dot{q}, t) - f(q, \dot{q}, t)$

$df(q, \dot{q}, t) = f(q(t+\delta t), \dot{q}(t+\delta t), t+\delta t) - f(q(t), \dot{q}(t), t)$

“变商” $G_k(t) = \frac{\delta f}{\delta q_k}$

三. 变分法则

$\delta f(q, \dot{q}, t) = \frac{\partial f}{\partial q_k} \delta q_k + \frac{\partial f}{\partial \dot{q}_k} \delta \dot{q}_k + \frac{\partial f}{\partial t} \delta t$ ($\delta t = 0$ 等时变分)

$\delta f(q) = \frac{\partial f}{\partial q} \delta q$ 链式法则

$\delta(fg) = (\delta f)g + f(\delta g)$

$\delta \int f dt = \int \delta f dt$

$\delta \frac{df}{dt} = \frac{d}{dt} \delta f$

证: $\frac{df}{dt} = \dot{q}_i \frac{\partial f}{\partial q_i} + \ddot{q}_i \frac{\partial f}{\partial \dot{q}_i} + \frac{\partial f}{\partial t}$

$\delta \frac{df}{dt} = \dot{q}_i \left(\frac{\partial}{\partial q_i} \frac{\partial f}{\partial q_k} \right) \delta q_k + \ddot{q}_i \left(\frac{\partial}{\partial \dot{q}_i} \frac{\partial f}{\partial q_k} \right) \delta q_k + \left(\frac{\partial}{\partial t} \frac{\partial f}{\partial q_k} \right) \delta q_k$
 $+ \dot{q}_i \left(\frac{\partial}{\partial q_i} \frac{\partial f}{\partial \dot{q}_k} \right) \delta \dot{q}_k + \ddot{q}_i \left(\frac{\partial}{\partial \dot{q}_i} \frac{\partial f}{\partial \dot{q}_k} \right) \delta \dot{q}_k + \left(\frac{\partial}{\partial t} \frac{\partial f}{\partial \dot{q}_k} \right) \delta \dot{q}_k$
 $+ \frac{\partial f}{\partial q_i} \delta \dot{q}_i + \frac{\partial f}{\partial \dot{q}_i} \delta \ddot{q}_i$

$= \left(\frac{d}{dt} \frac{\partial f}{\partial q_k} \right) \delta q_k - \left(\frac{d}{dt} \frac{\partial f}{\partial \dot{q}_k} \right) \delta \dot{q}_k - \frac{\partial f}{\partial q_k} \frac{d \delta q_k}{dt} + \frac{\partial f}{\partial \dot{q}_k} \frac{d \delta \dot{q}_k}{dt}$

四. 极值路径

$\delta I[q(t)] = \delta \int_{t_1}^{t_2} f(q, \dot{q}, t) dt = 0$

$\delta q_k(t_1) = 0, \delta q_k(t_2) = 0$ ($k = 1, 2, \dots, n$)

$0 = \delta I = \int_{t_1}^{t_2} \left[\frac{\partial f}{\partial q_k} \delta q_k + \frac{\partial f}{\partial \dot{q}_k} \delta \dot{q}_k \right] dt$

逆用莱布尼兹法则, 分部积分

$\frac{d}{dt} \left(\frac{\partial f}{\partial \dot{q}_k} \delta \dot{q}_k \right) - \left(\frac{d}{dt} \frac{\partial f}{\partial q_k} \right) \delta q_k$

$= \int_{t_1}^{t_2} \left[\frac{\partial f}{\partial q_k} - \frac{d}{dt} \frac{\partial f}{\partial \dot{q}_k} \right] \delta q_k dt + \frac{\partial f}{\partial \dot{q}_k} \delta q_k \Big|_{t_1}^{t_2}$

不能交换次序 端点项, 恒为0

$\Leftrightarrow \frac{\delta f}{\delta q_k} \equiv \frac{\partial f}{\partial q_k} - \frac{d}{dt} \frac{\partial f}{\partial \dot{q}_k} = 0$ ($k = 1, 2, \dots, n$) Euler-Lagrange 方程

Theorem ① $\frac{\delta f}{\delta q_k} = 0 \Leftrightarrow \frac{\delta (cf)}{\delta q_k} = 0$

② $\frac{\delta f}{\delta q_k} = 0 \Leftrightarrow \frac{\delta}{\delta q_k} \left[f + \frac{dF(q, t)}{dt} \right] = 0$

$\int_{t_1}^{t_2} \frac{dF(q, t)}{dt} dt = F(q, t) \Big|_{t_1}^{t_2}$ 常数

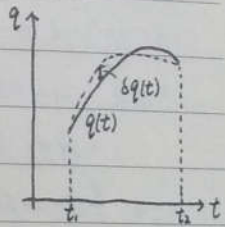
Def $f(q, \dot{q}, t)$ 的 Jacobi 积分 $h(q, \dot{q}, t) \equiv \dot{q}_k \frac{\partial f}{\partial \dot{q}_k} - f$

$\frac{dh}{dt} = \frac{\partial f}{\partial q_k} \dot{q}_k + \frac{\partial f}{\partial \dot{q}_k} \ddot{q}_k + \frac{\partial f}{\partial t}$ 极值路径 $\frac{d}{dt} \left(\frac{\partial f}{\partial \dot{q}_k} \dot{q}_k \right) + \frac{\partial f}{\partial t}$

Theorem 对极值路径

① $\frac{dh}{dt} = -\frac{\partial f}{\partial t}$, 若 $f = f(q, \dot{q}, t)$, 则 $h = \text{const}$

② 若 f 不显含 q_k , 则 $\frac{\partial f}{\partial q_k} = \text{const}$



eg. 速降线 (Brachistochrone)

$$\frac{1}{2}mv^2 = mgy \Rightarrow v^2 = 2gy$$

$$ds = \sqrt{dx^2 + dy^2} = \sqrt{1+y'^2} dx, \quad y' = \frac{dy}{dx}$$

$$t = \int \frac{ds}{v} = \int \frac{\sqrt{1+y'^2}}{\sqrt{2gy}} dx$$

$$f = \frac{\sqrt{1+y'^2}}{\sqrt{2gy}} = f(y, y', x) \text{ 不显含 } x$$

$$h = y' \frac{\partial f}{\partial y'} - f = \frac{y'^2}{\sqrt{2gy}(1+y'^2)} - \frac{\sqrt{1+y'^2}}{\sqrt{2gy}} = \frac{-1}{\sqrt{2gy}(1+y'^2)} = \frac{-1}{\sqrt{2g} A}$$

$$y + yy'' = A^2 \Rightarrow (\sqrt{y})' + (\sqrt{y}y')' = A^2$$

$$\text{不妨设 } \sqrt{y} = A \sin \frac{\theta}{2} \Rightarrow y = A^2 \sin^2 \frac{\theta}{2} \Rightarrow y' = A^2 \sin \frac{\theta}{2} \cos \frac{\theta}{2} \frac{d\theta}{dx}$$

$$\sqrt{y}y' = A \cos \frac{\theta}{2} \Rightarrow 1 = \frac{A^2}{2} (1 - \cos \theta) \frac{d\theta}{dx} = \frac{A^2}{2} \frac{d(1 - \sin \theta)}{dx}$$

$$\Rightarrow x = \frac{A^2}{2} (\theta - \sin \theta) = a(\theta - \sin \theta) \quad (a = \frac{A^2}{2})$$

$$y = \frac{A^2}{2} (1 - \cos \theta) = a(1 - \cos \theta)$$

单摆: ① 振幅越大, 周期越长

② 小角度 $2\pi\sqrt{\frac{L}{g}}$, 摆长小时周期短

利用这条曲线, 摆长 $L=4a$ 时, 实现周期与摆长无关

§ 2.2 最小作用原理 / Hamilton 原理

$$L = T - U = L(\vec{r}, \dot{\vec{r}}, t)$$

$$\text{总动能 } \uparrow \quad \text{主动力贡献 } \vec{F} = -\frac{\partial U}{\partial \vec{r}}, \quad U(\vec{r}, t) = \sum_{a,b} U_{ab}(r_{ab}) + U_{ext}(\vec{r}, t)$$

$$L(q, \dot{q}, t) = L(\vec{r}(q, t), \dot{\vec{r}}(q, \dot{q}, t), t)$$

一. 两种表述

$$\begin{cases} 0 = \delta S = \delta \int_{t_1}^{t_2} L(\vec{r}, \dot{\vec{r}}, t) dt \\ \delta \vec{r}_a(t_1) = 0 = \delta \vec{r}_a(t_2), \quad (a=1, 2, \dots, n) \end{cases}$$

$$\delta \vec{r}_a(t_1) = 0 = \delta \vec{r}_a(t_2), \quad (a=1, 2, \dots, n)$$

$$\delta f_\alpha(\vec{r}, t) = \frac{\partial f_\alpha}{\partial \vec{r}} \cdot \delta \vec{r} = 0 \quad (\alpha=1, 2, \dots, n)$$

三维空间

$$\begin{cases} 0 = \delta S = \delta \int_{t_1}^{t_2} L(q, \dot{q}, t) dt \\ \delta q_k(t_1) = 0 = \delta q_k(t_2) \quad (k=1, 2, \dots, n) \end{cases}$$

位形空间

二. 证明

$$\frac{\delta L}{\delta q_k} = \frac{\delta L}{\delta q_k} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_k} = 0 \quad (k=1, 2, \dots, s)$$

$$\frac{\partial L}{\partial q_k} = \frac{\partial L}{\partial \vec{r}} \cdot \frac{\partial \vec{r}}{\partial q_k} + \frac{\partial L}{\partial \dot{\vec{r}}} \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k}$$

$$\frac{\partial L}{\partial \dot{q}_k} = \frac{\partial L}{\partial \dot{\vec{r}}} \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k} = \frac{\partial L}{\partial \dot{\vec{r}}} \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k}$$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}_k} = \left(\frac{d}{dt} \frac{\partial L}{\partial \dot{\vec{r}}} \right) \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k} + \frac{\partial L}{\partial \dot{\vec{r}}} \cdot \frac{d}{dt} \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k}$$

$$\Rightarrow \frac{\delta L}{\delta q_k} = \left(\frac{\partial L}{\partial \vec{r}} - \frac{d}{dt} \frac{\partial L}{\partial \dot{\vec{r}}} \right) \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k}$$

$$\frac{\delta T}{\delta q_k} = \left(\frac{\partial T}{\partial \vec{r}} - \frac{d}{dt} \frac{\partial T}{\partial \dot{\vec{r}}} \right) \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k} = -\vec{p} \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k}$$

$$-\frac{\delta U}{\delta q_k} = \left(-\frac{\partial U}{\partial \vec{r}} + \frac{d}{dt} \frac{\partial U}{\partial \dot{\vec{r}}} \right) \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k}$$

若 $U = U(\vec{r}, t)$ 与 $\dot{\vec{r}}$ 无关, 则 $-\frac{\delta U}{\delta q_k} = -\frac{\partial U}{\partial \vec{r}} = \vec{F} \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k}$

$$\frac{\delta L}{\delta q_k} = \frac{\partial L}{\partial q_k} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_k} = 0 \quad (k=1, 2, \dots, s)$$

$$\Leftrightarrow (\vec{F} - \dot{\vec{p}}) \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k} = 0$$

$$\begin{cases} \vec{F} + \vec{N} - \dot{\vec{p}} = 0 \Rightarrow (\vec{F} + \vec{N} - \dot{\vec{p}}) \cdot \frac{\partial \dot{\vec{r}}}{\partial \dot{q}_k} = 0 \\ f_\alpha = 0 \end{cases}$$

1. 二者在确定系统位形上等价

拉格朗日方程是牛顿方程在位形曲面上的投影

2. "最小" 其实是指"驻值"

如费马原理, 也是"驻值"而非"最小"

3. 拉格朗日方程与坐标选取无关

牛顿方程是矢量方程，直角坐标系下最简单

eg. 直角坐标 $L = \frac{1}{2} m \dot{\vec{r}}^2 - U(\vec{r})$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{\vec{r}}} = \frac{\partial L}{\partial \vec{r}} \quad m \ddot{\vec{r}} = 0 - \frac{\partial U}{\partial \vec{r}} = \vec{F}$$

球坐标 $L = \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\theta}^2 + r^2 \dot{\phi}^2 \sin^2 \theta) - U(r, \theta, \phi)$

$$\frac{\partial L}{\partial r} = m r \dot{\theta}^2 + m r \dot{\phi}^2 \sin^2 \theta - \frac{\partial U}{\partial r} \quad \vec{r} \cdot (\vec{F} - m \ddot{\vec{a}}) = 0$$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{r}} = \frac{d}{dt} (m \dot{r}) = m \ddot{r}$$

$$\frac{\partial L}{\partial \theta} = - \frac{\partial U}{\partial \theta} = - \frac{\partial U}{\partial \vec{r}} \cdot \frac{\partial \vec{r}}{\partial \theta} = \vec{F} \cdot \hat{\phi} r \sin \theta = \vec{F} \cdot (\hat{z} \times \vec{r}) = \hat{z} \cdot (\vec{r} \times \vec{F}) = \hat{z} \cdot \vec{\tau}$$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{\theta}} = \frac{d}{dt} (m r^2 \dot{\theta} \sin^2 \theta) = \frac{d}{dt} (\hat{z} \cdot \vec{L})$$

$$\frac{\partial L}{\partial \phi} = m r^2 \dot{\phi} \sin^2 \theta \cos \theta - \frac{\partial U}{\partial \phi} = m r^2 \dot{\phi} r \sin \theta \cos \theta + \hat{\phi} \cdot \vec{\tau}$$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{\phi}} = \frac{d}{dt} (m r^2 \dot{\phi} \sin^2 \theta) = \frac{d(\hat{\phi} \cdot \vec{L})}{dt}$$

三. 术语

1. 与 q_k 关联的广义主动力 $Q_k \equiv \vec{F}_k \cdot \frac{\partial \vec{r}_k}{\partial q_k}$

若 $\vec{F} = - \frac{\partial U}{\partial \vec{r}}$, 则 $Q_k = - \frac{\partial U}{\partial q_k}$

广义约束力 $Q_k \equiv \vec{N}_k \cdot \frac{\partial \vec{r}_k}{\partial q_k}$

理想约束 $Q_k = 0$

2. 与 q_k 共轭的广义动量 $p_k = \frac{\partial L}{\partial \dot{q}_k} \Rightarrow \dot{p}_k = \frac{\partial L}{\partial q_k}$

若 L 不显含 q_k (循环坐标), 则 p_k 守恒

3. $L(q, \dot{q}, t)$ 的 Jacobi 积分 (能量函数) $h(q, \dot{q}, t) = \dot{q}_k \frac{\partial L}{\partial \dot{q}_k} - L$

(1) $\frac{d h}{dt} = - \frac{\partial L}{\partial t}$; 若 L 不显含 t , 则 h 守恒

(2) $L = T - U = T_2 + T_1 + (T_0 - U) = L_2 + L_1 + L_0$

$\Rightarrow h = L_2 - L_0 = T_2 - T_0 + U$

若 $\vec{F} = \vec{F}(q, t)$, 则 $T = T_2$, 因此 $h = T + U = E$

注意 (1) (2) 相互独立

eg. $r = R, \phi = \omega t (\dot{\phi} = \omega)$, $U = -mgR \cos \theta$

$$L = \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\theta}^2 + r^2 \dot{\phi}^2 \sin^2 \theta) - U$$

$$= \frac{1}{2} m R^2 \dot{\theta}^2 + \frac{1}{2} m R^2 \omega^2 \sin^2 \theta + mgR \cos \theta$$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{\theta}} = \frac{d}{dt} (m R^2 \dot{\theta}) = m R^2 \ddot{\theta}$$

$$\frac{\partial L}{\partial \theta} = m R^2 \omega^2 \sin \theta \cos \theta - mgR \sin \theta$$

$$\Rightarrow \ddot{\theta} = -(\omega^2 - \omega^2 \cos^2 \theta) \sin \theta = f(\theta) \quad (\omega^2 = \frac{g}{R})$$

平衡位置 θ_e : $\dot{\theta}_e = 0 = \ddot{\theta}_e \Rightarrow f(\theta_e) = 0$

上式令 $\dot{\theta} = 0, \theta_1 = 0, \theta_2 = \pi, \theta_3 = \arccos \frac{\omega^2}{\omega^2} (\omega > \omega_0)$

稳定性 令 $\xi = \theta - \theta_e$ (小量) $\Rightarrow \ddot{\xi} = \ddot{\theta}$

$$\ddot{\xi} \approx \xi f'(\theta_e) \quad \ddot{\xi} = -\Omega^2 \xi \quad (\Omega^2 > 0 \text{ 稳定})$$

$$f(\theta) = -\omega^2 \cos \theta + \omega^2 \cos^3 \theta$$

$$\ddot{\xi} = -\Omega^2 \xi \quad \Omega^2 = \omega^2 \cos \theta_e - \omega^2 \cos 2\theta_e$$

① $\theta_e = \pi \Rightarrow \Omega^2 = -\omega^2 - \omega^2 < 0$ 不稳定

② $\theta_e = 0 \Rightarrow \Omega^2 = \omega^2 - \omega^2 \begin{cases} < 0 (\omega > \omega_0) \text{ 不稳定} \\ > 0 (\omega < \omega_0) \text{ 稳定} \end{cases}$

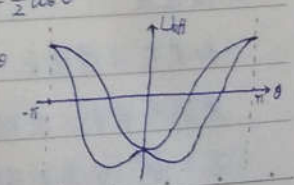
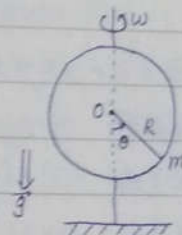
③ $\cos \theta_3 = \frac{\omega_0^2}{\omega^2} \Rightarrow \Omega^2 = \frac{\omega^2 - \omega_0^2}{\omega^2} > 0 (\omega > \omega_0)$ 稳定

④ $\omega = \omega_0 \Rightarrow \theta_3 = \theta_1 = 0 \quad \ddot{\theta} = -\omega^2 (1 - \cos^2 \theta) \sin \theta = -\frac{1}{2} \omega^2 \sin^3 \theta$

$$h = L_2 - L_0 = \frac{1}{2} m R^2 \dot{\theta}^2 - \frac{1}{2} m R^2 \omega^2 \sin^2 \theta - mgR \cos \theta$$

$$= \frac{1}{2} m R^2 \dot{\theta}^2 + U_{\text{eff}}(\theta)$$

有效势能 圆环系中 缓慢外力势能 + 重力势能



对最小作用量原理的理解

$$S = \int L dt = \int (T - U) dt$$

↑ ↑
运动能力 potential 潜力

使得粒子活跃程度较低的路径

海森堡 $\Delta q \Delta p \geq \frac{\hbar}{2}$ $\hbar = \frac{h}{2\pi} = 1.05 \times 10^{-34} \text{ J}\cdot\text{s}$

几率幅 $\psi(\vec{r}, t) \Rightarrow$ 几率 $\int |\psi(\vec{r}, t)|^2 dV = \int \psi^* \psi dV$

期望值 $\langle x \rangle \equiv \int x |\psi(x, y, z, t)|^2 dV = \int \psi^* x \psi dV$

$\langle f \rangle \equiv \int \psi^* f \psi dV$

$\langle p_x \rangle \equiv m \frac{d\langle x \rangle}{dt}$

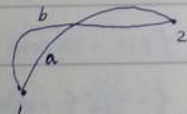
Schrödinger $i\hbar \frac{\partial \psi}{\partial t} = [-\frac{\hbar^2}{2m} \nabla^2 + U] \psi$

$\frac{d\langle p \rangle}{dt} = \langle -\nabla U \rangle$

费曼 粒子沿着每一条路径到达

$\psi(1 \rightarrow 2) = \sum_a \psi_a(1 \rightarrow 2)$

$\psi_a(1 \rightarrow 2) \propto \exp(i \frac{S_a}{\hbar})$



相位相干抵消，只在 S_a - 阶导为 0 处保留，为真实路径

五. Lagrange 函数的性质

1. 一般形式 $L = T - U = L_2 + L_1 + L_0 = T_2 + T_1 + (T_0 - U)$

$h = T_2 - T_0$, 若 $\vec{r} = \vec{r}(q, t)$, 则 $h = T + U = E$

2. 惯性系

如果在非惯性系，要考虑惯性力的势能

更改参考系，拉格朗日函数可能变也可能不变

若不变，对各项的解读可能变化

3. L 不确定性

标度变换 $L' = CL$

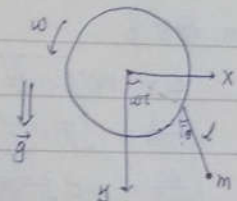
规范变换 $L' = L(q, \dot{q}, t) + \frac{dF(q, t)}{dt}$

eg. $x = R \sin \omega t + l \sin \theta$

$y = R \cos \omega t + l \cos \theta$

$\dot{x} = \omega R \cos \omega t + l \dot{\theta} \cos \theta$

$\dot{y} = -\omega R \sin \omega t - l \dot{\theta} \sin \theta$



$L = \frac{1}{2} m (\dot{x}^2 + \dot{y}^2) + mgy$

$= \frac{1}{2} m l^2 \dot{\theta}^2 + mgl \cos \theta + mR \omega \cos \omega t + \frac{1}{2} m R^2 \omega^2 + mR l \omega \cos(\theta - \omega t)$

的函数 $mR l \omega [\frac{d \sin(\theta - \omega t)}{dt} + \omega \cos(\theta - \omega t)]$

规范变换，对拉格朗日函数进行简化

$L' = \frac{1}{2} m l^2 \dot{\theta}^2 + mgl \cos \theta + mR l \omega' \cos(\theta - \omega t)$

二者对应的广义动量，雅克比函数往往不同

$L' = L + \frac{\partial F}{\partial q_i} \dot{q}_i + \frac{\partial F}{\partial t}$

广义动量 $p_k \rightarrow p'_k = \frac{\partial L'}{\partial \dot{q}_k} = p_k + \frac{\partial F}{\partial \dot{q}_k}$

雅克比函数 $h \rightarrow h' = \dot{q}_k \frac{\partial L'}{\partial \dot{q}_k} - L' = h - \frac{\partial F}{\partial t}$

4. 可加性

$L_{A+B} = (T_A + T_B) - (U_A + U_B + U_{AB})$

$= L_A + L_B + L_{AB} \quad (L_{AB} = -U_{AB})$

$\lim L_{A+B} = L_A(q_A, \dot{q}_A) + L_B(q_B, \dot{q}_B)$

$L = \frac{1}{2} m_1 \vec{r}_1^2 + \frac{1}{2} m_2 \vec{r}_2^2 - U(|\vec{r}_1 - \vec{r}_2|) = \frac{1}{2} M \vec{r}_c^2 + \frac{1}{2} \mu \vec{r}^2 - U(r)$

$M = m_1 + m_2 \quad \vec{r}_c = \frac{m_1 \vec{r}_1 + m_2 \vec{r}_2}{m_1 + m_2} \quad \mu = \frac{m_1 m_2}{m_1 + m_2} \quad \vec{r} = \vec{r}_1 - \vec{r}_2$

如果拉格朗日函数坐标不相耦合, 等效拆开

非完整约束体系的最小作用原理, 尚无定论

因为约束力的方向难定论

六. 相对论

$$L = -mc^2 \sqrt{1 - \frac{v^2}{c^2}} - U(\vec{r}, t)$$

$$\begin{cases} \frac{\partial L}{\partial \vec{r}} = -\frac{\partial U}{\partial \vec{r}} = \vec{F} \\ \frac{\partial L}{\partial \vec{v}} = -mc^2 \frac{-\vec{v}/c^2}{\sqrt{1 - v^2/c^2}} = \gamma m \vec{v} \quad (\gamma = \frac{1}{\sqrt{1 - v^2/c^2}}) \end{cases}$$

$$\Rightarrow \vec{F} = \frac{d\vec{p}}{dt}$$

写出 L 的方法 ① 凌

② 根据哈密顿函数逆变换

③ 对称性

构造一个与坐标系无关的标量, 描述粒子状态, $dt = \frac{dt}{\gamma}$, 而 mc^2 用于量纲, 负号使在低速时回到动能减势能

§ 2.3 与速度有关的作用

$$0 = \vec{F}(\vec{r}, \vec{v}, t) + \vec{N} - \dot{\vec{p}}$$

$$\Rightarrow 0 = [\vec{F}(\vec{r}, \vec{v}, t) + \vec{N} - \dot{\vec{p}}] \cdot \frac{\partial \vec{r}}{\partial q_k}$$

假设 $L = T(\vec{v}) - U(\vec{r}, \vec{v}, t)$

$$\frac{\partial L}{\partial \vec{r}} \cdot \frac{\partial \vec{r}}{\partial q_k} = \left(\frac{\partial T}{\partial \vec{r}} - \frac{\partial U}{\partial \vec{r}} \right) \cdot \frac{\partial \vec{r}}{\partial q_k} = \left[\left(\frac{\partial T}{\partial \vec{r}} - \frac{d}{dt} \frac{\partial T}{\partial \vec{v}} \right) + \left(-\frac{\partial U}{\partial \vec{r}} + \frac{d}{dt} \frac{\partial U}{\partial \vec{v}} \right) \right] \cdot \frac{\partial \vec{r}}{\partial q_k}$$

$$0 = \vec{p} - \vec{F}$$

一. 势力与广义势能

$$U = U(\vec{r}, \dot{\vec{r}}, t) \Rightarrow \vec{F} = -\frac{\delta U}{\delta \vec{r}} = -\frac{\partial U}{\partial \vec{r}} + \frac{d}{dt} \frac{\partial U}{\partial \dot{\vec{r}}}$$

$$U = U(q, \dot{q}, t) \Rightarrow Q_k = \vec{F} \cdot \frac{\partial \vec{r}}{\partial q_k} = -\frac{\delta U}{\delta q_k} = -\frac{\partial U}{\partial q_k} + \frac{d}{dt} \frac{\partial U}{\partial \dot{q}_k}$$

$$* U(\vec{r}, \dot{\vec{r}}, t) \Leftrightarrow U'(\vec{r}, \dot{\vec{r}}, t) = U(\vec{r}, \dot{\vec{r}}, t) - \frac{dF(\vec{r}, t)}{dt}$$

eg. Lorentz 力 $\vec{F} = e(\vec{E} + \vec{v} \times \vec{B})$ $\vec{E} = -\nabla\varphi - \dot{\vec{A}}$

$$\vec{B} = \nabla \times \vec{A}$$

$$\begin{aligned} \vec{v} \times (\nabla \times \vec{A})_i &= \epsilon_{ijl} \dot{x}_j (\nabla \times \vec{A})_l = \epsilon_{ijl} \epsilon_{lmn} \dot{x}_j \partial_m A_n \\ &= \dot{x}_j \partial_i A_j - \dot{x}_j \partial_j A_i \end{aligned}$$

$$\begin{aligned} \frac{\vec{F}}{e} &= -\nabla\varphi - \dot{\vec{A}} + \vec{v} \times (\nabla \times \vec{A})_i \\ &= -\frac{\partial \varphi}{\partial \vec{r}} - \frac{\partial \vec{A}}{\partial t} + \frac{\partial \vec{v} \cdot \vec{A}}{\partial \vec{r}} - \dot{x}_j \frac{\partial \vec{A}}{\partial x_j} \\ &= -\frac{\partial (\varphi - \vec{v} \cdot \vec{A})}{\partial \vec{r}} + \frac{d(-\vec{A})}{dt} \end{aligned}$$

1. 广义势能 $U = e(\varphi - \vec{v} \cdot \vec{A})$

$$\begin{aligned} U' &= U - \frac{dF}{dt} \\ &= U - \frac{\partial F}{\partial \vec{r}} \cdot \vec{v} - \frac{\partial F}{\partial t} \\ &= e\left(\varphi - \frac{1}{c} \frac{\partial F}{\partial t}\right) - e\vec{v} \cdot \left(\vec{A} + \frac{1}{c} \frac{\partial F}{\partial \vec{r}}\right) \end{aligned}$$

$$U = U - \frac{dF(\vec{r}, t)}{dt} \Leftrightarrow \begin{cases} \varphi' = \varphi - \dot{\psi} \quad (\psi = \frac{1}{c} F) \\ \vec{A}' = \vec{A} + \nabla\psi \end{cases}$$

2. Lagrange 函数

$$\begin{aligned} L &= T - U = \frac{1}{2} m v^2 - e(\varphi - \vec{v} \cdot \vec{A}) \\ &= \frac{1}{2} m v^2 + e\vec{v} \cdot \vec{A} - e\varphi = L_2 + L_1 + L_0 \end{aligned}$$

3. 广义动量, 磁矢势函数

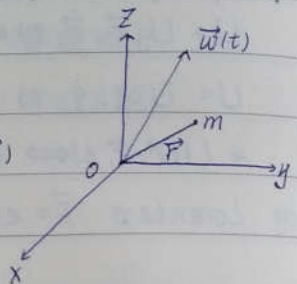
$$\begin{cases} p_k = m\dot{x}_k + eA_k \\ h = L_2 - L_0 = \frac{1}{2} m v^2 + e\varphi \end{cases}$$

eg. 转动惯性力 $\vec{v} = \vec{v}' + \vec{\omega} \times \vec{r}$

$$L = T - U = \frac{1}{2} m (\vec{v}' + \vec{\omega} \times \vec{r})^2 - U$$

$$= \frac{1}{2} m v'^2 - U - \frac{1}{2} m (\vec{\omega} \times \vec{r})^2 - m \vec{v}' \cdot (\vec{\omega} \times \vec{r})$$

$$= T - U - U'$$



$$U' = -\frac{1}{2} m (\vec{\omega} \times \vec{r})^2 - \underbrace{m \vec{v}' \cdot (\vec{\omega} \times \vec{r})}_{\substack{\text{与位置有关} \\ \text{与位置有线性关系}}}$$

对比电磁场 $U = \exp(-\vec{v} \cdot \vec{A})$

如果不作 $L = T - U$ 的假设, 即使是耗散力也可能找到 L
但对于耗散力, 没有找到 L 的普遍方法

二. 耗散力

$$0 = \frac{\delta L}{\delta q_k} + D_k = \frac{\partial L}{\partial q_k} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_k} + D_k \quad (D_k \equiv \vec{F}_k^0 \cdot \frac{\partial \vec{r}_k}{\partial \dot{q}_k} \text{ 耗散力})$$

设 $\vec{F}^0 = -g(v)\vec{v}$ 其中 $g(v) > 0 \Rightarrow \vec{F}^0 \cdot \vec{v} = -g(v)v < 0$

$$D_k = -g(v) \frac{\vec{v}}{v} \cdot \frac{\partial \vec{v}}{\partial \dot{q}_k} = -g(v) \frac{\partial v}{\partial \dot{q}_k}$$

则 $D_k = -\frac{\partial \varphi}{\partial \dot{q}_k}$ $\varphi = \int g(z) dz = \varphi(v) = \varphi(v, q, t)$

$$-\frac{\partial \varphi}{\partial x} - \frac{\partial \varphi}{\partial v} \frac{\partial v}{\partial \dot{q}_k}$$

$$0 = \frac{\delta L}{\delta q_k} - \frac{\partial \varphi}{\partial \dot{q}_k} \quad (L, \varphi \leftarrow \text{耗散函数})$$

eg. $L = \frac{1}{2} m \dot{x}^2 - \frac{1}{2} m \omega^2 x^2 \quad \varphi = \frac{1}{2} \beta \dot{x}^2$

$$0 = \frac{\partial L}{\partial x} - \frac{d}{dt} \frac{\partial L}{\partial \dot{x}} - \frac{\partial \varphi}{\partial \dot{x}} = -m\omega^2 x - m\ddot{x} - \beta \dot{x}$$

$$\Rightarrow \ddot{x} + 2\delta \dot{x} + \omega^2 x = 0$$

$$\vec{F}^0 \dot{x} = -\frac{\partial \varphi}{\partial \dot{x}} \dot{x} = -2\varphi \quad \text{单位时间消耗的能量}$$

如果不执着于 $L = T - U$

$$L = e^{2\delta t} (\frac{1}{2} m \dot{x}^2 - \frac{1}{2} m \omega^2 x^2)$$

$$\frac{\partial L}{\partial x} = -e^{2\delta t} m \omega^2 x$$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{x}} = \frac{d}{dt} (e^{2\delta t} m \dot{x}) = e^{2\delta t} m \ddot{x} + e^{2\delta t} 2\delta m \dot{x}$$

三. d'Alembert 原理与虚功原理

$$0 = \vec{F} + \vec{N} - \vec{p} \Rightarrow 0 = (\vec{F} + \vec{N} - \vec{p}) \cdot \delta \vec{r}$$

Def 虚位移 $\delta \vec{r} = \frac{\partial \vec{r}}{\partial q_k} \delta q_k$ (满足约束)

Def 虚功

- 主动力 $\delta A = \vec{F} \cdot \delta \vec{r} = Q_k \delta q_k$
- 约束力 $\delta A = \vec{N} \cdot \delta \vec{r} = Q_k' \delta q_k$
- 惯性力 $-\vec{p} \cdot \delta \vec{r} = -\vec{p} \cdot \frac{\partial \vec{r}}{\partial q_k} \delta q_k = \frac{\delta T}{\delta q_k} \delta q_k$

假设: 理想约束 $\delta A = 0 \Leftrightarrow Q_k' = 0 \quad (k = 1, 2, \dots, s)$

1. d'Alembert 原理 $(\vec{F} - \vec{p}) \cdot \delta \vec{r} = 0$

虚功原理 ($\vec{p} = 0$) $\vec{F} \cdot \delta \vec{r} = 0$

2. Lagrange 方程

动力学 $\left\{ \begin{array}{l} \frac{\delta T}{\delta q_k} + Q_k = 0 \\ Q_k = -\frac{\delta U}{\delta q_k} \end{array} \right. \Rightarrow \left\{ \begin{array}{l} \frac{\delta L}{\delta q_k} = 0 \\ \frac{\partial U}{\partial q_k} = 0 \end{array} \right.$

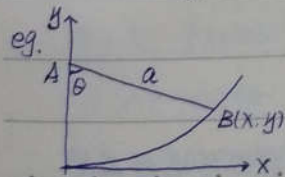
$$-\vec{p} \cdot \delta \vec{r} = \frac{\partial T}{\partial \dot{q}_k} \delta \dot{q}_k - \left(\frac{d}{dt} \frac{\partial T}{\partial \dot{q}_k} \right) \delta q_k = \frac{\partial T}{\partial \dot{q}_k} \delta \dot{q}_k + \frac{\partial T}{\partial q_k} \delta q_k + \frac{d}{dt} \left(\frac{\partial T}{\partial \dot{q}_k} \delta q_k \right)$$

$$0 = (\vec{F} + \vec{N} - \vec{p}) \cdot \delta \vec{r} = (\vec{F} - \vec{p}) \cdot \delta \vec{r}$$

$$0 = \int_{t_1}^{t_2} (\vec{F} - \vec{p}) \cdot \delta \vec{r} dt = \int_{t_1}^{t_2} (\delta A + \delta T) dt + \left[\frac{\partial T}{\partial \dot{q}_k} \delta q_k \right]_{t_1}^{t_2}$$

$$\vec{F} = -\frac{\delta U}{\delta \vec{r}} \Rightarrow \delta A = -\frac{\delta U}{\delta q_k} = -\delta U + \frac{d}{dt} (\dots)$$

$$\Rightarrow 0 = \int_{t_1}^{t_2} [\delta T - \delta U] dt = \delta \int_{t_1}^{t_2} (T - U) dt$$



一个自由度

$$x = a \sin \theta, \quad y = y(\theta) \Rightarrow y_k = y(\theta) + \frac{a}{2} \cos \theta$$

$$\vec{F} = -mg \hat{y} \quad \delta \vec{r}_c = \delta x \hat{x} + \delta y_c \hat{y}$$

$$\Rightarrow \vec{F} \cdot \delta \vec{r}_c = -mg \delta y_c = -mg \delta [y(\theta) - \frac{a}{2} \cos \theta] = 0$$

$$\Rightarrow \frac{\partial}{\partial \theta} [y + \frac{a}{2} \cos \theta] = 0 \quad y + \frac{a}{2} \cos \theta = C = \frac{a}{2}$$

$$\Rightarrow \begin{cases} x = a \sin \theta \\ y = \frac{a}{2} (1 - \cos \theta) \end{cases}$$

$$2^\circ U = mgy_c \Rightarrow \frac{\partial U}{\partial \theta} = 0 \Rightarrow y_c = C = \frac{a}{2}$$

§ 2.4 Lagrange 乘子法

问题: 对自由度为 s 的体系, 求其某个约束 $f(\vec{r}, t) = 0$ 约束力?

方法: 设想解除该约束, 使其成为 $(s+1)$ 个自由度的体系

$$\vec{r} = \vec{r}(q_1, q_2, \dots, q_s, q_{s+1}, t) \quad \vec{N} \parallel \frac{\partial f}{\partial \vec{r}} \Rightarrow \vec{N} = \lambda \frac{\partial f}{\partial \vec{r}}$$

一. 带乘子的 Lagrange 方程

$$\begin{cases} \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_k} - \frac{\partial L}{\partial q_k} = \lambda \frac{\partial f}{\partial q_k} & (k=1, 2, \dots, s, s+1) \\ f(q, t) = 0 \end{cases}$$

1. Lagrange 乘子 λ

$$\vec{N} = \lambda \frac{\partial f}{\partial \vec{r}} \quad Q = \lambda \frac{\partial f}{\partial q_k}$$

2. 约束力虚功

$$\delta A = \vec{N} \cdot \delta \vec{r} = \vec{N} \cdot \frac{\partial \vec{r}}{\partial q_k} \delta q_k = Q_k \delta q_k = \lambda \delta f = 0$$

3. 约束方程

约束方程在偏导数之后才能代入

否则会丢失约束力的信息

$$4. \tilde{L} = L + \lambda f = \tilde{L}(q, \lambda, \dot{q}, X, t)$$

是形式上的记法, 之前有关 Lagrange 函数的结论仍成立

$$\tilde{L} = T - (U + \lambda f)$$

$$\tilde{p}_k = \frac{\partial \tilde{L}}{\partial \dot{q}_k} = p_k \quad \tilde{p}_\lambda = \frac{\partial \tilde{L}}{\partial \lambda} = 0 \quad \tilde{h} = \dot{q}_k \frac{\partial \tilde{L}}{\partial \dot{q}_k} + \lambda \frac{\partial \tilde{L}}{\partial \lambda} - \tilde{L} = h - \lambda f$$

雅克比函数在真实路径下与原来同

$$5. \tilde{L} = L + \lambda f$$

eg. $q = (r, \theta) \quad f = r - R = 0$

$$L = \frac{1}{2} m \dot{r}^2 + \frac{1}{2} m r^2 \dot{\theta}^2 - mgr \cos \theta = 0$$

$$\frac{d}{dt} (m r \dot{\theta}) - mgr \sin \theta = \lambda \cdot 0 = Q_\theta$$

$$m \ddot{r} - m r \dot{\theta}^2 + mg \cos \theta = \lambda \cdot 1 = Q_r = N$$

$$r = R$$

$$\Rightarrow \begin{cases} \lambda = mg \cos \theta - m R \dot{\theta}^2 \\ R \dot{\theta} \frac{d\theta}{dt} = g \sin \theta \frac{d\theta}{dt} \end{cases}$$

$$R \dot{\theta}^2 = -2g \cos \theta + C = 2g(1 - \cos \theta)$$

$$\Rightarrow \lambda = mg(3 \cos \theta - 2) = N \quad \cos \theta = \frac{2}{3}$$

尽可能不要同时处理两个约束的约束力

eg. $q = (X, \theta), \quad f = X - R\theta = 0$

$$L = \frac{1}{2} m \dot{X}^2 + \frac{1}{2} m R^2 \dot{\theta}^2 + mgX \sin \alpha$$

$$m \ddot{X} - mg \sin \alpha = \lambda \cdot 1 = Q_X$$

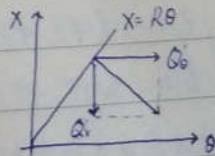
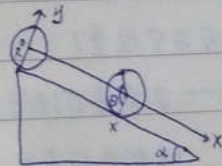
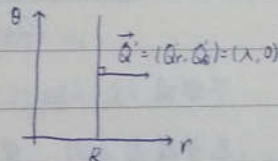
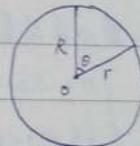
$$\frac{1}{2} m R \ddot{\theta} - 0 = \lambda \cdot (-R) = Q_\theta$$

$$X = R\theta$$

$$\Rightarrow \ddot{X} = \frac{2}{3} g \sin \alpha$$

$$\lambda = -\frac{1}{2} m R \ddot{\theta} = -\frac{1}{2} m \ddot{X}$$

约束力与位移垂直, 虚功为 0.



$$f_1 = x - R\theta = 0 \quad f_2 = y = 0$$

$$U = -mg(\hat{x} \sin \alpha - \hat{y} \cos \alpha) \cdot \vec{r}$$

$$L = \frac{1}{2} m \dot{x}^2 + \frac{1}{2} m \dot{y}^2 + \frac{1}{2} m R^2 \dot{\theta}^2 + mg(x \sin \alpha - y \cos \alpha)$$

约束力, 是为使维持每个约束而受的力

二. 最小作用量原理

$$\begin{aligned} 0 = \delta S &= \delta \int_{t_1}^{t_2} L(q, \dot{q}, t) dt = \int_{t_1}^{t_2} \frac{\delta L}{\delta q_k} \delta q_k dt + \left[\frac{\delta L}{\delta \dot{q}_k} \delta q_k \right]_{t_1}^{t_2} \\ \delta q_k(t_1) &= 0 = \delta q_k(t_2) \quad (k = 1, 2, \dots, s+1) \\ \delta f &= \frac{\delta f}{\delta q_k} \delta q_k = 0 \Rightarrow \int_{t_1}^{t_2} \lambda(t) \frac{\delta f}{\delta q_k} \delta q_k dt = 0 \quad (\forall \lambda) \\ \Rightarrow \int_{t_1}^{t_2} \left[\frac{\delta L}{\delta q_k} + \lambda \frac{\delta f}{\delta q_k} \right] \delta q_k dt &= 0 \quad (\forall \lambda) \\ & \quad G_k \end{aligned}$$

不妨设 $\delta q_1, \delta q_2, \dots, \delta q_s$ 独立

总可找到 $\lambda(t)$, 使 $G_{s+1} = 0 \Rightarrow G_k = 0 \quad (k = 1, 2, \dots, s)$

§ 2.5 对称与守恒

一. 何为守恒量?

— 力学量 $\Gamma(q, \dot{q}, t)$: $\frac{d\Gamma}{dt} = 0 \Rightarrow \Gamma(q, \dot{q}, t) = C = \Gamma(q^{(0)}, \dot{q}^{(0)}, 0)$

(也称运动常数, 初次积分)

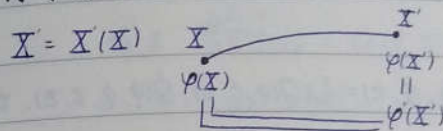
自由度为 s 的体系至多有 $2s$ 个独立的守恒量

$$\begin{cases} q_k(t) = f_k(q^{(0)}, \dot{q}^{(0)}, t) \Rightarrow q_k^{(0)} = f_k(q, \dot{q}, -t) \\ \dot{q}_k(t) = g_k(q^{(0)}, \dot{q}^{(0)}, t) \Rightarrow \dot{q}_k^{(0)} = f_k(q, \dot{q}, -t) \end{cases}$$

自由度为 s 的体系至多有 $2s-1$ 个不显含 t 的独立的守恒量

(考虑相空间, 含时间 t 的拓展相空间)

二. 何为对称性(不变性)?

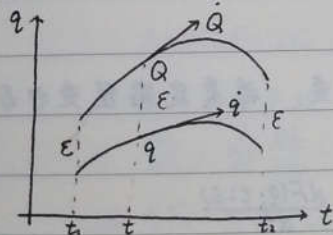


$$\begin{cases} \text{变换} & \varphi'(X') = \varphi(X) \\ \text{不变} & \varphi'(X') = \varphi(X) \end{cases}$$

$$\begin{aligned} \text{如: } X &= X \cos \theta + Y \sin \theta = X(X, Y, \theta) \\ Y &= -X \sin \theta + Y \cos \theta = Y(X, Y, \theta) \end{aligned}$$

三. 单参数点变换

$$\begin{cases} q_k \mapsto Q_k = Q_k(q, t; \varepsilon) \quad \text{不妨设 } Q_k|_{\varepsilon=0} = q_k \\ \dot{q}_k \mapsto \dot{Q}_k = \dot{Q}_k + \frac{\partial Q_k}{\partial q_{ki}} \dot{q}_i + \frac{\partial Q_k}{\partial t} = \dot{Q}_k(q, \dot{q}, t; \varepsilon) \end{cases}$$



$$\varepsilon \rightarrow 0 \quad \begin{cases} q_k \mapsto Q_k = q_k + \varepsilon S_k \quad S_k = \left. \frac{\partial Q_k}{\partial \varepsilon} \right|_{\varepsilon=0} = S_k(q, t) \\ \dot{q}_k \mapsto \dot{Q}_k = \dot{q}_k + \varepsilon \dot{S}_k \end{cases}$$

$$\begin{aligned} L(q, \dot{q}, t) &\Rightarrow L_\varepsilon(q, \dot{q}, t) \equiv L(Q, \dot{Q}, t) \\ &= L(Q(q, t, \varepsilon), \dot{Q}(q, \dot{q}, t, \varepsilon), t) \end{aligned}$$

$$L_\varepsilon = L + \frac{dF(q, t, \varepsilon)}{dt} \quad \text{对应守恒量}$$

$$L_\varepsilon = \lambda(\varepsilon) L \quad \text{不对应守恒量}$$

$\varepsilon \rightarrow 0$ 时称无穷小变换

四. 何为对称变换?

体系 $L = L(q, \dot{q}, t)$

Def $L_\epsilon(q, \dot{q}, t) = L(Q, \dot{Q}, t) = L(Q(q, t, \epsilon), \dot{Q}(\dot{q}, t, \epsilon), t)$

Def 若 $L_\epsilon(q, \dot{q}, t) = L(q, \dot{q}, t)$, 则称 L 是不变的

如 抛物运动

$$L = \frac{1}{2}m(\dot{x}^2 + \dot{y}^2 + \dot{z}^2) - mgz$$

变换 $X = x \cos \theta + y \sin \theta \Rightarrow L_\epsilon = \frac{1}{2}m(\dot{x}^2 + \dot{y}^2 + \dot{z}^2) - mgz$

$$Y = -x \sin \theta + y \cos \theta$$

$$Z = z$$

$$\begin{array}{l} \text{变换 } \left\{ \begin{array}{l} X = x + \epsilon \\ Y = y \\ Z = z \end{array} \right. \quad \left\{ \begin{array}{l} \dot{X} = \dot{x} \\ \dot{Y} = \dot{y} + \dot{\epsilon} \\ \dot{Z} = \dot{z} \end{array} \right. \end{array}$$

这样的变换下作用量不变, 将真实路径变为另一条真实路径

Def 若 $L_\epsilon(q, \dot{q}, t) = L(q, \dot{q}, t) + \frac{dF(q, t; \epsilon)}{dt}$

则称 L 是规范的不变的, 而相应的变换称对称变换

对称变换将真实路径变为真实路径

但将真实路径变为真实路径的未必是对称变换

如: $X = x, Y = y, Z = e^\epsilon z$

五. Noether 定理 (位形空间)

若 $q_k \mapsto Q_k = Q_k(q, t; \epsilon)$ 是体系 $L(q, \dot{q}, t)$ 的对称变换,

则力学量 $\Gamma(q, \dot{q}, t) = \frac{\partial L}{\partial \dot{q}_k} \dot{q}_k - G = p_k \dot{q}_k - G$ 为运动常数.

其中 $S_k \equiv \left. \frac{\partial Q_k}{\partial \epsilon} \right|_{\epsilon=0} = S_k(q, t)$

$$G \equiv \left. \frac{\partial F}{\partial \epsilon} \right|_{\epsilon=0} = G(q, t)$$

如: $X = x \cos \theta + y \sin \theta, Y = -x \sin \theta + y \cos \theta, Z = z$

$$L_\epsilon = L, S_x = y, S_y = -x, S_z = 0$$

$$\Gamma = p_x S_x + p_y S_y = y p_x - x p_y = -L_z$$

证: (1) $Q_k|_{\epsilon=0} = q_k$

对任意变换

$$\begin{aligned} \left. \frac{\partial L_\epsilon}{\partial \epsilon} \right|_{\epsilon=0} &= \left. \frac{\partial L}{\partial Q_k} \frac{\partial Q_k}{\partial \epsilon} \right|_{\epsilon=0} + \left. \frac{\partial L}{\partial \dot{Q}_k} \frac{\partial \dot{Q}_k}{\partial \epsilon} \right|_{\epsilon=0} \\ &= \frac{\partial L}{\partial q_k} S_k + \frac{\partial L}{\partial \dot{q}_k} \dot{S}_k \end{aligned}$$

(2) 对于对称变换

$$\left. \frac{\partial L_\epsilon}{\partial \epsilon} \right|_{\epsilon=0} = \lim_{\epsilon \rightarrow 0} \frac{L_\epsilon - L_{\epsilon=0}}{\epsilon} = \frac{dG}{dt}$$

$$\epsilon \rightarrow 0: F = \epsilon G, L_\epsilon = L + \epsilon \frac{dG}{dt}$$

(3) 对于真实运动

$$\frac{\partial L}{\partial q_k} = \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_k} = \dot{p}_k$$

$$\Rightarrow \dot{p}_k S_k + p_k \dot{S}_k = \dot{G}$$

$$\frac{d}{dt} (p_k S_k - G) = 0$$

如: $L = \frac{1}{2}m(\dot{r}^2 + r\dot{\theta}^2 + r^2\dot{\phi}^2 \sin^2 \theta) - U(r, \theta, \phi)$

$$\left\{ \begin{array}{l} r \mapsto R = r \\ \theta \mapsto \Theta = \theta \\ \phi \mapsto \Phi = \phi + \epsilon \end{array} \right. \Rightarrow L_\epsilon = L$$

$$\Gamma = p_\phi = m r^2 \dot{\phi} \sin^2 \theta$$

六. Noether 定理 (3 维 Euclid 空间)

若 $\vec{r}_a \mapsto \vec{R}_a = \vec{R}_a(\vec{r}, t; \epsilon)$ 是体系的对称变换.

$$\text{即 } L_\varepsilon(\vec{r}, \dot{\vec{r}}, t) \equiv L(\vec{R}, \dot{\vec{R}}, t) = L(\vec{R}(\vec{r}, t; \varepsilon), \dot{\vec{R}}(\vec{r}, \dot{\vec{r}}, t; \varepsilon), t) \\ = L(\vec{r}, \dot{\vec{r}}, t) + \frac{dF(\vec{r}, t; \varepsilon)}{dt}$$

$$q_k \leftrightarrow \vec{r}_a = \vec{r}_a(q_k, t)$$

$$Q_k \leftrightarrow \vec{R}_a = \vec{R}_a(Q_k, t)$$

$$\varepsilon \rightarrow 0: \vec{R}_a = \vec{r}_a + \varepsilon \vec{\eta}_a$$

$$\vec{\eta}_a = \frac{\partial \vec{R}_a}{\partial \varepsilon} \Big|_{\varepsilon=0} = \frac{\partial \vec{r}_a}{\partial Q_k} \Big|_{q=q} \frac{\partial Q_k}{\partial \varepsilon} \Big|_{\varepsilon=0} = \frac{\partial \vec{r}_a}{\partial q} S_k$$

$$\frac{\partial L}{\partial q_k} S_k = \frac{\partial L}{\partial \vec{r}_a} \frac{\partial \vec{r}_a}{\partial q_k} S_k = \frac{\partial L}{\partial \vec{r}_a} \frac{\partial \vec{R}_a}{\partial q_k} S_k = \frac{\partial L}{\partial \vec{r}_a} S_k$$

则力学量 $\Gamma = \frac{\partial L}{\partial \vec{r}_a} \cdot \vec{\eta}_a - G$ 为运动常数

其中 $\vec{\eta}_a = \frac{\partial \vec{R}_a}{\partial \varepsilon} \Big|_{\varepsilon=0}$

$$G = \frac{\partial F}{\partial \varepsilon} \Big|_{\varepsilon=0} = G(\vec{r}, t)$$

注意不能将 $\frac{\partial L}{\partial \vec{r}_a}$ 轻易写为动量

七. 孤立体系 (不采用求和约定)

$$L = T - U \quad U = \frac{1}{2} \sum_{a,b} U_{ab}(r_{ab})$$

1. 空间平移

$$\vec{r}_a \mapsto \vec{R}_a = \vec{r}_a + \varepsilon \hat{n}$$

$$T = \sum_a \frac{1}{2} m_a \vec{v}_a^2 \quad \vec{r}_{ab} = \vec{R}_{ab}$$

$$L_\varepsilon = L \quad \vec{\eta}_a = \hat{n}$$

$$\Gamma = \sum_a \vec{p}_a \cdot \vec{\eta}_a = \sum_a \vec{p}_a \cdot \hat{n} = \hat{n} \cdot \vec{p}$$

$$\Rightarrow \vec{p} = \sum_a m_a \vec{v}_a \quad \text{守恒}$$

2. 空间转动

$$\vec{r}_a \mapsto \vec{R}_a = \vec{r}_a + \hat{n} \delta\theta \times \vec{r}_a \quad \text{无穷小转动}$$

$$L_\varepsilon = L \quad \vec{\eta}_a = \hat{n} \times \vec{r}_a$$

$$\Gamma = \sum_a \vec{p}_a \cdot \vec{\eta}_a = \sum_a \vec{p}_a \cdot (\hat{n} \times \vec{r}_a) = \hat{n} \cdot \sum_a (\vec{r}_a \times \vec{p}_a) = \hat{n} \cdot \vec{L} \\ \Rightarrow \vec{L} = \sum_a \vec{r}_a \times m_a \vec{v}_a \quad \text{守恒}$$

注意: 力矩、角动量定义含位矢, 与原点有关

此处角动量对任一点均守恒

3. 速度变换 (推动)

$$\vec{r}_a \mapsto \vec{R}_a = \vec{r}_a + \varepsilon \hat{n} t \Rightarrow \vec{R}_a = \vec{r}_a + \varepsilon \hat{n}$$

$$\vec{r}_{ab} = \vec{r}_a - \vec{r}_b$$

$$\vec{R}_{ab} = \vec{R}_a - \vec{R}_b = \vec{r}_{ab} \Rightarrow U_\varepsilon = U$$

$$T_\varepsilon = \sum_a \frac{1}{2} m_a \vec{R}_a^2 = \sum_a \frac{1}{2} m_a (\vec{r}_a + \varepsilon \hat{n})^2 \\ = \sum_a \frac{1}{2} m_a \vec{r}_a^2 + \varepsilon \hat{n} \cdot \sum_a m_a \vec{r}_a + \varepsilon^2 \sum_a \frac{1}{2} m_a \\ \underbrace{\frac{d}{dt} [\varepsilon \hat{n} \sum_a m_a \vec{r}_a]}$$

规范不变, $\vec{\eta}_a = \hat{n} t$, $G = \hat{n} \cdot \sum_a m_a \vec{r}_a$

$$\Gamma = \sum_a \vec{p}_a \cdot \vec{\eta}_a - G$$

$$= \hat{n} \cdot t \sum_a m_a \vec{v}_a - \hat{n} \cdot \sum_a m_a \vec{r}_a$$

$$= \hat{n} \cdot [(\sum_a m_a \vec{v}_a) t - \sum_a m_a \vec{r}_a]$$

$$= \hat{n} \cdot M [\vec{v}_c t - \vec{r}_c]$$

$$\Rightarrow \vec{r}_c = \vec{r}_{c0} + \vec{v}_c t$$

$$\vec{r}_c = \frac{d}{dt} (\vec{v}_c t) = \vec{v}_c t + \vec{v}_c \Rightarrow \vec{v}_c = 0$$

\Rightarrow 质心匀速运动

八. 非孤立体系

$$L = T - U, \quad T = \sum_a \frac{1}{2} m_a v_a^2, \quad U = \frac{1}{2} \sum_{a,b} U_{ab}(r_{ab}) + U_*(\vec{r}, t)$$

平移/转动, L 不变? $\Leftrightarrow U_*$ 不变 \Leftrightarrow "荷" 不变

在“荷”均匀时, \Leftrightarrow “荷”区域是否几何对称性

讨论时间平移不变性:

避免不等时变分, 将时间参数化 $t = t(\sigma)$

则 $q = q(\sigma) = q(t(\sigma)) \quad \dot{q} = \frac{d\sigma}{dt} \frac{dq}{d\sigma} = \frac{q'}{t'}$

$S = \int_{t_1}^{t_2} L dt = \int_{\sigma_1}^{\sigma_2} \tilde{L} d\sigma \quad \tilde{L} = t' L(q, \frac{q'}{t'}, t) = \tilde{L}(q, t, q', t', \sigma)$

形式上将 t 作为一个坐标

$\tilde{P}_k = \frac{\partial \tilde{L}}{\partial \dot{q}_k} = t' \frac{\partial L}{\partial \dot{q}_k} = P_k$

$\tilde{P}_t = \frac{\partial \tilde{L}}{\partial t'} = L + t' \frac{\partial L}{\partial t} = L - P_k \dot{q}_k = -h$

$\tilde{h} = \tilde{P}_k \dot{q}_k + \tilde{P}_t t' - \tilde{L} \equiv 0$

变换 $Q_k = Q_k(q, t, \epsilon)$

$T = T(q, t, \epsilon)$

$\tilde{L}_\epsilon(q, t, q', t') = \tilde{L}(q, t, q', t') + \frac{dF(q, t, \epsilon)}{d\sigma}$

$\Gamma = \tilde{P}_k S_k + \tilde{P}_t S_t - G$

其中 $S_k = \frac{\partial Q_k}{\partial \epsilon} \Big|_{\epsilon=0} \quad S_t = \frac{\partial T}{\partial \epsilon} \Big|_{\epsilon=0} \quad G = \frac{\partial F}{\partial \epsilon} \Big|_{\epsilon=0}$

$t' \frac{\partial \tilde{L}}{\partial t'} L(q, \frac{dq}{d\sigma}, t) = t' L(q, \dot{q}, t) + t' \frac{dF(q, t, \epsilon)}{d\sigma}$

$\Rightarrow \frac{d\tilde{L}}{d\sigma} L(q, \frac{dq}{d\sigma}, t) = L(q, \dot{q}, t) + \frac{dF(q, t, \epsilon)}{d\sigma}$

$\Gamma = P_k S_k - h S_t - G$

$L(q, \dot{q}, t + \epsilon) = L(q, \dot{q}, t)$

$\Rightarrow \Gamma = -h$

一般转动 $SO(3) \quad dl^2 = dx_i dx_i = dx^2 + dy^2 + dz^2$ 正交变换

狭义相对论 $SR: O(3, 1) \quad ds^2 = dl^2 - c^2 dt^2$ 洛伦兹变换

$x_0 = ct$

Galileo $X'_i = \lambda_{ij} X_j + D_i + V_i t$

$t' = t + t_0 \quad (dt' = dt)$

Poincare $X'_\alpha = \Lambda_{\alpha\beta} X_\beta + D_\alpha$

Lorentz

沿三个轴的转动沿三个轴各独立变换, 沿三个轴推动

狭义相对论要求物理理论必须满足其规定的对称性

对于物理规律, 此处对拉格朗日函数作了很强的限制

乒乓球 \rightarrow 篮球 标度变换
填充二者间隔 规范变换

量子电动力学(QED)要求

1) 满足狭义相对论

2) 要求满足 U(1) $\psi(x) = e^{i\alpha(x)} \psi(x)$

强相互作用 QCD SU(3)

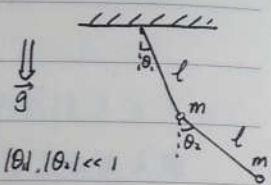
标度模型 SM 更高对称性 SU(2) x U(1)

CH3. 微振动

§ 3.1 双摆

- Lagrange 函数

$$L = \frac{1}{2}m[l'\dot{\theta}_1^2 + l'(\dot{\theta}_1 + \dot{\theta}_2)^2] - mg[\frac{1}{2}l\theta_1^2 + \frac{1}{2}l(\theta_1 + \theta_2)^2]$$



合理近似: 近似要自恰, 保留到同一阶

$$|\theta_1|, |\theta_2| \ll 1$$

$$L = m l' [(1\dot{\theta}_1^2 + \dot{\theta}_1\dot{\theta}_2 + \frac{1}{2}\dot{\theta}_2^2) - \omega_0^2(\theta_1^2 + \frac{1}{2}\theta_2^2)] \quad \omega_0 = \sqrt{\frac{g}{l}}$$

二. 运动方程

$$\begin{cases} 2\ddot{\theta}_1 + \ddot{\theta}_2 = -2\omega_0^2\theta_1 & \text{耦合} \Rightarrow \text{解耦} \\ \ddot{\theta}_1 + \ddot{\theta}_2 = -\omega_0^2\theta_2 \end{cases}$$

解耦: (1) 考虑对上式求二阶导, 代入下式

解耦 并得到四阶微分方程

(2) 考虑更换广义坐标的选取

三. 解耦

$$0 + \alpha \times (2) \quad (2+\alpha) \frac{d^4}{dt^4} [\theta_1 + \frac{1+\alpha}{2+\alpha} \theta_2] = -2\omega_0^2 [\theta_1 + \frac{\alpha}{2+\alpha} \theta_2]$$

△ 使之系数相等 / △

$$2+2\alpha = 2\alpha + \alpha^2 \Rightarrow \alpha^2 = 2 \Rightarrow \alpha = \pm\sqrt{2}$$

$$\frac{d^4}{dt^4} (\theta_1 \pm \frac{\theta_2}{\sqrt{2}}) = -(2 \mp \sqrt{2}) \omega_0^2 (\theta_1 \pm \frac{\theta_2}{\sqrt{2}})$$

$$\begin{cases} \xi_1 = \theta_1 + \frac{\theta_2}{\sqrt{2}} = 2\lambda_1 \cos(\omega_1 t + \varphi_1) & \omega_1 \equiv \sqrt{2-\sqrt{2}} \omega_0 \\ \xi_2 = \theta_1 - \frac{\theta_2}{\sqrt{2}} = 2\lambda_2 \cos(\omega_2 t + \varphi_2) & \omega_2 \equiv \sqrt{2+\sqrt{2}} \omega_0 \end{cases}$$

$$\Rightarrow \begin{pmatrix} \theta_1 \\ \theta_2 \end{pmatrix} = \begin{pmatrix} \frac{\xi_1 + \xi_2}{2} \\ \frac{\xi_1 - \xi_2}{\sqrt{2}} \end{pmatrix} = \begin{pmatrix} 1 \\ \sqrt{2} \end{pmatrix} \lambda_1 \cos(\omega_1 t + \varphi_1) + \begin{pmatrix} 1 \\ -\sqrt{2} \end{pmatrix} \lambda_2 \cos(\omega_2 t + \varphi_2)$$

四. 分析

$$\left. \begin{cases} \lambda_1 \neq 0, \lambda_2 = 0 & \omega_1: \begin{pmatrix} 1 \\ \sqrt{2} \end{pmatrix} \\ \lambda_1 = 0, \lambda_2 \neq 0 & \omega_2: \begin{pmatrix} 1 \\ -\sqrt{2} \end{pmatrix} \end{cases} \right\} \text{简正模}$$

简正频率 本征矢

ξ_1, ξ_2 简正坐标

§ 3.2 简谐近似

一. 问题的描述

体系: 自由度 s $q = (q_1, q_2, \dots, q_s)$

假定: 1. 外部约束稳定 $\vec{r} = \vec{r}(q, \xi)$
外场稳定 $U = U(\vec{r}, \xi) = U(q, \xi)$

$$T = \frac{1}{2} m_{ij} \dot{q}_i \dot{q}_j \quad m_{ij}(q) = m_a \frac{\partial \vec{r}_a}{\partial q_i} \frac{\partial \vec{r}_a}{\partial q_j}$$

2. 稳定平衡位置 $q^{(0)}$

$$U(q) = U(q^{(0)}) + \frac{\partial U}{\partial q_k} \Big|_{q^{(0)}} (q_k - q_k^{(0)}) + \frac{1}{2!} \frac{\partial^2 U}{\partial q_i \partial q_j} \Big|_{q^{(0)}} (q_i - q_i^{(0)}) (q_j - q_j^{(0)}) + \dots$$

$$\frac{\partial U}{\partial q_k} \Big|_{q^{(0)}} = 0 \quad (k=1, 2, \dots, s)$$

$$K \equiv \left(\frac{\partial^2 U}{\partial q_i \partial q_j} \right)_{q^{(0)}} \text{ 正定, 对称 (假定存在)}$$

二. 谐振近似

$$\xi_k = q_k - q_k^{(0)}$$

$$T \approx \frac{1}{2} M_{ij} \dot{\xi}_i \dot{\xi}_j \quad M_{ij} = m_{ij}(q^{(0)})$$

$$U \approx \frac{1}{2} K_{ij} \xi_i \xi_j$$

$$\Rightarrow L = \frac{1}{2} M_{ij} \dot{\xi}_i \dot{\xi}_j - \frac{1}{2} K_{ij} \xi_i \xi_j$$

$$\frac{\partial L}{\partial \xi_i} = \frac{1}{2} M_{ij} (\delta_{in} \dot{\xi}_j + \dot{\xi}_i \delta_{jn}) = \frac{1}{2} (M_{ij} \dot{\xi}_j + M_{ni} \dot{\xi}_i) = M_{ij} \dot{\xi}_j$$

$$M\ddot{\xi}_j + K_j \xi_j = 0 \Rightarrow M\ddot{\xi} + K\xi = 0$$

§ 3.3 简正坐标与简正模 (不采用求和约定)

$$\left. \begin{array}{l} M \text{ 对称, 正定} \\ K \text{ 对称} \end{array} \right\} M\ddot{\xi} + K\xi = 0 \Rightarrow \ddot{\xi} = -(M^{-1}K)\xi$$

一. 线性坐标变换 $\xi = A\eta$

$$MA\ddot{\eta} + KA\eta = 0 \Rightarrow \ddot{\eta} = -A^{-1}(M^{-1}K)A\eta$$

二. 同时对角化

$$M \text{ 对称} \Rightarrow O^T M O = M_\alpha = \text{diag}(m_1, m_2, \dots, m_s) \quad O \in O(3)$$

$$M \text{ 正定} \Rightarrow m_\alpha > 0 \Rightarrow D^T O^T M O D = I \quad D = \text{diag}\left(\frac{1}{\sqrt{m_1}}, \frac{1}{\sqrt{m_2}}, \dots, \frac{1}{\sqrt{m_s}}\right)$$

$$K \text{ 对称} \Rightarrow D^T O^T K O D \text{ 对称} \Rightarrow O^T D^T O^T K O D O = \Omega_\alpha = \text{diag}(\omega_1^2, \omega_2^2, \dots, \omega_s^2)$$

$$O^T D^T O^T M O D O = I \quad O_\alpha \in O(3)$$

$$\text{存在 } A = O D O_\alpha, \quad A^T M A = I, \quad A^T K A = \Omega_\alpha = \text{diag}(\omega_1^2, \omega_2^2, \dots, \omega_s^2)$$

三. 本征值与本征矢

$$A^T M A = I, \quad A^T K A = \Omega_\alpha = \text{diag}(\omega_1^2, \omega_2^2, \dots, \omega_s^2)$$

$$(A^T)^{-1} = M A \Rightarrow K A = M A \Omega_\alpha \Rightarrow (K A^{(1)}, K A^{(2)}, \dots, K A^{(s)}) = (\omega_1^2 M A^{(1)}, \dots, \omega_s^2 M A^{(s)})$$

$$A \text{ 每一列 } X: KX = \omega^2 M X$$

$$\text{久期方程: } \det(K - \omega^2 M) = 0 \Rightarrow \omega_a^2 (a=1, 2, \dots, s)$$

$$\text{本征矢方程: } (K - \omega_a^2 M)X = 0 \Rightarrow A^{(a)} = cX$$

1. ω_a^2 实

$$X = \alpha + i\beta \quad (\alpha, \beta \text{ 实列矩阵})$$

$$X^T K X = (\alpha^T - i\beta^T) K (\alpha + i\beta) = \alpha^T K \alpha + \beta^T K \beta + i(\alpha^T K \beta - \beta^T K \alpha) = 0$$

$$\omega^2 X^T M X = \omega^2 [\alpha^T M \alpha + \beta^T M \beta] \text{ 正}$$

特别地, K 正定 $\Rightarrow \omega_a^2 > 0$

2. 本征矢可取为实

$$3. KA = MA \Omega_\alpha$$

未必正交, 但对问题求解无影响

如果正交化, 归一化, 会有更良好的几何性质

四. 方程的解

$$M\ddot{\xi} + K\xi = 0 \xrightarrow{\xi = A\eta} MA\ddot{\eta} + KA\eta = 0 = MA(\ddot{\eta} - \Omega_\alpha \eta) = 0$$

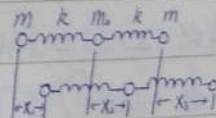
$$\ddot{\eta}_a + \omega_a^2 \eta_a = 0 \quad \left\{ \begin{array}{l} \omega_a^2 > 0 \quad \eta_a = \lambda_a \cos(\omega_a t + \varphi_a) \\ \omega_a^2 = 0 \quad \eta_a = \lambda_a t + \varphi_a \\ \omega_a^2 = -\omega_a^2 < 0 \quad \eta_a = c_a \cosh \omega_a t + d_a \sinh \omega_a t \end{array} \right.$$

$$\xi = A\eta = \sum_a A^{(a)} \eta_a(t) = A^{(1)} \eta_1(t) + A^{(2)} \eta_2(t) + \dots + A^{(s)} \eta_s(t)$$

五. 简正模 (K 半正定)

$$\omega_a^2 (\geq 0): A^a \text{ 简正频率, 本征矢}$$

eg. CO₂



$$T = \frac{1}{2} m \dot{x}_1^2 + \frac{1}{2} m \dot{x}_2^2 + \frac{1}{2} m \dot{x}_3^2$$

$$M = m \begin{pmatrix} 1 & 0 & 0 \\ 0 & r & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad r = \frac{m}{m}$$

$$U = \frac{1}{2} k (x_2 - x_1)^2 + \frac{1}{2} k (x_3 - x_2)^2 = \frac{1}{2} k (x_1^2 + 2x_2^2 + x_3^2) - k(x_1 x_2 + x_2 x_3)$$

$$K = m\omega^2 \begin{pmatrix} 1 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 1 \end{pmatrix} \quad k = m\omega^2$$

$$K - \omega^2 M = m\omega^2 \begin{pmatrix} 1-\lambda & -1 & 0 \\ -1 & 2-a & -1 \\ 0 & -1 & 1-\lambda \end{pmatrix}$$

$$\det(K - \omega^2 M) = \lambda(1-\lambda)[\lambda - (1+2)]$$

$$\Rightarrow \lambda_1 = 1, \omega_1^2 = \omega_0^2, A^{(1)} = (1, 0, -1)$$

$$\lambda_2 = 1 + \frac{2}{r}, \omega_2^2 = (1 + \frac{2m}{m_0})\omega_0^2, A^{(2)} = (1, -2\frac{m}{m_0}, 1)$$

$$\lambda_3 = 0, \omega_3^2 = 0, A^{(3)} = (1, 1, 1)$$

$\omega_3^2 = 0$ 刚性运动 (整体平移, 整体转动)

$f(r) = f(|\vec{r}|)$ 在 $\vec{r} = \vec{R}$ 作展开 ($\vec{u} \equiv \vec{r} - \vec{R}$)

$$\frac{\partial f}{\partial x_i} = \frac{\partial r}{\partial x_i} \frac{\partial f}{\partial r} = \frac{x_i}{r} f'(r)$$

$$\frac{\partial^2 f}{\partial x_i \partial x_j} = \frac{x_i x_j}{r^3} f'(r) + (\frac{\delta_{ij}}{r} - \frac{x_i x_j}{r^3}) f''(r)$$

$$f(r) = f(R) + (\hat{R} \cdot \vec{u}) f'(R) + \frac{1}{2} (\hat{R} \cdot \vec{u})^2 f''(R) + \frac{1}{6} \frac{(\hat{R} \cdot \vec{u})^3}{R} f'''(R) + \dots$$

$$\text{角动量 } \vec{L} = \sum_a \vec{r}_a \times m_a \vec{u}_a = \sum_a \vec{R}_a \times m_a \vec{u}_a = \frac{d}{dt} (\sum_a \vec{R}_a \times m_a \vec{u}_a)$$

六. 正交归一化

$$\text{内积 } (\mathbf{X}, \mathbf{Y}) \equiv \mathbf{X}^T \mathbf{M} \mathbf{Y}$$

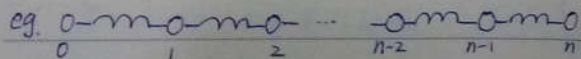
$$\text{模 } \|\mathbf{X}\| = \sqrt{(\mathbf{X}, \mathbf{X})}$$

$$\text{夹角 } \cos \theta = \frac{(\mathbf{X}, \mathbf{Y})}{\|\mathbf{X}\| \|\mathbf{Y}\|}$$

$$(A^{(a)}, A^{(b)}) = \delta_{ab} \Leftrightarrow A^T M A = I$$

不同本征值的本征矢必正交

重根本征矢可由 Gram-Smit 程序正交归一化



平衡时, $X_0 = ad$

$$L = T - U = \dots$$

$$\frac{\partial L}{\partial \psi_a} = -k(\psi_a - \psi_{a-1}) + k(\psi_{a+1} - \psi_a)$$

$$\psi = -K\psi \text{ where } K = \omega_0^2 \begin{pmatrix} \dots & 0 & 0 & 0 & 0 \\ \dots & 2 & -1 & 0 & 0 & 0 \\ \dots & 0 & -1 & 2 & -1 & 0 & 0 \\ \dots & 0 & 0 & -1 & 2 & -1 & 0 \\ \dots & 0 & 0 & 0 & -1 & 2 & \dots \\ \dots & 0 & 0 & 0 & 0 & \dots & \dots \end{pmatrix}$$

最近邻作用
次近邻作用

$$\psi_a(t) = C e^{i(kx_a - \omega t)}, \quad k = \frac{2\pi}{\lambda}$$

$$\psi_{a+1} = \psi_a e^{ikd}$$

$$-\omega^2 \psi_a = -\omega_0^2 (2 - e^{ikd} - e^{-ikd}) \psi_a$$

$$\omega^2 = \omega_0^2 (2 - 2\cos kd) = 4\omega_0^2 \sin^2 \frac{kd}{2}$$

$$\Rightarrow \omega = 2\omega_0 \sin \frac{kd}{2} \quad \text{色散关系}$$

二. 边界条件

选择: $\psi_0 = 0 = \psi_n$

$$\psi_a(t) = C e^{-i\omega t} \sin kx_a = C \frac{e^{i(kx_a - \omega t)} - e^{i(kx_a + \omega t)}}{2i}$$

$$\psi_n = 0 \Rightarrow \sin knd = \sin kL = 0 \Rightarrow k_\beta = \beta \frac{\pi}{nd} = \beta \frac{\pi}{L} \quad (\beta = 1, 2, \dots, n-1)$$

$$\Rightarrow \lambda_\beta = \frac{2\pi}{k_\beta} = \frac{2L}{\beta} \Rightarrow L = \frac{\beta}{2} \lambda_\beta$$

$$\omega_\beta = 2\omega_0 \sin \frac{\beta\pi}{2n}$$

$$A^{(\beta)} = C \begin{pmatrix} \sin k_\beta x_1 \\ \sin k_\beta x_2 \\ \vdots \\ \sin k_\beta x_n \end{pmatrix} = C \begin{pmatrix} \sin 1 \cdot \frac{\beta\pi}{n} \\ \sin 2 \cdot \frac{\beta\pi}{n} \\ \vdots \\ \sin(n-1) \cdot \frac{\beta\pi}{n} \end{pmatrix}$$

$$\omega_\beta = 2\omega_0 \sin \frac{\beta\pi}{2n}$$

§3.4 连续体系 (场论初步)

例. 螺旋纹螺母

编号 $-n, \dots, 0, \dots, n$

摆长 l , $x_i = \beta \phi_i$, $\lambda = \beta + l'$

质量 m , 弹性系数 k , $\omega^2 = \frac{k\beta^2}{m\lambda}$, $\Omega^2 = \frac{gl}{\lambda}$

间距 a

$$T_i = \frac{1}{2} m (\dot{x}_i^2 + l'^2 \dot{\phi}_i^2) = \frac{1}{2} m (\beta^2 + l'^2) \dot{\phi}_i^2$$

$$L = \frac{1}{2} m \lambda \sum_{i=-n}^n \dot{\phi}_i^2 - \frac{1}{2} k \beta^2 \sum_{i=-n}^{n-1} (\phi_{i+1} - \phi_i)^2 - mgl \sum_{i=-n}^n (1 - \cos \phi_i)$$

$$\frac{\partial L}{\partial \dot{\phi}_i} = m \lambda \dot{\phi}_i$$

$$\frac{\partial L}{\partial \phi_i} = k \beta^2 [(\phi_{i+1} - \phi_i) - (\phi_i - \phi_{i-1})] - mgl \sin \phi_i$$

$$m \lambda \ddot{\phi}_i = k \beta^2 [(\phi_{i+1} - \phi_i) - (\phi_i - \phi_{i-1})] - mgl \sin \phi_i$$

$$\ddot{\phi}_i - \omega^2 [(\phi_{i+1} - \phi_i) - (\phi_i - \phi_{i-1})] + \Omega^2 \sin \phi_i = 0, \quad -(n-1) \leq i \leq (n-1)$$

一. 例子

二. 连续极限

每一摆替换为 S 个长为 l , 质量 $\Delta m = \frac{m}{S}$, 间距 $\Delta X = \frac{a}{S}$

$$\text{质量 } m \rightarrow \Delta m = \frac{m}{S} \Rightarrow \rho = \frac{\Delta m}{\Delta X} = \frac{m}{a}$$

$$\text{间距 } a \rightarrow \Delta X = \frac{a}{S} \Rightarrow \gamma = \frac{ak\beta^2}{\lambda}$$

弹性系数 $k \rightarrow sk$

$$\omega^2 \rightarrow \frac{\gamma}{\rho} \frac{1}{(\Delta X)^2} = v^2 \frac{1}{(\Delta X)^2}, \quad v = \sqrt{\frac{\gamma}{\rho}}$$

$$L: \frac{1}{2} m \lambda^2 \rightarrow \frac{1}{2} \rho \lambda^2 \Delta X$$

$$\frac{1}{2} k \beta^2 \rightarrow \frac{1}{2} s k \beta^2 = \frac{1}{2} \frac{ak\beta^2}{\lambda} \frac{\lambda S}{a} = \frac{1}{2} \gamma \lambda^2 \frac{1}{\Delta X}$$

$$mgl \rightarrow \rho gl \Delta X$$

$$L = \sum \Delta X \left[\frac{1}{2} \rho \lambda^2 \dot{\phi}^2(x) - \frac{1}{2} \gamma \lambda^2 \left[\frac{\phi(x+\Delta X) - \phi(x)}{\Delta X} \right]^2 - \rho gl [1 - \cos \phi(x)] \right]$$

$\phi \rightarrow \phi(x)$ x - 平衡时悬挂点位置

$$\phi(x) - v^2 \frac{1}{\Delta X} \left[\frac{\phi(x+\Delta X) - \phi(x)}{\Delta X} - \frac{\phi(x) - \phi(x-\Delta X)}{\Delta X} \right] + \Omega^2 \sin \phi(x) = 0$$

$\phi_i \rightarrow \phi(x) \rightarrow \phi(t, x)$

1. Lagrange 密度

$$\mathcal{L} = \frac{1}{2} \rho \lambda^2 (\partial_t \phi)^2 - \frac{1}{2} \gamma \lambda^2 (\partial_x \phi)^2 - \rho gl (1 - \cos \phi)$$

$$= \mathcal{L}(\phi, \partial_t \phi, \partial_x \phi)$$

2. sine-Gordon 方程 (sG)

$$\frac{\partial^2 \phi}{\partial t^2} - v^2 \frac{\partial^2 \phi}{\partial x^2} + \Omega^2 \sin \phi = 0$$

(i) $g=0$ ($\Omega=0$)

$$\psi = \lambda \phi(t, x)$$

$$\mathcal{L} = \frac{1}{2} \rho (\partial_t \psi)^2 - \frac{1}{2} \gamma (\partial_x \psi)^2 = \mathcal{L}(\partial_t \psi, \partial_x \psi)$$

$$\frac{\partial^2 \psi}{\partial t^2} = v^2 \frac{\partial^2 \psi}{\partial x^2} \quad \text{波动方程}$$

(ii) $|\phi| \ll 1$ $\psi = \lambda \phi$

$$\mathcal{L} = \frac{1}{2} \rho [(\partial_t \psi)^2 - v^2 (\partial_x \psi)^2 + \Omega^2 \psi^2]$$

$$\frac{\partial^2 \psi}{\partial t^2} - v^2 \frac{\partial^2 \psi}{\partial x^2} + \Omega^2 \psi = 0 \quad \text{Klein-Gordon 方程}$$

$$\frac{\delta \mathcal{L}}{\delta q_k} \equiv \frac{\partial \mathcal{L}}{\partial q_k} - \frac{d}{dt} \frac{\partial \mathcal{L}}{\partial \dot{q}_k} = 0$$

$$\frac{\delta \mathcal{L}}{\delta \psi} \equiv \frac{\partial \mathcal{L}}{\partial \psi} - \partial_t \frac{\partial \mathcal{L}}{\partial (\partial_t \psi)} - \partial_x \frac{\partial \mathcal{L}}{\partial (\partial_x \psi)} = 0$$

$$\Leftrightarrow \delta S = \delta \int \mathcal{L} dx dt = 0$$

三. 符号

1. 时空坐标

$$X = (x_0, x_1, x_2, x_3) = (t, \vec{r}), \quad \alpha, \beta, \gamma = 0, 1, 2, 3 \mid i, j, k = 1, 2, 3 \text{ 求和}$$

2. 场

$$\psi_I = \psi_I(x) = \psi_I(t, \vec{r}) \quad (I=1, 2, \dots, N)$$

$$\psi = (\psi_1, \psi_2, \dots, \psi_N) \quad \partial\psi$$

3. 作用量

$$S = \int L dt = \int \mathcal{L}(\psi, \partial\psi, x) d^4x \quad L = \int \mathcal{L} d^3x$$

四. Hamilton 原理

$$\begin{cases} \psi_I \mapsto \psi_I + \delta\psi_I \\ \partial\alpha\psi_I \mapsto \partial\alpha\psi_I + \delta(\partial\alpha\psi_I) \end{cases}$$

$$\begin{cases} 0 = \delta S = \int_R \mathcal{L}(\psi, \partial\psi, x) d^4x \\ \delta\psi_I(x)|_{x \in \partial R} = 0 \end{cases}$$

$$\delta \mathcal{L} = \mathcal{L}(\psi + \delta\psi, \partial\psi + \delta(\partial\psi), x)$$

$$- \mathcal{L}(\psi, \partial\psi, x)$$

$$= \frac{\partial \mathcal{L}}{\partial \psi_I} \delta\psi_I + \frac{\partial \mathcal{L}}{\partial (\partial_\alpha \psi_I)} \delta(\partial_\alpha \psi_I) \rightarrow \frac{\partial \mathcal{L}}{\partial (\partial_\alpha \psi_I)} \delta\psi_I - \left[\frac{\partial \mathcal{L}}{\partial (\partial_\alpha \psi_I)} \right] \delta\psi_I$$

$$= \left[\frac{\partial \mathcal{L}}{\partial \psi_I} - \partial_\alpha \frac{\partial \mathcal{L}}{\partial (\partial_\alpha \psi_I)} \right] \delta\psi_I + \partial_\alpha \left[\frac{\partial \mathcal{L}}{\partial (\partial_\alpha \psi_I)} \delta\psi_I \right]$$

$$\frac{\delta \mathcal{L}}{\delta \psi_I}$$



四维矢量的散度(端点处)边界项

Euler-lagrange 方程

$$\frac{\delta \mathcal{L}}{\delta \psi_I} \equiv \frac{\partial \mathcal{L}}{\partial \psi_I} - \partial_\alpha \frac{\partial \mathcal{L}}{\partial (\partial_\alpha \psi_I)} = \frac{\partial \mathcal{L}}{\partial \psi_I} - \partial_\alpha \frac{\partial \mathcal{L}}{\partial (\partial_\alpha \psi_I)} - \nabla \cdot \frac{\partial \mathcal{L}}{\partial (\nabla \psi_I)} = 0$$

$$\mathcal{L}(\psi, \partial\psi, x) = \rho \left[\frac{1}{2} (\partial_t \phi)^2 - \frac{1}{2} v^2 (\partial_x \phi)^2 - \omega^2 (1 - \cos \phi) \right]$$

$$\frac{\partial \mathcal{L}}{\partial \phi} = \partial_t \frac{\partial \mathcal{L}}{\partial (\partial_t \phi)} + \partial_x \frac{\partial \mathcal{L}}{\partial (\partial_x \phi)}$$

$$-\rho \sin \phi = \frac{\partial \mathcal{L}}{\partial t} - v^2 \frac{\partial \mathcal{L}}{\partial x} \quad \text{SG 方程}$$

非线性方程, 不满足叠加原理.

$$\neq f(x, y, z(x, y))$$

$$\begin{cases} \text{偏导} \frac{\partial f}{\partial x} \\ \text{全偏导} \left(\frac{\partial f}{\partial x} \right) = \frac{\partial f}{\partial x} + \frac{\partial f}{\partial z} \frac{\partial z}{\partial x} \end{cases}$$

拉格朗日密度的不确定性

$$\mathcal{L} \leftrightarrow \mathcal{L}' = \mathcal{L} + \partial_\alpha F_\alpha(\psi, x)$$

五. Maxwell 方程组

1. 源已知 $j_\alpha = (j_0, \vec{j}) = (\rho, \vec{j})$

$$0 = \partial_t \rho + \nabla \cdot \vec{j} = \partial_\alpha j_\alpha \quad Q = \int \rho dV \quad \text{连续性方程}$$

2. 电磁势 $A_\alpha = (A_0, \vec{A}) = (-\varphi, \vec{A})$

$$\begin{cases} \vec{E} = -\nabla\varphi - \partial_t \vec{A} \Rightarrow \begin{cases} E_i = \partial_i A_0 - \partial_t A_i \\ B_i = \epsilon_{ijk} \partial_j A_k \end{cases} \\ \vec{B} = \nabla \times \vec{A} \end{cases}$$

3. Lagrange 密度 ($c^2 = \frac{1}{\epsilon_0 \mu_0}$)

$$\mathcal{L} = \mathcal{L}(A, \partial A, x) = \frac{1}{2} \epsilon_0 (E^2 - c^2 B^2) - (\rho\varphi - \vec{j} \cdot \vec{A})$$

$-\rho(\varphi - \vec{v} \cdot \vec{A})$ 单位体积内势能

$$= \frac{1}{2} \epsilon_0 (E^2 - c^2 B^2) + j_\alpha A_\alpha$$

$$\frac{\partial \mathcal{L}}{\partial A_\alpha} = \partial_\alpha \frac{\partial \mathcal{L}}{\partial (\partial_\alpha A_\alpha)} - \partial_j \frac{\partial \mathcal{L}}{\partial (\partial_j A_\alpha)}$$

$$\frac{\partial \mathcal{L}}{\partial A_\alpha} = \partial_\alpha \frac{\partial \mathcal{L}}{\partial (\partial_\alpha A_\alpha)} - \partial_j \frac{\partial \mathcal{L}}{\partial (\partial_j A_\alpha)}$$

$$\frac{\partial (\partial_\alpha A_\alpha)}{\partial (\partial_\alpha A_\alpha)} = \delta_{\alpha\alpha} \delta_{\alpha\alpha}$$

$$\frac{\partial \mathcal{L}}{\partial (\partial_\alpha A_0)} = 0$$

$$\frac{\partial \mathcal{L}}{\partial (\partial_j A_0)} = \epsilon_0 E_k \frac{\partial E_k}{\partial (\partial_j A_0)} = \epsilon_0 E_k \delta_{jk} = \epsilon_0 E_j$$

$$\frac{\partial \mathcal{L}}{\partial (\partial_\alpha A_k)} = \epsilon_0 E_j \frac{\partial E_j}{\partial (\partial_\alpha A_k)} = -\epsilon_0 E_k$$

$$\frac{\partial \mathcal{L}}{\partial (\partial_j A_k)} = -\epsilon_0 c^2 B_i \frac{\partial B_i}{\partial (\partial_j A_k)} = -\epsilon_0 c^2 B_i \epsilon_{imn} \delta_{mj} \delta_{nk} = -\epsilon_0 c^2 \epsilon_{ijk} B_i$$

$$Y_{ij} = \frac{\partial \mathcal{L}}{\partial(\partial_i \partial_j A_k)} \quad \text{反对称}$$

$$Y_{00} = 0 \quad Y_{j0} = \epsilon_0 E_j = -Y_{0j}$$

$$Y_{ij} = -\epsilon_0 c^2 \epsilon_{ijk} B_k$$

$$j_k = \epsilon_0 \partial_j E_k$$

$$j_k = -\epsilon_0 \partial_k E_k - \epsilon_0 c^2 \epsilon_{jkm} \partial_j B_m = (-\epsilon_0 \partial_k \vec{E} + \frac{1}{\mu_0} \nabla \times \vec{B})_k$$

另外两个方程自然成立(因为是用电磁势定义的)

六. Noether 定理

若 $\psi_2 \mapsto \Psi_2 = \Psi_2(\psi, x; \epsilon)$ $\Psi|_{\epsilon=0} = \psi$ 为体系 $\mathcal{L}(\psi, \partial\psi, x)$ 的对称变换, 即 $\mathcal{L}_2(\psi, \partial\psi, x) = \mathcal{L}(\Psi, \partial\Psi, x) = \mathcal{L}(\psi, \partial\psi, x) + \partial_\alpha F_\alpha(\psi, x; \epsilon)$

$$\text{则 } \Gamma_\alpha = \frac{\partial \mathcal{L}}{\partial(\partial_\alpha \psi_2)} \eta_2 - G_\alpha$$

$$\text{其中 } \eta_2(\psi, x) \equiv \frac{\partial \Psi}{\partial \epsilon} \Big|_{\epsilon=0}, \quad G_\alpha(\psi, x) \equiv \frac{\partial F_\alpha}{\partial \epsilon} \Big|_{\epsilon=0}$$

为守恒流, 即 $\partial_\alpha \Gamma_\alpha = 0$

而 $Q = \int \Gamma_0 dV$ 为守恒荷, 即 $\frac{dQ}{dt} = 0$

$$\text{证明: } \frac{\partial \mathcal{L}}{\partial \epsilon} \Big|_{\epsilon=0} = \frac{\partial \mathcal{L}}{\partial \Psi_2} \Big|_{\epsilon=0} \frac{\partial \Psi_2}{\partial \epsilon} \Big|_{\epsilon=0} + \frac{\partial \mathcal{L}}{\partial(\partial_\alpha \Psi_2)} \Big|_{\epsilon=0} \frac{\partial(\partial_\alpha \Psi_2)}{\partial \epsilon} \Big|_{\epsilon=0} \\ = \frac{\partial \mathcal{L}}{\partial \Psi_2} \eta_2 + \frac{\partial \mathcal{L}}{\partial(\partial_\alpha \Psi_2)} \partial_\alpha \eta_2$$

$$\frac{\partial \mathcal{L}}{\partial \epsilon} \Big|_{\epsilon=0} = \partial_\alpha G_\alpha$$

$$\text{对真空中场 } \frac{\partial \mathcal{L}}{\partial \Psi_2} \eta_2 + \frac{\partial \mathcal{L}}{\partial(\partial_\alpha \Psi_2)} \partial_\alpha \eta_2 = \partial_\alpha \left[\frac{\partial \mathcal{L}}{\partial(\partial_\alpha \Psi_2)} \eta_2 \right]$$

$$\partial_\alpha \left[\frac{\partial \mathcal{L}}{\partial(\partial_\alpha \Psi_2)} \eta_2 \right] = \partial_\alpha G_\alpha$$

$$\text{eg. } \mathcal{L} = \frac{i\hbar}{2} [\psi^* \partial_t \psi - \psi \partial_t \psi^*] - \frac{\hbar^2}{2m} \nabla \psi^* \cdot \nabla \psi - U \psi^* \psi$$

$$\psi \mapsto \Psi = e^{i\theta} \psi$$

其 Lagrange 方程为薛定谔方程, 其运动积分为几率

Theorem 若 $\mathcal{L} = \mathcal{L}(\psi, \partial\psi, x)$ 不显含时空坐标

则存在四个守恒流(能量动量张量)

$$T_{\alpha\beta} \equiv \frac{\partial \mathcal{L}}{\partial(\partial_\alpha \psi_2)} \partial_\beta \psi_2 - \delta_{\alpha\beta} \mathcal{L} \quad \partial_\alpha T_{\alpha\beta} = 0$$

四个守恒荷(能量, 动量)

$$P_\beta = \int T_{0\beta} dV \quad (P_0, \vec{P}) = (W, \vec{P})$$

$\Gamma_\alpha \mapsto \Gamma'_\alpha = \Gamma_\alpha + X_\alpha$ ($\partial_\alpha X_\alpha = 0$) 存在不确定性

$$X_\alpha = \partial_\beta F_{\alpha\beta} \quad (F_{\alpha\beta} = -F_{\beta\alpha})$$

$$\partial_\alpha X_\alpha = \partial_\alpha \partial_\beta F_{\alpha\beta} = 0$$

$$\frac{\partial \mathcal{L}}{\partial x_0} = \frac{\partial \mathcal{L}}{\partial \psi_2} \partial_\beta \psi_2 - \frac{\partial \mathcal{L}}{\partial(\partial_\alpha \psi_2)} \partial_\alpha \partial_\beta \psi_2 - \partial_\alpha (\partial_\alpha \psi_2) \\ = \partial_\alpha \left[\frac{\partial \mathcal{L}}{\partial(\partial_\alpha \psi_2)} \partial_\beta \psi_2 \right]$$

$$\frac{\partial \mathcal{L}}{\partial x_\alpha} = \partial_\alpha (\delta_{\alpha\beta} \mathcal{L})$$

eg. 自由空间的电磁场

$$\mathcal{L} \equiv \frac{1}{2} \epsilon_0 (\vec{E} - c^2 \vec{B}) = \mathcal{L}(A, \partial A, x)$$

$$T_{\alpha\beta} = \frac{\partial \mathcal{L}}{\partial(\partial_\alpha A_\rho)} (\partial_\beta A_\rho - \partial_\rho A_\beta) - \delta_{\alpha\beta} \mathcal{L} + Y_{\alpha\beta} \partial_\rho A_\rho = 0$$

$$Y_{\alpha\beta} \partial_\rho A_\rho = \partial_\alpha Y_{\alpha\beta} \partial_\rho A_\rho - \partial_\alpha Y_{\alpha\beta} \partial_\rho A_\rho + Y_{\alpha\beta} \partial_\alpha \partial_\rho A_\rho = 0$$

$$T_{\alpha\beta} = Y_{\alpha\rho} (\partial_\beta A_\rho - \partial_\rho A_\beta) - \delta_{\alpha\beta} \mathcal{L}$$

$$T_{00} = Y_{0\rho} (\partial_0 A_\rho - \partial_\rho A_0) - \mathcal{L} = -\epsilon_0 E_k (-E_k) - \mathcal{L}$$

$$T_{0j} = Y_{0\rho} (\partial_j A_\rho - \partial_\rho A_j) = -\epsilon_0 E_k \epsilon_{jkl} B_l$$

$$T_{ij} = Y_{i\rho} (\partial_\alpha A_\rho - \partial_\rho A_\alpha) = -\epsilon_0 c^2 \epsilon_{ikl} B_l (-E_k)$$

(上三式 ρ 只能取 k) (反对称)

$$T_{ij} = Y_{i\rho} (\partial_j A_\rho - \partial_\rho A_j) - \delta_{ij} \mathcal{L} = Y_{i0} (\partial_j A_0 - \partial_0 A_j) + Y_{ik} (\partial_j A_k - \partial_k A_j) - \delta_{ij} \mathcal{L}$$

$$= \epsilon_0 \vec{E}_i \vec{E}_j - \epsilon_0 c^2 \epsilon_{ikm} B_m (\epsilon_{jpn} B_n) - \delta_{ij} \mathcal{L}$$

Date: / /

电磁场(EMF)能量密度 $w = \frac{1}{2} \epsilon_0 (E^2 + c^2 B^2) = T_{11}$

能流密度 $\vec{S} = \frac{1}{\mu_0} \vec{E} \times \vec{B} = \epsilon_0 c^2 \vec{E} \times \vec{B}$

$S_i = T_{i0}$

动量密度 $\vec{g} = \epsilon_0 \vec{E} \times \vec{B} = \vec{S}/c$

$g_i = -T_{0i}$

Maxwell应力张量 $\vec{T} = \epsilon_0 (\vec{E}\vec{E} + c^2 \vec{B}\vec{B}) - w \vec{I}$

动量流密度 $-\vec{T}$

$\partial_\alpha T_{\alpha\beta} = 0$

$$\beta=0 \quad \partial_t w + \nabla \cdot \vec{S} = 0$$

$$\beta=k \quad \partial_t \vec{g} - \nabla \cdot \vec{T} = 0$$

对于存在电流电荷不成立

更优美的记号 $\mathcal{L} = -\frac{1}{4\mu_0} F_{\mu\nu} F^{\mu\nu}$

Date: / /

CH4. Hamilton 力学

§4.0 序言

一. (q, \dot{q}) 相空间

2s 个一阶微分方程 $\dot{\xi} = X(\xi, t)$

$\xi_0 \rightarrow \xi(t)$

$X = \begin{cases} X(\xi) \\ X(\xi, t) \end{cases}$ 不同相轨迹不相交

在特定时刻, 不同相轨迹不相交

$$\frac{d}{dt} \begin{pmatrix} q \\ \dot{q} \end{pmatrix} = \begin{pmatrix} \dot{q} \\ g(q, \dot{q}, t) \end{pmatrix}$$

一半方程并非运动学方程

另一半方程难以给出

且 q 与 \dot{q} 并不能随意独立变化

二. (q, p) 相空间

$$P_k \equiv \frac{\partial L}{\partial \dot{q}_k} = P_k(q, \dot{q}, t) \quad (k=1, 2, \dots, s)$$

$\Rightarrow \dot{q}_k = \dot{q}_k(q, p, t)$ 可以做反变换

$$L = T - U$$

$$T = \frac{1}{2} A_{ij} \dot{q}_i \dot{q}_j + B_i \dot{q}_i + C = \frac{1}{2} \dot{q}^T A \dot{q} + \vec{B} \dot{q} + C \quad p = A \dot{q} + B$$

$$U = U(q, t)$$

$$T \rightarrow -mc^2 \sqrt{1 - \frac{v^2}{c^2}}$$

$$U \rightarrow e(\varphi - e \vec{v} \cdot \vec{A})$$

状态决定状态的演化, 可以定义速度场和流

$$1. H = H(q, p, t)$$

$$\dot{q}_k = \frac{\partial H}{\partial p_k} \quad \dot{p}_k = -\frac{\partial H}{\partial q_k}$$

$$\frac{d}{dt} \begin{pmatrix} q \\ p \end{pmatrix} = \begin{pmatrix} f(q, p, t) \\ g(q, p, t) \end{pmatrix}$$

2. q, p 地位对等, $q_k = [q_k, H], p_k = [p_k, H]$

$$\xi = \begin{pmatrix} P \\ Q \\ p \\ q \end{pmatrix}; \quad \xi_2 = [\xi_2, H]$$

$$q \rightarrow Q = Q(q, p, t) \quad p \rightarrow P = P(q, p, t)$$

若变换后仍满足原方程, 称正则变换

可能使所有变量全部成为循环坐标

其中的流是不可压缩的 (Liouville)

哈密顿函数提供了一种量子化的方法

泊松括号提供了另一种量子化的途径

$$i\hbar \xi_2 = [\xi_2, H] \quad \text{Poisson} \rightarrow \text{对易子}$$

三. 等价性

$$x_0 \rightarrow y_0 = f(x_0) \rightarrow (x_0, y_0) \rightarrow \text{点} \rightarrow \text{曲线}$$

$$u = f(x_0) \rightarrow g_0 = ux_0 - f(x_0) \rightarrow (u, g_0) \rightarrow \text{直线}$$

$$u = f(x) = u(x) \Rightarrow x = x(u)$$

$$g = ux - f(x) = g(x) \Rightarrow g(u)$$

$$u'(x) \neq 0 = f'(x)$$

§ 4.1 Legendre 变换

一. $f(x)$ 对 x 的 Legendre 变换

$$g(u) = ux - f(x) \quad u = f'(x) = u(x)$$

Hess 条件: $f''(x) \neq 0 \Rightarrow x = x(u)$

eg. $f(x) = \frac{1}{2}ax^2 (a > 0)$

$$u = f'(x) = ax \Rightarrow x = \frac{u}{a}$$

$$g = ax^2 - \frac{1}{2}ax^2 = \frac{1}{2}ax^2 = \frac{u^2}{2a}$$

eg. $f(x) = -b\sqrt{1 - \frac{x^2}{a^2}} \quad (\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1, y \leq 0)$

$$u = b \frac{x/a^2}{\sqrt{1 - x^2/a^2}} \Rightarrow g = b \frac{x/a^2}{\sqrt{1 - x^2/a^2}} + b\sqrt{1 - x^2/a^2} = \frac{b}{\sqrt{1 - x^2/a^2}}$$

$$(\frac{au}{b})^2 + 1 = \frac{1}{1 - x^2/a^2}$$

$g(u) = \sqrt{a^2 u^2 + b^2}$ 将圆下半变为双曲线的上半

$\left\{ \begin{array}{l} u \text{ 斜率} \\ g \text{ 截距负值} \end{array} \right.$

$$u = f'(x) \quad x = g'(u) \quad ux = f(x) + g(u)$$

$$\frac{\partial f}{\partial a} + \frac{\partial g}{\partial a} = 0 \quad \text{对共同参数偏导和为 0}$$

二. $f(x, y)$ 对 x 的 Legendre 变换

$$g(x, y) = ux - f(x, y) \quad u = \frac{\partial f}{\partial x} = u(x, y)$$

$$\text{Hess 条件: } \frac{\partial^2 f}{\partial x^2} \neq 0 \Rightarrow x = x(u, y)$$

eg. $f(x, y) = \frac{1}{2}ax^2 - \frac{1}{2}by^2$

$$u = ax \Rightarrow x = \frac{u}{a} \Rightarrow g = \frac{1}{2}ax^2 + \frac{1}{2}by^2$$

$$g(u, y) = \frac{u^2}{2a} + \frac{1}{2}by^2$$

$$y = y_0: u_0 \rightarrow g_0 \rightarrow (x, y_0, ux - y_0)$$

$$\frac{\partial g}{\partial x} = \frac{\partial u}{\partial x} x + u \frac{\partial x}{\partial x} - \frac{\partial f}{\partial x} \frac{\partial x}{\partial x} = x$$

$$\frac{\partial g}{\partial y} = \frac{\partial u}{\partial y} x + u \frac{\partial x}{\partial y} - \frac{\partial f}{\partial x} \frac{\partial x}{\partial y} - \frac{\partial f}{\partial y} = -\frac{\partial f}{\partial y}$$

$$u = \frac{\partial f}{\partial x} \quad x = \frac{\partial g}{\partial u} \quad \frac{\partial f}{\partial y} + \frac{\partial g}{\partial y} = 0$$

三. $f(x, y)$ 对 X 的 Legendre 变换

$$g(u, y) = u_i x_i - f(x, y) \quad u_i = \frac{\partial f}{\partial x_i} = u_i(x, y) \Rightarrow x_i = x_i(u, y)$$

Hess 条件: $\det \left(\frac{\partial^2 f}{\partial x_i \partial x_j} \right) \neq 0$

eg. $f(x, y) = \frac{1}{2}ax^2 + \frac{1}{2}by^2 \rightarrow g(u, v) = \frac{u^2}{2a} + \frac{v^2}{2b}$

$$u_k = \frac{\partial f}{\partial x_k} \quad x_k = \frac{\partial g}{\partial u_k}$$

1. 新旧函数之和 = 新. 旧自变量乘积之和

$$f(x, y) + g(u, y) = u_i x_i \quad \det \left(\frac{\partial^2 f}{\partial x_i \partial x_j} \right) \neq 0 \neq \det \left(\frac{\partial^2 g}{\partial u_i \partial u_j} \right)$$

2. 旧(新)自变量 = 新(旧)函数对新(旧)自变量的偏导数

$$x_i = \frac{\partial g}{\partial u_i} \quad u_i = \frac{\partial f}{\partial x_i}$$

3. 新、旧函数对共同自变量(参数)的偏导数之和

$$\frac{\partial f}{\partial y_k} + \frac{\partial g}{\partial y_k} = 0 \quad \frac{\partial f}{\partial \lambda} + \frac{\partial g}{\partial \lambda} = 0$$

$$L(q, \dot{q}, t) \quad p_k = \frac{\partial L}{\partial \dot{q}_k}$$

$$H(q, p, t) = p_k \dot{q}_k - L(q, \dot{q}, t) = h(q, p, t)$$

§ 4.2 Hamilton 方程

$$(q, \dot{q}) \rightarrow (q, p)$$

$$L(q, \dot{q}, t) \rightarrow p_k \dot{q}_k - L = H$$

一. Hamilton 函数

$$H(q, p, t) \equiv p_k \dot{q}_k - L(q, \dot{q}, t) \quad \dot{q}_k = \dot{q}_k(q, p, t)$$

1. 数值上 $H(q, p, t) = h(q, p, t)$

$$2. L = L_2 + L_1 + L_0 = \frac{1}{2} \dot{q}^T A \dot{q} + B^T \dot{q} + C \quad p = A \dot{q} + B$$

$$\Rightarrow H = L_2 - L_0 = \frac{1}{2} (p - B)^T A^{-1} (p - B) - C$$

$$L = \frac{1}{2} A_{ij} \dot{q}_i \dot{q}_j + B_i \dot{q}_i + C \quad p_k = A_{kj} \dot{q}_j + B_k$$

$$\dot{q} = A^{-1} (p - B) \quad L_2 = \frac{1}{2} (p - B)^T A^{-1} (p - B) + A^{-1} A^T A A^{-1} (p - B)$$

$$A = \text{diag}(A_1, A_2, \dots, A_s) \Rightarrow H = \frac{(p_i - B_i)^2}{2A_i} - C$$

正交坐标系下, 动量互不耦合

eg. $L = \frac{1}{2} m \dot{x}_i^2 + e x_i A_i - e \varphi \quad H = \frac{p_i^2 - e A_i^2}{2m} + e \varphi = T + e \varphi$

eg. $L = \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\theta}^2 + r^2 \dot{\phi}^2 \sin^2 \theta) - U(r) \quad H = \frac{p_r^2}{2m} + \frac{1}{2mr^2} (p_\theta^2 + \frac{p_\phi^2}{\sin^2 \theta}) + U(r)$

绕 θ 角动量

eg. $L = -mc^2 \sqrt{1 - \frac{v^2}{c^2}} - U(\vec{r}) \quad H = \sqrt{m^2 c^4 + p^2 c^2} + U = c \sqrt{p^2 + \frac{m^2 c^2}{c^2}} + U$

二. Hamilton 方程

$$\dot{q}_k = \frac{\partial H}{\partial p_k} \quad \dot{p}_k = -\frac{\partial H}{\partial q_k} \quad (k = 1, 2, \dots, s)$$

$$-\frac{\partial H}{\partial q_k} = \frac{\partial L}{\partial q_k} = \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_k} = \frac{d}{dt} p_k$$

1. 若 q_k 为 H 的循环坐标, 则 p_k 为运动常数

2. $\frac{dH}{dt} = \frac{\partial H}{\partial t}$ 若 H 不显含 t , 则 H 为运动常数

$$\frac{\partial H}{\partial t} = -\frac{\partial L}{\partial t} = \frac{dh}{dt} = \frac{dH}{dt}$$

$$\frac{dH}{dt} = \frac{\partial H}{\partial q_k} \dot{q}_k + \frac{\partial H}{\partial p_k} \dot{p}_k + \frac{\partial H}{\partial t}$$

eg. 中心力问题 $H \quad p_\phi = m r^2 \dot{\phi} \sin^2 \theta = \Rightarrow \phi = \frac{L}{m r^2 \sin^2 \theta}$

代入 Lagrange 括 $L(r, \theta, \dot{r}, \dot{\theta}) = \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\theta}^2) + \frac{L^2}{2mr^2 \sin^2 \theta} - U(r)$

代入 Hamilton 对 $H(r, \theta, p_r, p_\theta) = \frac{p_r^2}{2m} + \frac{p_\theta^2}{2mr^2} + \frac{L^2}{2mr^2 \sin^2 \theta} - U(r)$

进一步 $H(r, p_r) = \frac{p_r^2}{2m} + \frac{L^2}{2mr^2} + U(r)$

eg. 简谐运动 $L = \frac{1}{2} m \dot{x}^2 - \frac{1}{2} m \omega^2 x^2 \quad (p_x = m \dot{x})$

$$H = \frac{p_x^2}{2m} + \frac{1}{2} m \omega^2 x^2$$

$$\dot{x} = \frac{\partial H}{\partial p_x} = \frac{p_x}{m} \Rightarrow p_x = m \dot{x}$$

$$\dot{p}_x = \frac{\partial H}{\partial x} = -m \omega^2 x \Rightarrow \ddot{x} = -\omega^2 x$$

在 Lagrange 力学中, 不可能将相空间中的椭圆运动变为匀速圆周

$$q = \sqrt{m\omega_0} x$$

$$L = \frac{q^2}{2m\omega_0} - \frac{1}{2}\omega_0 q^2 \quad (p = \frac{\partial L}{\partial \dot{q}}) \Rightarrow p = \frac{P_k}{\sqrt{m\omega_0}}$$

$$\Rightarrow H = \frac{1}{2}\omega(p^2 + q^2)$$

$$\frac{d}{dt} \begin{pmatrix} q \\ p \end{pmatrix} = \omega \begin{pmatrix} p \\ -q \end{pmatrix} = \omega \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} q \\ p \end{pmatrix} = \omega \Lambda \begin{pmatrix} q \\ p \end{pmatrix}$$

$$\frac{dx}{dt} = Ax \Rightarrow x = e^{At} x_0$$

$$\begin{pmatrix} q \\ p \end{pmatrix} = e^{\omega t \Lambda} \begin{pmatrix} q_0 \\ p_0 \end{pmatrix}$$

$$e^{\omega t \Lambda} = \sum_{n=0}^{\infty} \frac{(\omega t)^n}{n!} \Lambda^n$$

$$\Lambda^0 = I \quad \Lambda^1 = \Lambda \quad \Lambda^2 = \Lambda \Lambda = -I \quad \Lambda^3 = -\Lambda \quad \Lambda^4 = I$$

$$\Lambda^{2k} = (-1)^k I \quad \Lambda^{2k+1} = (-1)^k \Lambda$$

$$e^{\omega t \Lambda} = \sum_{k=0}^{\infty} (-1)^k \frac{(\omega t)^{2k}}{(2k)!} I + \sum_{k=0}^{\infty} (-1)^k \frac{(\omega t)^{2k+1}}{(2k+1)!} \Lambda = I \cos \omega t + \Lambda \sin \omega t$$

$$\begin{pmatrix} q \\ p \end{pmatrix} = \begin{pmatrix} \cos \omega t & \sin \omega t \\ -\sin \omega t & \cos \omega t \end{pmatrix} \begin{pmatrix} q_0 \\ p_0 \end{pmatrix}$$

坐标变化前后, Lagrange 力学下, 相空间椭圆面积变化
Hamilton 力学下, 相空间椭圆面积不变

$$L' = L + \frac{dF(q,t)}{dt} = L + \frac{\partial F}{\partial q} \dot{q} + \frac{\partial F}{\partial t}$$

$$p' = \frac{\partial L'}{\partial \dot{q}} = p + \frac{\partial F}{\partial \dot{q}}$$

$$H' = p' \dot{q} - L' = H - \frac{\partial F}{\partial t}$$

§ 4.3 相空间中的运动

一. 动力学含义

$$\begin{cases} q_k(t+\epsilon) = q_k(t) + \epsilon \dot{q}_k(t) & = q_k(t) + \epsilon \left(\frac{\partial H}{\partial p_k} \right)_t \\ p_k(t+\epsilon) = p_k(t) + \epsilon \dot{p}_k(t) & = p_k(t) + \epsilon \left(-\frac{\partial H}{\partial q_k} \right)_t \end{cases}$$

$$\begin{pmatrix} \dot{x} \\ \dot{p}_x \end{pmatrix} = \begin{pmatrix} \frac{\partial H}{\partial p_x} \\ -\frac{\partial H}{\partial x} \end{pmatrix}$$

1. 正则变量 (q, p) Hamilton 方程 — 正则方程

canon: a christian priest

a generally accept rule, standard or principle

by which something is judged

canonical: in the simplest accepted form in mathematics

2. $H(q, p, t)$ 生成的 Hamilton 矢量场 $\Delta_H \equiv \begin{pmatrix} \partial H / \partial p \\ -\partial H / \partial q \end{pmatrix} = \Delta_H(q, p, t)$

二. ξ 记号

$$\alpha, \beta, \delta = 1, 2, \dots, 2s \quad i, j, k = 1, 2, \dots, s$$

1. 正则变量

$$\xi_\alpha = \begin{cases} \xi_k = q_k \\ \xi_{s+k} = p_k \end{cases} \quad \xi = \begin{pmatrix} q \\ p \end{pmatrix} \quad \frac{\partial f}{\partial \xi} = \begin{pmatrix} \frac{\partial f}{\partial \xi_i} \\ \frac{\partial f}{\partial \xi_{s+i}} \end{pmatrix} = \begin{pmatrix} \frac{\partial f}{\partial q} \\ \frac{\partial f}{\partial p} \end{pmatrix}$$

2. 正则方程

$$\frac{d}{dt} \begin{pmatrix} q \\ p \end{pmatrix} = \begin{pmatrix} \partial H / \partial p \\ -\partial H / \partial q \end{pmatrix} = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} \begin{pmatrix} \partial H / \partial q \\ \partial H / \partial p \end{pmatrix}$$

$$\dot{\xi} = \Omega \frac{\partial H}{\partial \xi} \quad \left(\dot{\xi}_\alpha = \Omega_{\alpha\beta} \frac{\partial H}{\partial \xi_\beta} \right)$$

$$\Omega = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} \quad \Omega^T = -\Omega = \Omega^{-1}$$

3. $H(\xi, t)$ 生成的 Hamilton 矢量场 $\Delta_H(\xi, t) = \Omega \frac{\partial H}{\partial \xi}$, $\dot{\xi} = \Delta_H$

三. $H(\xi, t)$ 生成的 Hamilton 体系

在 ξ -相空间中, 随时间的演化由 $\dot{\xi} = \Delta_H(\xi, t)$ 决定

1. H 可为任一函数

$$H = C(q), C_{\alpha} \xi_{\alpha}, \frac{1}{2} C_{\alpha\beta} \xi_{\alpha} \xi_{\beta}$$

eg. $H = q$ $H = p$ $H = Xp_x - Yp_y$

$$\Delta H = \begin{pmatrix} 0 \\ -1 \end{pmatrix} \quad \Delta H = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \Delta H = \begin{pmatrix} -y \\ x \\ -p_x \\ p_y \end{pmatrix}$$

任给一个 Hamilton 函数, 给定一个 Hamilton 体系

但任给一个 Lagrange 函数, 未必决定一个体系

$$X = X(\xi, t) \Rightarrow \dot{\xi} = X(\xi, t) \Rightarrow \Omega \frac{\partial H}{\partial \xi}$$

$$\frac{d}{dt} \begin{pmatrix} q \\ p \end{pmatrix} = \begin{pmatrix} p \\ -\omega^2 q - 2\lambda p \end{pmatrix} \Rightarrow \begin{pmatrix} \partial H / \partial p \\ -\partial H / \partial q \end{pmatrix}$$

$$\begin{cases} \frac{\partial}{\partial q} \frac{\partial H}{\partial p} = \frac{\partial}{\partial q} p = 0 \\ \frac{\partial}{\partial p} \frac{\partial H}{\partial q} = \frac{\partial}{\partial p} (\omega^2 q + 2\lambda p) = 2\lambda \end{cases}$$

但若取 $\begin{cases} Q = q \\ P = pe^{2\lambda t} \end{cases}$ 为状态参量 是一个 Hamilton 体系

2. $\xi = X(\xi, t)$ 为 Hamilton 体系

$$\Leftrightarrow \text{存在 } H(\xi, t), \text{ 使得 } X = \Omega \frac{\partial H}{\partial \xi}$$

$$\Leftrightarrow \text{存在 } H(\xi, t), \text{ 使 } Y \equiv \Omega X = -\frac{\partial H}{\partial \xi}$$

$$\Leftrightarrow \partial_{\alpha} Y_{\beta} = \partial_{\beta} Y_{\alpha}$$

$$\Omega_{\beta\alpha} \partial_{\alpha} X_{\beta} = \Omega_{\alpha\beta} \partial_{\beta} X_{\alpha}$$

$$\Omega_{\alpha\beta} \Omega_{\delta\alpha} \Omega_{\beta\delta} \partial_{\alpha} X_{\beta} = \Omega_{\alpha\delta} \partial_{\delta} X_{\alpha} \Omega_{\beta\alpha} \Omega_{\beta\delta}$$

$$\Omega_{\alpha\beta} \partial_{\alpha} X_{\beta} = \Omega_{\beta\alpha} \partial_{\beta} X_{\alpha} \Rightarrow -D_{\beta} X_{\alpha} = -D_{\alpha} X_{\beta}$$

$$\Leftrightarrow D_{\alpha} X_{\beta} = D_{\beta} X_{\alpha} \quad (D_{\alpha} \equiv \Omega_{\alpha\beta} \partial_{\beta})$$

四. 相空间中的最小原理

1. 相空间中的 Lagrange 函数

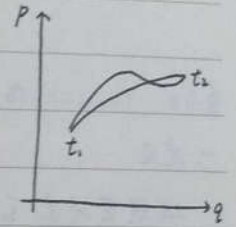
$$\tilde{L}(\xi, \dot{\xi}, t) \equiv P_{\alpha} \dot{q}_{\alpha} - H(q, p, t) = \tilde{L}(q, p, \dot{q}, \dot{p}, t)$$

并不是 Legendre 变换

1. H 未必满足 Hess 条件
2. 自变量不对

2. 相空间中的 Hamilton 原理

$$\begin{cases} 0 = \delta \tilde{S} = \delta \int_{t_1}^{t_2} \tilde{L}(\xi, \dot{\xi}, t) dt \\ \delta \xi_{\alpha}(t_2) = 0 = \delta \xi_{\alpha}(t_1) \quad (\alpha = 1, 2, \dots, s) \end{cases}$$



$$\delta \tilde{L} = \frac{\partial \tilde{L}}{\partial \xi_{\alpha}} \delta \xi_{\alpha} + \frac{\partial \tilde{L}}{\partial \dot{\xi}_{\alpha}} \delta \dot{\xi}_{\alpha}$$

$$= \left(\frac{\partial \tilde{L}}{\partial \xi_{\alpha}} - \frac{d}{dt} \frac{\partial \tilde{L}}{\partial \dot{\xi}_{\alpha}} \right) \delta \xi_{\alpha} + \frac{d}{dt} \left(\frac{\partial \tilde{L}}{\partial \dot{\xi}_{\alpha}} \delta \xi_{\alpha} \right)$$

$$0 = \delta \tilde{S} = \int_{t_1}^{t_2} \frac{\delta \tilde{L}}{\delta \xi_{\alpha}} \delta \xi_{\alpha} dt + \left(\frac{\partial \tilde{L}}{\partial \dot{\xi}_{\alpha}} \delta \xi_{\alpha} \right) \Big|_{t_1}^{t_2}$$

$$\frac{\delta \tilde{L}}{\delta \xi_{\alpha}} \equiv \frac{\partial \tilde{L}}{\partial \xi_{\alpha}} - \frac{d}{dt} \frac{\partial \tilde{L}}{\partial \dot{\xi}_{\alpha}} = 0$$

$$\begin{cases} \xi_{\alpha} = q_k & \frac{\partial \tilde{L}}{\partial \xi_{\alpha}} = (0 - \frac{\partial H}{\partial q_k}) - \frac{d}{dt} p_k = 0 \\ \xi_{\alpha} = p_k & \frac{\partial \tilde{L}}{\partial \xi_{\alpha}} = (p_k - \frac{\partial H}{\partial p_k}) - \frac{d}{dt} (0) = 0 \end{cases}$$

端点项 $\left(\frac{\partial \tilde{L}}{\partial \dot{q}_k} \delta q_k + \frac{\partial \tilde{L}}{\partial \dot{p}_k} \delta p_k \right) \Big|_{t_1}^{t_2}$
 其实并不需要要求, 因为系数为 0

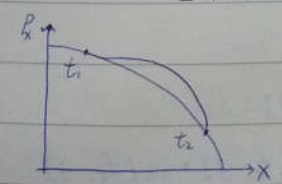
3. 规范变换 $\tilde{L} \Leftrightarrow \tilde{L}' = \tilde{L} + \frac{dF(\xi, t)}{dt}$

如: $F = -\frac{1}{2} P_{\alpha} q_{\alpha} \Rightarrow \frac{dF}{dt} = -\frac{1}{2} (P_{\alpha} \dot{q}_{\alpha} - \dot{P}_{\alpha} q_{\alpha})$

$$\Rightarrow \tilde{L}' = \frac{1}{2} (P_{\alpha} \dot{q}_{\alpha} - P_{\alpha} q_{\alpha}) - H = \frac{1}{2} \dot{\xi} \xi - H$$

$$H = \frac{P_x^2}{2m} + mgx$$

$$\dot{x} = \frac{P_x}{m} \quad P_x = -mg$$



$P_x = m\dot{x} \Rightarrow \delta P_x = m\delta\dot{x}$ 不独立 争议?

$$\langle \dot{x} + \delta\dot{x} \rangle = \langle \dot{x} \rangle = \frac{x(t_2) - x(t_1)}{t_2 - t_1}$$

$$P_x = m\dot{x} \Rightarrow \langle \dot{x} + \delta\dot{x} \rangle = \langle \dot{x} \rangle + \langle \frac{\delta P_x}{m} \rangle = \langle \dot{x} \rangle$$

Hamilton力学中, p_k 与 q_k 之间没有任何先验关系

$\dot{x} = \frac{p_x}{m}$ 是动力学方程, 并不一定成立

只有在真实路径, $\dot{x} = \frac{p_x}{m}$, $\dot{p}_x = -mg$ 才同时成立

这 25 个方程地位对等, 25 个变量相互独立

§4.4 Poisson 括号

一. 定义

25 维空间 $\xi_1, \xi_2, \dots, \xi_{25}$

力学量 $f(\xi, t)$ 与 $g(\xi, t)$ 的 Poisson 括号

$$[f, g]_{\xi} = \frac{\partial f}{\partial \xi_a} \Omega_{ap} \frac{\partial g}{\partial \xi_p} = \frac{\partial f}{\partial q_k} \frac{\partial g}{\partial p_k} - \frac{\partial f}{\partial p_k} \frac{\partial g}{\partial q_k}$$

1. $f * g \equiv [f, g]$ 一种乘法

2. $D_f g \equiv [f, g]$ 一阶微分算子

3. 一种内积 (此处不讨论)

$$[q_k, g] = \frac{\partial g}{\partial p_k}$$

$$[p_k, g] = -\frac{\partial g}{\partial q_k}$$

$$[\xi_a, g] = \Omega_{ap} \frac{\partial g}{\partial \xi_p}$$

$$\xi_a \leftrightarrow D_a = D_{\xi_a} = \Omega_{ap} \frac{\partial}{\partial \xi_p}$$

二. 数学性质

1. 反对称 $[f, g] = -[g, f] \Rightarrow [f, f] = 0$

2. 双线性 $[f, c_k g_k] = c_k [f, g_k]$ $[c_k f_k, g] = c_k [f_k, g]$

3. Jacobi 恒等式 $[f, [g, h]] + [g, [h, f]] + [h, [f, g]] = 0$

$$[[f, g], h] + [[g, h], f] + [[h, f], g] = 0$$

满足前两性质, 称代数

满足三个性质, 称李代数

如: 矢量叉乘, 矩阵 $[A, B] = AB - BA$

证明: $[f, [g, h]] = \frac{\partial f}{\partial \xi_a} \Omega_{ap} \frac{\partial}{\partial \xi_p} \left(\frac{\partial g}{\partial \xi_b} \Omega_{bq} \frac{\partial h}{\partial \xi_q} \right)$

$$= \Omega_{ap} \Omega_{bq} \frac{\partial f}{\partial \xi_a} \frac{\partial}{\partial \xi_p} \left(\frac{\partial g}{\partial \xi_b} \frac{\partial h}{\partial \xi_q} \right)$$

$$[g, [h, f]] = \Omega_{bp} \Omega_{aq} \frac{\partial g}{\partial \xi_b} \frac{\partial}{\partial \xi_p} \left(\frac{\partial h}{\partial \xi_a} \frac{\partial f}{\partial \xi_q} \right)$$

$$[h, [f, g]] = \Omega_{ap} \Omega_{bq} \frac{\partial h}{\partial \xi_a} \frac{\partial}{\partial \xi_p} \left(\frac{\partial f}{\partial \xi_b} \frac{\partial g}{\partial \xi_q} \right)$$

考虑 g 的二阶导

$$\Omega_{ap} \Omega_{bq} \frac{\partial f}{\partial \xi_a} \frac{\partial^2 g}{\partial \xi_p \partial \xi_q} \frac{\partial h}{\partial \xi_r} \quad \beta \text{ 与 } p \text{ 互换}$$

$$\Omega_{bp} \Omega_{aq} \frac{\partial h}{\partial \xi_a} \frac{\partial^2 g}{\partial \xi_p \partial \xi_q} \frac{\partial f}{\partial \xi_r}$$

4. Leibnia 法则 $[f, gh] = [f, g]h + g[f, h]$

\Rightarrow 若 h 为常数或 $[f, h] = 0$

$$\text{则 } [f, gh] = [f, g]h$$

5. Chain 法则 $[f, g(h)] = \frac{\partial g}{\partial h} [f, h]$

$$[f, g(h_1, h_2, \dots, h_n)] = \frac{\partial g}{\partial h_k} [f, h_k]$$

$$\Rightarrow [f, g^*] = n g^{*n-1} [f, g]$$

$$[f, g(f)] = 0$$

$$[f, g]_{\xi} = \frac{\partial f}{\partial \xi_a} [\xi_a, \xi_p] \frac{\partial g}{\partial \xi_p}$$

Ω_{ap} (反对称) M_{ij} , Ω_{ij} 的内积 (辛几何)

6. 基本 Poisson 括号 $[\xi_a, \xi_p]_{\xi} = \Omega_{ap}$

$$[q_i, q_j] = 0 = [p_i, p_j]$$

$$[q_i, p_j] = \delta_{ij} = -[p_i, q_j]$$

7. 对参数偏导数 $\partial_t [f, g] = [\partial_t f, g] + [f, \partial_t g]$

eg. $2S=6 \quad \xi = (\vec{r}, \vec{p}) = (x_1, x_2, x_3, p_1, p_2, p_3) \quad L_i = \epsilon_{ijk} x_j p_k$

$$[L_i, f] = \epsilon_{ikl} [x_k p_l, f] = \epsilon_{ikl} ([x_k, f] p_l - x_k [p_l, f])$$

$$= \epsilon_{ikl} \left(\frac{\partial f}{\partial p_k} p_l - x_k \frac{\partial f}{\partial x_l} \right)$$

$$[L_i, x_j] = -\epsilon_{ikl} x_k \delta_{jl} = \epsilon_{ijk} x_k$$

$$[L_i, p_j] = \epsilon_{ijk} p_k$$

$$[L_i, L_j] = \epsilon_{jmn} [L_i, x_m p_n] = \epsilon_{jmn} ([L_i, x_m] p_n + x_m [L_i, p_n])$$

$$= \epsilon_{jmn} \epsilon_{imk} x_k p_n + \epsilon_{jmn} \epsilon_{ink} x_m p_k$$

$$= x_i p_j - x_j p_i = \epsilon_{ijk} L_k$$

$$\vec{r} \quad \vec{p} \quad \vec{L} \quad f = f(\vec{r}, \vec{p}, \vec{r}, \vec{p}, t)$$

$$\vec{r} \quad \dot{\vec{r}} \quad \vec{r} \cdot \vec{p} \quad 0 \quad \vec{A} = f_r \vec{r} + f_p \vec{p} + f_t \vec{L}$$

$$\vec{p} \quad \dot{\vec{p}} \quad 0 \quad [L_i, f] = 0$$

$$\vec{L} \quad \dot{(\vec{p} - \vec{r} \cdot \vec{p})} \quad [L_i, A_j] = \epsilon_{ijk} A_k$$

三. Poisson 括号应用于 Hamilton 体系: $\dot{\xi} = \Omega \frac{\partial H}{\partial \xi}$

1. 正则方程 $\dot{\xi}_a = [\xi_a, H]$

2. 力学量 $f = f(\xi, t)$ 的运动方程

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\partial f}{\partial \xi_a} \dot{\xi}_a = \partial_t f + \frac{\partial f}{\partial \xi_a} [\xi_a, H]$$

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + [f, H] = \frac{df}{dt}(\xi, t)$$

3. 力学量 $f(\xi, t)$ 的 Taylor 展开

$$f(t) = \sum_{n=0}^{\infty} \frac{t^n}{n!} \left(\frac{df}{dt} \right)^n$$

设 $f = f(\xi, t)$, $H = H(\xi, t)$ 不显含 t

$$\frac{df}{dt} = [f, H], \quad \frac{d^2 f}{dt^2} = [[f, H], H]$$

$$f(t) \equiv f(\xi(t), t) = f + [f, H]t + \frac{1}{2!} [f, H], H]t^2 + \frac{1}{3!} [[f, H], H], H]t^3 + \dots$$

eg. $X(t) = X_0 + [X, H]t + \frac{1}{2} [[X, H], H]t^2$

$$H = \frac{p_x^2}{2m} + mgx$$

$$[X, H] = [X, \frac{p_x^2}{2m}] = \frac{2p_x}{2m} [X, p_x] = \frac{p_x}{m}$$

$$[[X, H], H] = [\frac{p_x}{m}, mgx] = -g$$

$$\Rightarrow X = X_0 + \frac{p_x}{m}t - \frac{1}{2}gt^2$$

$$f(t) = \sum_{n=0}^{\infty} \frac{(-t)^n}{n!} (D_H^n f)_0 := \exp(-tD_H) f$$

$$f(t+\tau) = \sum_{n=0}^{\infty} \frac{(-\tau)^n}{n!} D_H^n f = \exp(-\tau D_H) f$$

eg. $H = \frac{1}{2}\omega(p^2 + q^2)$

$$D_H q = q \quad D_H p = -[H, p] = [\frac{1}{2}\omega p^2, p]$$

$$D_H^2 q = -\omega^2 q \quad D_H^2 p = \omega^2 p$$

$$q(t) = \sum_{n=0}^{\infty} \frac{(-t)^n}{n!} (D_H^n q)_0 = \sum_{k=0}^{\infty} \frac{(-t)^{2k}}{(2k)!} (D_H^{2k} q)_0 + \sum_{k=0}^{\infty} \frac{(-t)^{2k+1}}{(2k+1)!} (D_H^{2k+1} q)_0$$

$$= q_0 \cos \omega t + p_0 \sin \omega t$$

4. 对时间的全微商 $\frac{d}{dt} [f, g]$

$$\frac{d}{dt} [f, g] = \partial_t [f, g] + [[f, g], H]$$

$$= [\partial_t f, g] - [[g, H], f]$$

$$+ [f, \partial_t g] - [[H, f], g]$$

$$= [\partial_t f + [f, H], g] + [f, \partial_t g + [g, H]]$$

$$\frac{d}{dt} [f, g] = \left[\frac{df}{dt}, g \right] + \left[f, \frac{dg}{dt} \right]$$

$$\frac{d^n}{dt^n} [f, g] = \sum_{k+l=n} \frac{n!}{k!l!} \left[\frac{d^k f}{dt^k}, \frac{d^l g}{dt^l} \right]$$

5. 判断运动常数

$$\partial_t f + [f, H] = 0 \Rightarrow f \text{ 为运动常数}$$

eg. 中心力问题 $H = \frac{p^2}{2m} + U(r)$

H, L_1, L_2, L_3

6. 生成新的运动常数

f, g 为运动常数 $\Rightarrow [f, g]$ 也是运动常数

要求是哈密顿体系

eg. ($s=3$ 自由度为3) L_1, L_2 守恒 $\Rightarrow L_3 = [L_1, L_2]$ 守恒

函数 f, g 独立 \Rightarrow 不存在 $h(f, g) = 0$

四. 判断 Hamilton 体系

$\xi = X(\xi, t)$ 为 Hamilton 体系

$$D_x X_\xi = D_p X_\eta \Leftrightarrow [\xi_\alpha, X_\beta] = [\xi_\beta, X_\alpha]$$

$$\Leftrightarrow [\xi_\alpha, \xi_\beta]_\xi + [\xi_\alpha, \xi_\beta]_\eta = 0$$

$$\Leftrightarrow \frac{d}{dt} [f, g]_\xi = \left[\frac{df}{dt}, g \right]_\xi + \left[f, \frac{dg}{dt} \right]_\xi \quad (\forall f, g)$$

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\partial f}{\partial \xi} \dot{\xi} \rightarrow X$$

eg. 出口模型 q : 猎物 p : 捕食者 $\xi = (q, p)$

$$\begin{cases} \dot{q} = aq - bq p \\ \dot{p} = dq p - cp \end{cases}$$

$$[\dot{q}, p]_\xi + [q, \dot{p}]_\xi = [aq - bq p, p]_\xi + [q, dq p - cp]_\xi$$

$$= (a - abp) + (dq - c) = 0$$

$$\begin{cases} q = e^a \\ p = e^t \end{cases} \quad \eta = (Q, P)$$

$$[Q, P]_\eta + [Q, P]_\eta = 0 + 0 = 0$$

在新的变量描述下是哈密顿体系

§ 4.5 正则变换 (CT: Canonical Transformation)

一. 简谐振子

$$(X, P_x) \begin{cases} X = \frac{P_x}{m} \\ P_x = -m\omega x \end{cases} \quad H(X, P_x) = \frac{P_x^2}{2m} + \frac{1}{2}m\omega^2 X^2 = E$$

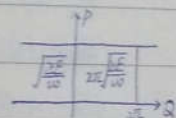


$$\xi(q, p) \begin{cases} q = \sqrt{m\omega} x \\ p = \frac{P_x}{\sqrt{m\omega}} \end{cases} \quad \begin{cases} q = \omega p \\ p = -\omega q \end{cases} \quad H = \frac{1}{2}\omega(p^2 + q^2)$$



相轨道所围的面积相等

$$\eta = (Q, P) \begin{cases} Q = \arctan \frac{q}{p} \\ p = \sqrt{p^2 + q^2} \end{cases} \quad \begin{cases} q = P \sin Q \\ p = P \cos Q \end{cases} \quad \begin{cases} \dot{Q} = \omega \\ \dot{P} = 0 \end{cases} \quad K = \omega P = \sqrt{2\omega E}$$



$\xi \rightarrow \eta$ 不是正则变换

$\xi \rightarrow \eta$ 应用于 $H(q, p, t)$

$$0 \neq [Q, P]_\eta + [Q, P]_\eta$$

$$\begin{cases} \dot{Q} = [Q, H]_\xi = \frac{\partial H}{\partial P} [Q, P]_\xi \\ \dot{P} = [P, H]_\xi = -\frac{\partial H}{\partial Q} [Q, P]_\xi \end{cases}$$

$$[Q, P]_\xi = \frac{1}{\sqrt{p^2 + q^2}} (p [Q, P]_\xi + q [Q, q]_\xi)$$

$$= \frac{1}{\sqrt{p^2 + q^2}} (p \frac{p}{p^2 + q^2} - q \frac{-q}{p^2 + q^2}) = \frac{1}{\sqrt{p^2 + q^2}}$$

$$[Q, P]_\xi = \frac{1}{P}$$

$$\begin{cases} \dot{Q} = \frac{1}{P} \frac{\partial H}{\partial P} \equiv \frac{\partial K}{\partial P} & \frac{\partial}{\partial Q} \frac{\partial K}{\partial P} = \frac{1}{P} \frac{\partial H}{\partial Q \partial P} \\ \dot{P} = -\frac{1}{P} \frac{\partial H}{\partial Q} \equiv -\frac{\partial K}{\partial Q} & \frac{\partial}{\partial P} \frac{\partial K}{\partial Q} = \frac{1}{P} \frac{\partial H}{\partial P \partial Q} - \frac{1}{P} \frac{\partial H}{\partial Q} \end{cases}$$

$$\frac{\partial H}{\partial Q} = 0 \Rightarrow H = H(Q, P, t) = H(\sqrt{p^2 + q^2}, t)$$

$$\eta = \xi \quad Q = \arctan \frac{q}{p} \quad p = \frac{1}{2}(p^2 + q^2) \text{ 为正则变换}$$

相空间下最简单的运动即为不动, 于是找到守恒量

二. CT 的定义

若 $H(\xi, t)$ 定义的体系以 $\eta = \eta(\xi, t)$ 为状态考量时,

仍为 Hamilton 体系

则 称变换 $\xi \rightarrow \eta = \eta(\xi, t)$ 对该 $H(\xi, t)$ 是类正则的

若 $\xi \rightarrow \eta = \eta(\xi, t)$ 对 $H(\xi, t)$ 均为类正则的

则 称其为正则变换

$$\text{如: } \begin{cases} Q = \arctan \frac{q}{p} \\ P = \frac{1}{2}(p^2 + q^2) \end{cases} \Rightarrow \begin{cases} q = \sqrt{2P} \sin Q \\ p = \sqrt{2P} \cos Q \end{cases}$$

$$\text{验证 } [Q, P]_\eta + [Q, P]_\xi = 0$$

$$\begin{cases} \dot{Q} = \frac{\partial Q}{\partial t} + [Q, H]_\xi = [Q, Q]_\xi \frac{\partial H}{\partial Q} + [Q, P]_\xi \frac{\partial H}{\partial P} \\ \dot{P} = \frac{\partial P}{\partial t} + [P, H]_\xi = [P, Q]_\xi \frac{\partial H}{\partial Q} + [P, P]_\xi \frac{\partial H}{\partial P} \end{cases}$$

$$\begin{aligned} [Q, P]_\xi &= \frac{\partial P}{\partial t} [Q, P]_\xi + \frac{\partial P}{\partial q} [Q, q]_\xi = P \frac{\partial Q}{\partial q} - Q \frac{\partial Q}{\partial P} \\ &= P \frac{1}{p^2 + q^2} - Q \frac{-q}{p^2 + q^2} = 1 \end{aligned}$$

$$H(q, p, t) = H(\sqrt{2P} \sin Q, \sqrt{2P} \cos Q, t)$$

$$H = \frac{1}{2} \omega (p^2 + q^2) \Rightarrow K = \omega P$$

$$[Q, P]_\eta + [Q, P]_\xi = \left[\frac{\partial H}{\partial P}, P \right]_\eta + [Q, \frac{\partial H}{\partial Q}]_\eta = \frac{\partial}{\partial Q} \frac{\partial H}{\partial P} - \frac{\partial}{\partial P} \frac{\partial H}{\partial Q} = 0$$

1. 定义 $\Lambda_{\alpha\beta} \equiv [\xi_\alpha, \xi_\beta]_\eta = \Lambda_{\alpha\beta}(\xi, t)$

$\frac{\partial \Lambda_{\alpha\beta}}{\partial t}, \frac{\partial \Lambda_{\alpha\beta}}{\partial \xi_\gamma}$ 由变换决定

$\Lambda_{\alpha\beta} = -\Lambda_{\beta\alpha}, \Lambda = (\Lambda_{\alpha\beta})$ 可逆

$$\frac{\partial \xi_\alpha}{\partial \eta_\beta} \Omega_{\beta\gamma} = \frac{\partial \xi_\beta}{\partial \eta_\gamma}$$

2. $\xi \rightarrow \eta = \eta(\xi, t)$ 对某 $H(\xi, t)$ 类正则

$$\frac{d\Lambda_{\alpha\beta}}{dt} = [\xi_\alpha, \xi_\beta]_\eta + [\xi_\alpha, \xi_\beta]_\xi = \frac{\partial \Lambda_{\alpha\beta}}{\partial t} + [\Lambda_{\alpha\beta}, H], \quad \xi_\alpha = \Omega_{\alpha\beta} \frac{\partial H}{\partial \xi_\beta}$$

3. $\xi \rightarrow \eta = \eta(\xi, t)$ 为 CT, 对任何 $H(\xi, t)$ 成立

$$(1) H = C \Rightarrow \dot{\xi}_\alpha = 0 \Rightarrow \frac{\partial \Lambda_{\alpha\beta}}{\partial t} = 0$$

$$(2) H = C_\delta \xi_\delta \Rightarrow \dot{\xi}_\alpha = \Omega_{\alpha\delta} C_\delta \Rightarrow C_\delta [\Lambda_{\alpha\beta}, \xi_\delta]_\xi = 0 \quad (\forall C_\delta)$$

$$\forall \Rightarrow [\Lambda_{\alpha\beta}, \xi_\delta]_\xi = 0 \quad (*\delta = 1, 2, \dots, 2S)$$

$$\Rightarrow \Lambda_{\alpha\beta} = \Lambda_{\alpha\beta}[\xi, t] \text{ 常数} \Rightarrow [\xi_\alpha, \xi_\beta]_\eta = [\xi_\beta, \xi_\alpha]_\eta$$

$$(3) H = \frac{1}{2} C_{pr} \xi_p \xi_r \quad (C_{pr} = C_{rp}) \Rightarrow \dot{\xi}_\alpha = \Omega_{\alpha p} C_{pr} \xi_r$$

$$\Omega_{\alpha p} C_{pr} [\xi_r, \xi_\beta]_\eta = \Omega_{\beta p} C_{pr} [\xi_r, \xi_\alpha]_\eta$$

$$(\Omega C \Lambda)_{\alpha\beta} = (\Lambda^T C^T \Omega^T)_{\alpha\beta}$$

$$\Omega \in \mathcal{A} = \Omega C \Lambda = \Lambda C \Omega \Rightarrow \Omega \Omega^T C \Lambda = \Omega \Lambda C \Omega^T$$

$$\Rightarrow C(\Lambda \Omega) = (\Omega \Lambda) C \quad (\forall C)$$

$$\text{取 } C = I \Rightarrow \Lambda \Omega = \Omega \Lambda \Rightarrow C(\Omega \Lambda) = (\Omega \Lambda) C$$

$$\Rightarrow \Omega \Lambda = -aI \Rightarrow \Lambda = a\Omega$$

$$[f, g]_\eta = \frac{\partial f}{\partial \xi_\alpha} [\xi_\alpha, \xi_\beta]_\eta \frac{\partial g}{\partial \xi_\beta} = \frac{\partial f}{\partial \xi_\alpha} a \Omega_{\alpha\beta} \frac{\partial g}{\partial \xi_\beta} = a [f, g]_\xi$$

$$\begin{aligned} \Rightarrow \frac{d}{dt} [f, g]_\eta &= a \frac{d}{dt} [f, g]_\xi = a \left[\frac{df}{dt}, g \right]_\xi + a \left[f, \frac{dg}{dt} \right]_\xi \\ &= \left[\frac{df}{dt}, g \right]_\eta + \left[f, \frac{dg}{dt} \right]_\eta \end{aligned}$$

三. (受限) 正则变换的条件

$$1. [f, g]_\eta = [f, g]_\xi \quad (\forall f, g)$$

$$2. [\xi_\alpha, \xi_\beta]_\eta = \Omega_{\alpha\beta} \text{ 或 } [\eta_\alpha, \eta_\beta]_\xi = \Omega_{\alpha\beta} \quad (1 \leq \alpha < \beta \leq 2S)$$

$$3. (\text{辛条件}) M \Omega M^T = \Omega \text{ 或 } M^T \Omega M = \Omega \quad (M_{\alpha\beta} \equiv \frac{\partial \eta_\alpha}{\partial \xi_\beta}) \text{ 辛矩阵}$$

$$M(\Omega M^T \Omega^T) = I \Rightarrow (\Omega M^T \Omega^T) M = I$$

$$(M \Omega M^T)_{\alpha\beta} = [\eta_\alpha, \eta_\beta]_\xi$$

$$(M^T \Omega M)_{\alpha\beta} = \frac{\partial \eta_\alpha}{\partial \xi_\mu} \Omega_{\mu\nu} \frac{\partial \eta_\nu}{\partial \xi_\beta} = [\xi_\mu, \xi_\nu]_\eta \rightarrow \text{Lagrange 括号}$$

4. (可积条件) $P_k \delta q_k - P_k \delta Q_k = \delta F(\xi, t)$ 生成函数

$$\delta F = \frac{\partial F}{\partial q_k} \delta q_k + \frac{\partial F}{\partial p_k} \delta p_k$$

$$\begin{cases} \frac{\partial F}{\partial q_k} = P_k - \frac{\partial Q_i}{\partial q_k} P_i \\ \frac{\partial F}{\partial p_k} = -\frac{\partial Q_i}{\partial p_k} - P_i \end{cases}$$

$$\Leftrightarrow \frac{1}{2} (P_k \delta q_k - q_k \delta P_k) - \frac{1}{2} (P_k \delta Q_k - Q_k \delta P_k) = \delta [F - \frac{1}{2} q_k P_k + \frac{1}{2} Q_k P_k]$$

$$\Leftrightarrow \frac{1}{2} \Omega_{qp} \xi_p \delta \xi_k - \frac{1}{2} \Omega_{pr} \eta_r \delta \eta_p = \delta G(\xi, \eta)$$

$$\Leftrightarrow \frac{\partial G}{\partial \xi_k} = \frac{1}{2} \Omega_{qp} \xi_p - \frac{1}{2} \Omega_{pr} \eta_r \frac{\partial \eta_r}{\partial \xi_k} = X_k$$

$$\Leftrightarrow \partial_p X_k - \partial_k X_p = 0$$

$$\partial_p X_k = \frac{1}{2} \Omega_{qp} - \frac{1}{2} \Omega_{pr} \frac{\partial \eta_r}{\partial \xi_p} \frac{\partial \eta_r}{\partial \xi_k} - \frac{1}{2} \Omega_{pr} \eta_r \frac{\partial^2 \eta_r}{\partial \xi_p \partial \xi_k}$$

$$\partial_k X_p = \frac{1}{2} \Omega_{rp} - \frac{1}{2} \Omega_{pr} \frac{\partial \eta_r}{\partial \xi_k} \frac{\partial \eta_r}{\partial \xi_p} - \frac{1}{2} \Omega_{pr} \eta_r \frac{\partial^2 \eta_r}{\partial \xi_k \partial \xi_p}$$

$$\Rightarrow \partial_p X_k - \partial_k X_p = \Omega_{qp} - \Omega_{rp} - \Omega_{pr} \frac{\partial \eta_r}{\partial \xi_p} \frac{\partial \eta_r}{\partial \xi_k} \quad \text{辛条件}$$

eg. (规范变换)

$$\begin{cases} Q_i = q_i \\ P_i = p_i + \frac{\partial G(q, t)}{\partial q_i} \end{cases}$$

$$[Q_i, Q_j] = [q_i, q_j] = 0$$

$$[Q_i, P_j] = [q_i, p_j + \frac{\partial G}{\partial q_j}] = \delta_{ij} = 0$$

$$\begin{aligned} [P_i, P_j] &= [p_i + \frac{\partial G}{\partial q_i}, p_j + \frac{\partial G}{\partial q_j}] = [p_i, p_j] + [\frac{\partial G}{\partial q_i}, p_j] + [p_i, \frac{\partial G}{\partial q_j}] + [\frac{\partial G}{\partial q_i}, \frac{\partial G}{\partial q_j}] \\ &= -\frac{\partial}{\partial q_i} \frac{\partial G}{\partial q_j} + \frac{\partial}{\partial q_j} \frac{\partial G}{\partial q_i} \end{aligned}$$

$$P_k \delta q_k - (P_k + \frac{\partial G}{\partial q_k}) \delta q_k = -\frac{\partial G}{\partial q_k} \delta q_k = -\delta G(q, t)$$

eg. (点变换)

$$\begin{cases} Q_i = Q_i(q, t) \\ P_i = \frac{\partial Q_i}{\partial q_i} p_k \end{cases}$$

$$P_k \delta q_k - \frac{\partial Q_i}{\partial q_i} P_k \frac{\partial Q_i}{\partial p_i} \delta p_i = P_k \delta q_k - \partial_k P_i \delta q_i = 0 = \delta (0 + f(t))$$

如果由生成函数得到正则变换, 需要对正则变换进行分类

四. 新的 Hamilton 函数 $K(\eta, t) = K(Q, P, t)$

将 CT: $\xi \rightarrow \eta = \eta(\xi, t)$ 应用于 $H(\xi, t)$

$$\eta_k = [q_k, K]_\eta = [q_k, K]_\xi \Rightarrow [q_k, K - K]_\xi = 0$$

1. $K(\eta, t) = K(\eta, t) + f(t)$

2. 若 $\eta = \eta(\xi, t)$, 则 $K(\eta, t) = H(\xi(\eta), t)$

$$\eta_k = \partial_k \eta_k + [q_k, H]_\xi = 0 + [q_k, H]_\xi$$

3. 一般 $K = H + \frac{\partial Q_i}{\partial t} P_i + \frac{\partial F}{\partial t}$

$$\dot{\xi}_k = [q_k, H]_\xi = \Omega_{qp} \frac{\partial H}{\partial \xi_k} \Leftrightarrow \int_{t_0}^t \tilde{L}(\xi, \dot{\xi}, t) dt = 0 \quad \tilde{L} = P_k \dot{q}_k - H(\xi, t)$$

$$\eta_k = [q_k, H]_\eta = \Omega_{\eta p} \frac{\partial K}{\partial \eta_k} \Leftrightarrow \int_{t_0}^t \tilde{L}(\eta, \dot{\eta}, t) dt = 0 \quad \tilde{L} = P_k \dot{q}_k - K(\eta, t)$$

$$\Rightarrow \delta \int [L(\xi, \dot{\xi}, t) dt = 0$$

$$\tilde{L} = H \tilde{L}_H - \tilde{L}_K = P_k \dot{q}_k - P_k \dot{q}_k + (K - H)$$

$$\tilde{L} = (P_k - \frac{\partial Q_i}{\partial q_i}) \dot{q}_k + (-\frac{\partial Q_i}{\partial p_i} P_i) \dot{p}_k + \frac{\partial Q_i}{\partial t} P_i + \frac{\partial F}{\partial t} (K - H)$$

$$\tilde{L} = \frac{dF(\xi, t)}{dt} + K - H - \frac{\partial Q_i}{\partial t} P_i - \frac{\partial F}{\partial t}$$

$$\frac{\partial \tilde{L}}{\partial \xi_k} = \frac{d}{dt} \frac{\partial \tilde{L}}{\partial \xi_k} = 0 \quad \tilde{L} = \tilde{L}(\xi, \dot{\xi}, t) \Rightarrow \tilde{L} = f(t)$$

$$P_k \dot{q}_k - P_i \dot{q}_i + (K - H) = \frac{dF}{dt} = \frac{\partial F}{\partial q_k} \dot{q}_k + \frac{\partial F}{\partial p_k} \dot{p}_k + \frac{\partial F}{\partial t}$$

$$P_k d q_k - P_i d q_i + (K - H) dt = dF = \frac{\partial F}{\partial q_k} d q_k + \frac{\partial F}{\partial p_k} d p_k + \frac{\partial F}{\partial t} dt$$

eg. $Q_i = Q_i(q, t) \quad P_i = \frac{\partial Q_i}{\partial q_i} p_k \Rightarrow F = 0 \Rightarrow K = H + \frac{\partial Q_i}{\partial t} P_i$

eg. $Q_i = q_i \quad P_i = p_i + \frac{\partial G(q, t)}{\partial q_i} \Rightarrow F = -G \Rightarrow K = H - \frac{\partial G}{\partial t}$

eg. $Q = \arctan \frac{q}{p} - \omega t$

$P = \frac{1}{2}(p^2 + q^2)$

$\frac{\partial F}{\partial q} = P - \frac{\partial Q}{\partial q} P = \frac{P}{2} \Rightarrow F = \frac{1}{2} \frac{\partial Q}{\partial t} + f(p, q, t)$

$\frac{\partial F}{\partial p} = -\frac{\partial Q}{\partial p} P = \frac{P}{2} \Rightarrow \frac{1}{2} q + \frac{\partial f}{\partial p} = \frac{P}{2} \Rightarrow \frac{\partial f}{\partial p} = 0 \Rightarrow f(p) = 0$

$\Rightarrow F = \frac{1}{2} q P$

$K = H + \frac{\partial Q}{\partial t} P + \frac{\partial F}{\partial t} = H - \omega P = 0$

$H = \frac{1}{2}(p^2 + q^2) - \omega P$

$\begin{cases} \dot{Q} = 0 \\ P = 0 \end{cases} \Rightarrow \begin{cases} Q = Q_0 \\ P = P_0 \end{cases}$

五. CT 数学推论

1. $|\det M| = 1$, 可证 $\det M = +1$

$M \Omega M^T = \Omega \Rightarrow (\det M)^T \det \Omega = \det \Omega$

$s=1 \quad \det M = \begin{vmatrix} \frac{\partial Q}{\partial q} & \frac{\partial Q}{\partial p} \\ \frac{\partial P}{\partial q} & \frac{\partial P}{\partial p} \end{vmatrix} = [Q, P]_t = 1$

$s=2 \quad \det M \Rightarrow CT$

如: $\begin{pmatrix} Q \\ Q_0 \\ P \\ P_0 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} q \\ q_0 \\ p \\ p_0 \end{pmatrix}$
 $Q = q + q_0$
 $[Q, P]_t = 1$
 $[Q_0, P_0]_t = 1$

2. M 辛矩阵 $\Rightarrow M^T$ 亦是

3. M, M' 辛矩阵 $\Rightarrow M \cdot M'$ 亦是

4. CT 保持相空间的体积不变

$\int d\eta_1 d\eta_2 \dots d\eta_{2s} = \int \left| \frac{\partial(\eta_1, \eta_2, \dots, \eta_{2s})}{\partial(\xi_1, \xi_2, \dots, \xi_{2s})} \right| d\xi_1 d\xi_2 \dots d\xi_{2s}$

六. 物理推论

1. Hamilton 体系的演化从被动角度看为 CT

$\xi_{2\alpha}(t+\varepsilon) = \xi_{2\alpha}(t) + \varepsilon \dot{\xi}_{2\alpha}(t) = \xi_{2\alpha}(t) + \varepsilon [\xi_{2\alpha}, H]_t$

$\xi_{2\alpha} \rightarrow \eta_{2\alpha} = \xi_{2\alpha} + \varepsilon [\xi_{2\alpha}, H]_t = \eta(\xi, t; \varepsilon)$

$[\eta_{2\alpha}, \eta_{2\beta}]_t = [\xi_{2\alpha} + \varepsilon [\xi_{2\alpha}, H], \xi_{2\beta} + \varepsilon [\xi_{2\beta}, H]]_t$
 $= \Omega_{2\alpha\beta} + \varepsilon [\xi_{2\alpha}, [\xi_{2\beta}, H]] + \varepsilon [\xi_{2\beta}, [\xi_{2\alpha}, H]] + O(\varepsilon^2)$
 $= \Omega_{2\alpha\beta} - \varepsilon [H, [\xi_{2\alpha}, \xi_{2\beta}]] = \Omega_{2\alpha\beta}$

$\xi_{2\alpha}(t+\varepsilon) = \sum_{n=0}^{\infty} \frac{\varepsilon^n}{n!} \left(\frac{d^n \xi_{2\alpha}}{dt^n} \right)_t$

$\xi_{2\alpha} \rightarrow \eta_{2\alpha} = \sum_{n=0}^{\infty} \frac{\varepsilon^n}{n!} \frac{d^n \xi_{2\alpha}}{dt^n} = \eta(\xi, t; \varepsilon)$

$[\eta_{2\alpha}, \eta_{2\beta}]_t = \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \frac{\varepsilon^{k+l}}{k!l!} \left[\xi_{2\alpha}^{(k)}, \xi_{2\beta}^{(l)} \right]_t$

$[\eta_{2\alpha}, \eta_{2\beta}]_t = \sum_{n=0}^{\infty} \frac{\varepsilon^n}{n!} \sum_{k+l=n} \frac{n!}{k!l!} \left[\xi_{2\alpha}^{(k)}, \xi_{2\beta}^{(l)} \right]_t = \Omega_{2\alpha\beta}$
 $\frac{d^n}{dt^n} [\xi_{2\alpha}, \xi_{2\beta}]_t = \Omega_{2\alpha\beta}$

2. Liouville 体积定理 (LV)

正则区域的体积不随时间变化

其中每一个相点 $[\xi, H]_t$ 演化

如: $H = \frac{p_x^2}{2m} + mgx$

$\begin{cases} \dot{x} = \frac{p_x}{m} \\ \dot{p}_x = -mg \end{cases} \Rightarrow \begin{cases} x(t) = x_0 + \frac{p_{x0}}{m}t - \frac{1}{2}gt^2 \\ p_x(t) = p_{x0} - mgt \end{cases}$

$dx dp_x = \begin{vmatrix} \frac{\partial x}{\partial x_0} & \frac{\partial x}{\partial p_{x0}} \\ \frac{\partial p_x}{\partial x_0} & \frac{\partial p_x}{\partial p_{x0}} \end{vmatrix} dx_0 dp_{x0} = \begin{vmatrix} 1 & \frac{t}{m} \\ 0 & 1 \end{vmatrix} dx_0 dp_{x0}$

相点密度 $n \equiv \lim_{\Delta t \rightarrow 0} \frac{\Delta N}{\Delta t} = n(\xi, t)$

态密度 $\rho(\xi, t) = \frac{n}{N} \int \rho(\xi, t) d\xi_1 d\xi_2 \dots d\xi_n = 1$

$C = \rho(\xi, t) = \rho(\xi_0, 0)$ 其中 $\xi = \xi(t, \xi_0)$

$0 = \frac{d\rho}{dt} = \frac{\partial \rho}{\partial t} + [\rho, H]_{\xi}$

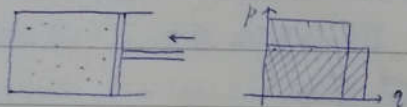
$[\rho, H] = \frac{\partial \rho}{\partial \xi_k} \Omega_{kp} \frac{\partial H}{\partial p_k} - \rho \Omega_{kp} \frac{\partial}{\partial \xi_k} \frac{\partial H}{\partial p_k} \rightarrow 0$

流密度 $J_k \equiv \rho \Omega_{kp} \frac{\partial H}{\partial p_k} \quad (J = \rho \Delta H)$

$0 = \partial_t \rho + \partial_k J_k$

刘维尔定理与遍历假设 是统计力学的根基

n个粒子 看作体系中n个相点



§4.6 正则变换及其生成函数的分类

零. CT的分类

$$M = \begin{pmatrix} \frac{\partial Q}{\partial q} & \frac{\partial Q}{\partial p} \\ \frac{\partial P}{\partial q} & \frac{\partial P}{\partial p} \end{pmatrix} \xrightarrow{S=2} \begin{pmatrix} \frac{\partial Q_1}{\partial q_1} & \frac{\partial Q_1}{\partial q_2} & \frac{\partial Q_1}{\partial p_1} & \frac{\partial Q_1}{\partial p_2} \\ \frac{\partial Q_2}{\partial q_1} & \frac{\partial Q_2}{\partial q_2} & \frac{\partial Q_2}{\partial p_1} & \frac{\partial Q_2}{\partial p_2} \\ \frac{\partial P_1}{\partial q_1} & \frac{\partial P_1}{\partial q_2} & \frac{\partial P_1}{\partial p_1} & \frac{\partial P_1}{\partial p_2} \\ \frac{\partial P_2}{\partial q_1} & \frac{\partial P_2}{\partial q_2} & \frac{\partial P_2}{\partial p_1} & \frac{\partial P_2}{\partial p_2} \end{pmatrix}$$

对任一给定CT, 总可以在§.7中各选一半作为状态考量

若 $|\frac{\partial Q}{\partial p}| \neq 0 \quad Q_i = Q_i(q, p, t) \Rightarrow P_i = P_i(q, Q, t)$

若 $|\frac{\partial P}{\partial p}| \neq 0 \quad P_i = P_i(q, p, t) \Rightarrow Q_i = Q_i(q, P, t)$

$\det(\frac{\partial Q_i}{\partial p_j}) \neq 0 \Rightarrow p = p(q, Q, t) \Rightarrow (q, Q)$ 独立

$\det(\frac{\partial Q_i}{\partial q_j}) \neq 0 \Rightarrow q = q(p, Q, t) \Rightarrow (p, Q)$

$\det(\frac{\partial P_i}{\partial p_j}) \neq 0 \Rightarrow p = p(q, P, t) \Rightarrow (q, P)$

$\det(\frac{\partial P_i}{\partial q_j}) \neq 0 \Rightarrow q = q(p, P, t) \Rightarrow (p, P)$

eg. $Q_i = q_i, P_i = p_i \quad I, II$

eg. $Q_i = p_i, P_i = -q_i \quad I, IV$

eg. $Q = \frac{q+p}{\sqrt{2}}, P = \frac{q-p}{\sqrt{2}} \quad I, II, III, IV$

eg. $\begin{cases} Q_i = q_i, P_i = p_i \\ Q_i = p_i, P_i = -q_i \end{cases}$ 不属于上述任何一类

eg. $Q_k = Q_k(q, t)$ (可逆) I, II

$P_k = \frac{\partial q_i}{\partial Q_k} P_i$

- Type I CT

(q, Q) 独立, $\det(\frac{\partial Q_i}{\partial p_j}) \neq 0$

$P_k dq_k - P_k dQ_k + (K - H) dt = dF_1(q, Q, t) = \frac{\partial F_1}{\partial q_k} dq_k + \frac{\partial F_1}{\partial Q_k} dQ_k + \frac{\partial F_1}{\partial t} dt$

$F_1(q, Q, t) = F_1(q, p, t) = F_1(q, p(q, Q, t), t)$

$\frac{\partial F_1}{\partial q_k} = P_k \quad \textcircled{1}$

$\frac{\partial F_1}{\partial Q_k} = -P_k \quad \textcircled{2}$

$K = H + \frac{\partial F_1(q, Q, t)}{\partial t} \quad \textcircled{3}$

CT $\textcircled{1} \textcircled{2} \Rightarrow F_1(q, Q, t) \xrightarrow{\textcircled{3}} K(Q, P, t)$

$F_1(q, Q, t) \Rightarrow$ CT

$P_k = P_k(q, Q, t) = \frac{\partial F_1}{\partial q_k}$

$P_k = P_k(q, Q, t) = -\frac{\partial F_1}{\partial Q_k}$

eg. $F_1 = q_1 Q_1$

$$\det \left(\frac{\partial^2 F_1}{\partial q_k \partial q_j} \right) = \det \left(\frac{\partial^2 F_1}{\partial Q_k \partial Q_j} \right) = 1$$

$$\begin{cases} p_k = \frac{\partial F_1}{\partial q_k} = Q_k \Rightarrow Q_k = p_k \\ p_k = \frac{\partial F_1}{\partial Q_k} = -q_k \Rightarrow q_k = -p_k \end{cases}$$

eg. $Q = q \cos \omega t - p \sin \omega t$

$$P = q \sin \omega t + p \cos \omega t$$

$$1 \Rightarrow \begin{cases} p = \frac{q \cos \omega t - Q}{\sin \omega t} = \frac{\partial F_1}{\partial q} \\ p = \frac{q - Q \cos \omega t}{\sin \omega t} = -\frac{\partial F_1}{\partial Q} \end{cases}$$

$$F_1 = \int p dq - P dQ = \int_q^q \frac{q \cos \omega t - Q}{\sin \omega t} dq - \int_Q^Q \frac{q - Q \cos \omega t}{\sin \omega t} dQ$$

$$= \dots = \frac{(q^2 + Q^2) \cos \omega t - 2qQ}{2 \sin \omega t}$$

$$2^{\circ} \Rightarrow \begin{cases} p = \frac{q \cos \omega t - p \sin \omega t}{\sin \omega t} = \frac{\partial F_1}{\partial q} \Rightarrow F_1 = \int p dq + f(Q, t) \\ p = \frac{q - Q \cos \omega t}{\sin \omega t} = -\frac{\partial F_1}{\partial Q} \Rightarrow \frac{q - Q \cos \omega t}{\sin \omega t} = \frac{q}{\sin \omega t} - \frac{\partial f}{\partial Q} \end{cases}$$

$$\Rightarrow F_1 = \frac{(q^2 + Q^2) \cos \omega t - 2qQ}{2 \sin \omega t}$$

$$\frac{\partial F_1}{\partial t} = \frac{(q^2 + Q^2) \sin \omega t - 2qQ}{2 \sin^2 \omega t} = -\frac{\omega}{2} (p^2 + q^2)$$

$$\text{应用于 } H = \frac{\omega}{2} (p^2 + q^2) = \frac{\omega}{2} (P^2 + Q^2) \Rightarrow K=0$$

$$\begin{cases} Q=0 \Rightarrow Q = Q_0 = q \\ p=0 \Rightarrow P = P_0 = p \end{cases}$$

二. Type II CT

(q, p) 独立 $\det(\partial P_i / \partial p_j) \neq 0$

$$P_k dq_k - P_k dQ_k + d(Q_k P_k) + (K-H) dt = dF_1(q, p, t) + d(Q_k P_k)$$

$$F_2(q, p, t) = F_1(q, p, t) + Q_k P_k$$

$$P_k dq_k + Q_k dP_k + (K-H) dt = dF_2(q, P, t)$$

$$\begin{cases} p_k = \frac{\partial F_2}{\partial q_k} & K-H = H + \frac{\partial F_2}{\partial t} \\ Q_k = \frac{\partial F_2}{\partial P_k} \end{cases}$$

三. Type III CT

(p, Q) 独立 $F_3(p, Q, t) = F_1(q, p, t) - Q_k P_k$

四. Type IV CT

(p, P) 独立 $F_4(p, P, t) = F_1(q, p, t) - Q_k P_k + Q_k P_k$

eg. 点变换

$$\begin{cases} Q_i = Q_i(q, t) \text{ 可逆} \\ P_i = \frac{\partial Q_i}{\partial q_i} p_k \end{cases}$$

$$P_i \neq \frac{\partial Q_i}{\partial q_i} = \frac{\partial Q_i}{\partial q_i} \frac{\partial q_k}{\partial Q_i} p_k = \delta_{ki} p_k \Rightarrow p_k = \frac{\partial Q_i}{\partial q_k} P_i$$

$$p_k = \frac{\partial Q_i}{\partial q_k} P_i = \frac{\partial F_2}{\partial q_k}$$

$$Q_k = Q_k(q, t) = \frac{\partial F_2}{\partial P_k} \Rightarrow F_2 = \int Q_k dP_k + f(q, t) = Q_k P_k + f(q, t)$$

$$\Rightarrow \frac{\partial Q_i}{\partial q_k} P_i = \frac{\partial Q_i}{\partial q_k} P_i + \frac{\partial f}{\partial q_k} \Rightarrow \frac{\partial f}{\partial q_k} = 0 \Rightarrow f = 0$$

eg. $(r, \theta) \rightarrow (x, y) = (r \cos \theta, r \sin \theta)$

$$(P_r, P_\theta) \rightarrow (P_x, P_y)$$

$$F_2 = x P_x + y P_y = F_2(r, \theta, P_x, P_y) = r P_x \cos \theta + r P_y \sin \theta = \vec{r} \cdot \vec{P}$$

$$p_r = \frac{\partial F_2}{\partial r} = \hat{r} \cdot \vec{P}$$

$$p_\theta = \frac{\partial F_2}{\partial r} = r \hat{\theta} \cdot \vec{P} = -l$$

不同类生成函数的关系 - Legendre 变换

$$F_2(q, P, t) = F_1(q, Q, t) + Q_k P_k = -[Q_k \frac{\partial F_1}{\partial Q_k} - F_1]$$

要求满足 Hess 条件, 正则变换需要同时属于两类

(如果不属于第一类正则变换, 也就没有第一类生成函数)

eg. $Q_1 = Q_1(q, t)$ 可消

$P_1 = P_1(q, p, t)$

$P_k \delta q_k - P_1 \delta Q_1 = (P_k - \frac{\partial Q_1}{\partial q_k} P_1) \delta q_k = \delta F(q, p, t)$

$\frac{\partial F}{\partial q_k} = P_k - \frac{\partial Q_1}{\partial q_k} P_1$

$\frac{\partial F}{\partial P_k} = 0 \Rightarrow F = F(q, t)$

$\frac{\partial q_k}{\partial Q_1} \frac{\partial Q_1}{\partial q_k} P_1 = (P_k - \frac{\partial F(q, t)}{\partial q_k}) \frac{\partial q_k}{\partial Q_1}$

$\Rightarrow P_1 = \frac{\partial q_k}{\partial Q_1} (P_k - \frac{\partial F(q, t)}{\partial q_k})$

$F=0$ 点变换

$Q=q$ 规范变换

eg. 证辛矩阵行列式为1

辛矩阵 $M (M \Omega M^T = \Omega)$ 定义CT: $\gamma = M \xi$ (不妨设为 Type I)

(若不是, 可以初等变换为 Type I)

$(q, p) \xrightarrow{\det M = \frac{\partial(Q, P)}{\partial(q, p)}} (Q, P)$

$\left[\begin{array}{l} q = q \\ p = p(q, p, t) \end{array} \right]_{(q, p)} \rightarrow \left[\begin{array}{l} Q = Q(q, p, t) \\ P = P \end{array} \right]_{(Q, P)}$

$\det M_1 = \frac{\partial(Q, P)}{\partial(q, p)}$

$\det M_2 = \begin{vmatrix} \frac{\partial Q}{\partial q} & \frac{\partial Q}{\partial p} \\ 0 & I \end{vmatrix}$

$= \begin{vmatrix} I & 0 \\ \frac{\partial P}{\partial q} & \frac{\partial P}{\partial p} \end{vmatrix}$

$\frac{\partial(Q, P)}{\partial(q, p)} = \frac{\partial(Q, P)}{\partial(q, p)} / \frac{\partial(Q, P)}{\partial(q, p)} = \left[\frac{\partial(Q_1, Q_2, \dots, Q_s)}{\partial(q_1, q_2, \dots, q_s)} \right] / \left[\frac{\partial(P_1, P_2, \dots, P_s)}{\partial(p_1, p_2, \dots, p_s)} \right]$

$= \det B / \det A$

$A_{ij} = \frac{\partial P_i}{\partial p_j} \quad B_{ij} = \frac{\partial Q_i}{\partial q_j} = \frac{\partial}{\partial q_j} \frac{\partial F_2}{\partial p_i} = \frac{\partial}{\partial p_i} \frac{\partial F_2}{\partial q_j} = \frac{\partial P_j}{\partial p_i} = A_{ji}$

$\Rightarrow \frac{\partial(Q, P)}{\partial(q, p)} = \det B / \det A = 1$

§4.7 Hamilton-Jacobi 理论 (不采用求和约定)

$\begin{cases} Q = q \cos \omega t - p \sin \omega t \\ P = q \sin \omega t + p \cos \omega t \end{cases} \begin{cases} Q = \arctan \frac{q}{p} - \omega t \\ P = \frac{1}{2}(q^2 + p^2) \end{cases} \quad \mathcal{H} = \frac{1}{2} \omega^2 (p^2 + q^2)$

$H(q, p, t) \xrightarrow[\substack{P_k = \frac{\partial S}{\partial q_k}, Q_k = \frac{\partial S}{\partial P_k}}]{F_k(q, p, t) = S(q, p, t)} K(Q, P, t) = 0 = H(q, p, t) = \frac{\partial S(q, p, t)}{\partial t}$

- HJ 方程

$H = H(q, p, t) \Rightarrow -\frac{\partial S}{\partial t} = H(q, \frac{\partial S}{\partial q}, t)$

$S = S(q, p, t)$ Hamilton 主函数

1. $Q_k, P_k (k=1, 2, \dots, s)$ 为 $2s$ 个运动常数

$P_k = \frac{\partial S}{\partial q_k} = P_k(q, p, t) \quad \text{CT} \Rightarrow P_k = P_k(q, p, t) \text{ 代 } \lambda \Rightarrow Q_k = Q_k(q, p, t)$

$Q_k = \frac{\partial S}{\partial P_k} = Q_k(q, p, t) \quad \text{CT} \Rightarrow Q_k = Q_k(q, p, t) \text{ 代 } \lambda \Rightarrow P_k = P_k(q, p, t)$

Hess 条件 $\det \left(\frac{\partial^2 S}{\partial q_i \partial p_j} \right) \neq 0$

$\frac{dS}{dt} = \frac{\partial S}{\partial q_k} \dot{q}_k + \frac{\partial S}{\partial P_k} \dot{P}_k + \frac{\partial S}{\partial t} \stackrel{\text{真实路径}}{=} P_k \dot{q}_k - 0 - H(q, p, t)$

2. $S =$ (相空间中) 真实实路径的作用量 $S = \int^t (P_k \dot{q}_k - H) dt$

3. HJ 方程是 S 关于 $(s+1)$ 个自变量 (q, q_1, \dots, q_s, t) 的一阶偏微

分方程, 一般非线性

若 S 是解, $S(q, p, t) + C_1$ (相加常数) 也是解

eg. $H = p \Rightarrow -\frac{\partial S}{\partial t} = \frac{\partial S}{\partial q}$

$X = q + t$

$Y = q - t$

$\Rightarrow -(\frac{\partial S}{\partial X} - \frac{\partial S}{\partial Y}) = \frac{\partial S}{\partial X} + \frac{\partial S}{\partial Y} \Rightarrow \frac{\partial S}{\partial X} = 0 \Rightarrow S = S(X, Y)$

$S = f(q-t)$

解不是依赖于若干任意常数, 而是依赖于若干任意函数

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$$\begin{cases} p = \frac{\partial S}{\partial q} = P \Rightarrow Q = q - t = t_0 \\ Q = \frac{\partial S}{\partial P} = q - t \end{cases} \quad P = P = P_0$$

eg. $H = \frac{p^2}{2m} \Rightarrow -\frac{\partial S}{\partial t} = \frac{1}{2m} \left(\frac{\partial S}{\partial q}\right)^2$

$$S_0(q, P_0, t) = \frac{1}{2m} P_0^2 t \Rightarrow \begin{cases} p = \frac{\partial S}{\partial q} = \frac{q - P_0}{t} \Rightarrow Q_0 = -P_0 \\ Q = \frac{\partial S}{\partial P_0} = \frac{P_0 - q}{t} \Rightarrow P_0 = q - P_0 t \end{cases}$$

$$S_0(q, P_0, t) = q\sqrt{2P_0} - P_0 t \Rightarrow \begin{cases} p = \sqrt{2P_0} \Rightarrow Q_0 = \frac{q}{\sqrt{2P_0}} \\ Q = \frac{q}{\sqrt{2P_0}} \Rightarrow P_0 = \frac{P_0}{2} \end{cases}$$

如 $\frac{\partial V}{\partial x} = \frac{1}{V} \frac{\partial V}{\partial x} \Rightarrow \varphi(x, t) = f(x - vt) + g(x + vt)$

$\frac{\partial \varphi}{\partial x} - \frac{\partial \varphi}{\partial x} = 0 \Rightarrow \varphi(x, y) = f(x - y) + g(x + y) \quad (v = -1)$

二. 偏微分方程的两类解

1. 一般积分: 依赖于若干任意函数
2. 完全积分: 依赖于若干任意常数(数目与自变量个数一样)

$$S(q, t; C_1, C_2, \dots, C_n) + C_0 = S(q, P, t) + C_0 \quad (P_k = C_k)$$

不能取 C_0 为 P , 因为不满足 Hess 条件

$$\begin{cases} x = (x_1, x_2, \dots, x_n) & f(x) = g(y) \Rightarrow f(x) = C_0 + g(y) \\ y = (y_1, y_2, \dots, y_n) & f(x) = g(y) = D \Rightarrow f(x) = C_0 + g(y) = D - C \end{cases}$$

$$H = H(q, p, t) \Rightarrow -\frac{\partial S}{\partial t} = H(q, \frac{\partial S}{\partial q})$$

不妨令 $S(q, t) = W(q) + T(t)$

$$\Rightarrow -\frac{dT}{dt} = H(q, \frac{\partial W}{\partial q}) = P, \quad T = -Pt$$

三. 不显含 t 的 HJ 方程 $H = H(q, p)$

$$H(q, \frac{\partial W}{\partial q}) = P$$

$S = W(q) - Pt, \quad W(q) \rightarrow$ Hamilton 特征函数

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eg. $H = \frac{1}{2} \omega^2 (\dot{q}^2 + q^2) \Rightarrow \frac{1}{2} \omega^2 \left(\frac{\partial W}{\partial q}\right)^2 + \frac{1}{2} \omega^2 q^2 = P \Rightarrow W = \int \sqrt{\frac{2P}{\omega^2} - q^2} dq$

$$S = W - Pt$$

$$p = \sqrt{\frac{2P}{\omega^2} - q^2}$$

$$Q = \frac{1}{\omega} \left[\arcsin \frac{q}{\sqrt{\frac{2P}{\omega^2}}} - t \right] = \frac{1}{\omega} \arcsin \left(\frac{\omega q}{\sqrt{2P}} \right) - t$$

$$p = \frac{1}{2} \omega (\dot{q}^2 + q^2) = E$$

$$Q = \frac{1}{\omega} \arcsin \frac{q}{\sqrt{\frac{2E}{\omega^2}}} - t = -t_0 \Rightarrow q = \sqrt{\frac{2E}{\omega^2}} \sin \omega(t - t_0)$$

分离变量 \rightarrow 微扰动 \rightarrow 猜

临时记号 $\bar{q} = (q_1, q_2, \dots, q_n), \bar{p} = (p_1, p_2, \dots, p_n), W(q) = \bar{W}(\bar{q}) + W_0(q_0)$

$$1. H = H(\bar{q}, p, \bar{p}, P_0) \Rightarrow H(\bar{q}, \frac{\partial \bar{W}}{\partial \bar{q}}, \frac{\partial W_0}{\partial q_0}) = P_0$$

$$\frac{\partial W_0}{\partial q_0} = P_0 \Rightarrow W_0 = P_0 q_0$$

$$H(\bar{q}, \frac{\partial \bar{W}}{\partial \bar{q}}, P_0) = P_0$$

$$2. H = H(\bar{q}, \bar{p}) + H_0(q_0, P_0)$$

$$H(\bar{q}, \bar{p}) \frac{\partial \bar{W}}{\partial \bar{q}} + H_0(q_0, \frac{\partial W_0}{\partial q_0}) = P_0$$

$$H_0(q_0, \frac{\partial W_0}{\partial q_0}) = P_0$$

$$H(\bar{q}, \frac{\partial \bar{W}}{\partial \bar{q}}) = P_0 - P_0$$

$$3. f(\bar{q}) H = H(\bar{q}, \bar{p}) + H_0(q_0, P_0)$$

$$f(\bar{q}) P_0 = H(\bar{q}, \frac{\partial \bar{W}}{\partial \bar{q}}) + H_0(q_0, \frac{\partial W_0}{\partial q_0})$$

$$H_0(q_0, \frac{\partial W_0}{\partial q_0}) = P_0$$

$$H(\bar{q}, \frac{\partial \bar{W}}{\partial \bar{q}}) = f(\bar{q}) P_0 - P_0$$

$$4. f(q_0) H = H(\bar{q}, \bar{p}) + H_0(q_0, P_0)$$

四. 完全可分离体系

$$W(q, P) = \sum_{i=1}^n W_i(q_i, P) \quad S = \sum_{i=1}^n W_i(q_i, P) - P \cdot t$$

$$\begin{cases} p_k = \frac{\partial W_k}{\partial q_k} = p_k(q_k, p) & (k=1, 2, \dots, s) & (Ia) \\ Q_j = \sum \frac{\partial W_j}{\partial p_j} = Q_j(q, p) & (j=2, 3, \dots, s) & (Ib) \\ Q_1 = \sum \frac{\partial W_1}{\partial p_1} - t = Q_1(q, p, t) & & (Ic) \end{cases}$$

$$\begin{cases} p_k = p_k(q, p) & (IIa) \\ Q_j = Q_j(q, p) & (IIb) \\ Q_1 = Q_1(q, p, t) & (IIc) \end{cases}$$

(2s-1)个不显含t运动常数 相轨迹
1个显含t运动常数 时间箭头

- Ia 相轨迹在 (q_k, p_k) 平面上投影, 由P决定, 与Q无关
- Ib 位形空间轨迹
- Ic 时间箭头

eg. $\vec{B} = B\hat{z}$ 带电e, 在(x,y)平面

$\vec{A} = \frac{1}{2}\vec{B} \times \vec{r}$ 下不能分离

$\vec{A} = (B_y z, B_z x, B_x y) = Bx\hat{y}$ 下可以分离

$$H = \sum \frac{(p_i - eA_i)^2}{2m} (-T) = \frac{p_x^2}{2m} + \frac{(p_y - eBx)^2}{2m}$$

是否完全可分离, 取决于特定 Hamilton 函数

H 不显含 t $\Rightarrow S(x, y, t) = W(x, y) - Pt$ ($P_i = H = T$)

H 不显含 y $\Rightarrow S = X(x) + Py - Pt$ ($P_i = P_j = mu_j + eBx$)

$$P_x = \frac{1}{2m} \left(\frac{\partial X}{\partial x} \right)^2 + \frac{(P_y - eBx)^2}{2m} \Rightarrow X(x) = \int \sqrt{2mP_x - (P_y - eBx)^2} dx$$

$$p_x = \frac{\partial S}{\partial x} = \sqrt{2mP_x - (P_y - eBx)^2}$$

$$p_y = \frac{\partial S}{\partial y} = P_y$$

$$Q_x = \frac{\partial S}{\partial P_x} = -\frac{(2mP_x - (P_y - eBx)^2)^{1/2}}{eB} + y$$

$$Q_1 = \frac{\partial S}{\partial P_1} = \int \frac{mdx}{\sqrt{2mP_1 - (P_2 - eBx)^2}} - t$$

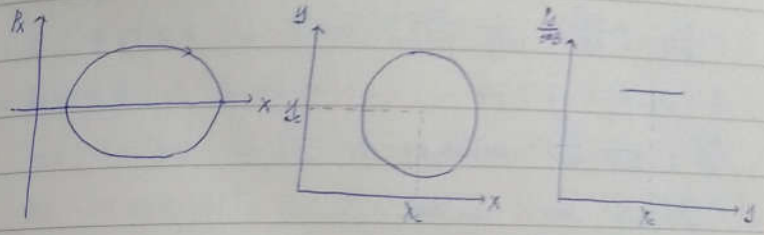
记 $w = \frac{eB}{m}$, $Q_1 = -t$, $Q_2 = y$, $2mP_1 = e^2 B^2 r^2$, $P_2 = eBx$

$$p_x = eB\sqrt{r^2 - (x-x_0)^2} \quad \frac{(x-x_0)^2}{r^2} + \frac{p_y^2}{e^2 B^2 r^2} = 1$$

$$p_y = eBx$$

$$y_0 = y - \sqrt{r^2 - (x-x_0)^2} \quad (x-x_0)^2 + (y-y_0)^2 = r^2$$

$$x = x_0 + r \sin w(t-t_0)$$



eg. 中心力问题

$$H = \frac{p_r^2}{2m} + \frac{p_\theta^2}{2mr^2} + U(r)$$

$$S = R(r) + \Theta(\theta) - Pt = R(r) + P_\theta \theta - Pt$$

$$Q_2 = \frac{\partial S}{\partial P_2} = -\int \frac{p_\theta dr}{r^2 \sqrt{2m(P_1 - U) - \frac{P_\theta^2}{r^2}}} + \theta = \theta$$

$$p_r = \frac{\partial S}{\partial r} = \sqrt{2m(P_1 - U) - \frac{P_\theta^2}{r^2}}$$

$$R = \int \sqrt{2m(P_1 - U) - \frac{P_\theta^2}{r^2}} dr$$

$$p_\theta = \frac{\partial S}{\partial \theta} = P_\theta = l$$

$$\frac{1}{2m} \left(\frac{\partial R}{\partial r} \right)^2 + \frac{P_\theta^2}{2mr^2} + U = P_1$$

若 $U = -\frac{\alpha}{r}$, 记 $p = \frac{P_\theta}{m\alpha}$, $\epsilon = \sqrt{1 + \frac{2EP_\theta^2}{m\alpha^2}}$

$$\theta - \theta_0 = \int \frac{p_\theta dr}{r^2 \sqrt{2mE - \frac{2\alpha}{r} - \frac{p_\theta^2}{r^2}}} = \frac{u - \frac{1}{r}}{\sqrt{\frac{2}{p} - u - \frac{1}{r^2}}} = \arccos \frac{u - \frac{1}{r}}{\epsilon/p}$$

$$\Rightarrow u - \frac{1}{r} = \frac{\epsilon}{p} \cos(\theta - \theta_0) \Rightarrow r = \frac{p}{1 + \epsilon \cos(\theta - \theta_0)}$$

$$P_r^2 = P_\theta^2 \left(\frac{1+\epsilon}{p} - \frac{1}{r} \right) \left(\frac{\epsilon-1}{p} + \frac{1}{r} \right)$$



$$P_r = P_0 \sqrt{\frac{E}{P} - \left(\frac{1}{r} - \frac{1}{P}\right)^2} \Rightarrow J_r = \frac{1}{2\pi} \oint P_r dr = -|P_0| \cdot \alpha \sqrt{\frac{m}{2E}}$$

球坐标

$$H = \frac{P_r^2}{2m} + \frac{1}{2mr^2} \left(P_\theta^2 + \frac{P_\phi^2}{\sin^2\theta} \right) + U(r, \theta, \phi)$$

$$S = R(r) + \Theta(\theta) + \Phi(\phi) - P_0 t$$

$$r^2 \left(\frac{\partial R}{\partial r} \right)^2 + \left[\left(\frac{\partial \Theta}{\partial \theta} \right)^2 + \frac{1}{\sin^2\theta} \left(\frac{\partial \Phi}{\partial \phi} \right)^2 \right] = 2mr^2 [P_0 - U(r, \theta, \phi)]$$

若 $r^2 U = A(r) + V(\theta, \phi)$

$$\left(\frac{\partial \Theta}{\partial \theta} \right)^2 + \frac{1}{\sin^2\theta} \left(\frac{\partial \Phi}{\partial \phi} \right)^2 + 2mV(\theta, \phi) = P_\theta^2$$

$$r^2 \left(\frac{\partial R}{\partial r} \right)^2 + P_r^2 = 2mr^2 P_r - 2mA(r)$$

$$\Rightarrow \sin^2\theta \left(\frac{\partial \Theta}{\partial \theta} \right)^2 + \left(\frac{\partial \Phi}{\partial \phi} \right)^2 + 2m\sin^2\theta V(\theta, \phi) = P_\theta^2 \sin^2\theta$$

若 $\sin^2\theta V(\theta, \phi) = B(\theta) + C(\phi)$

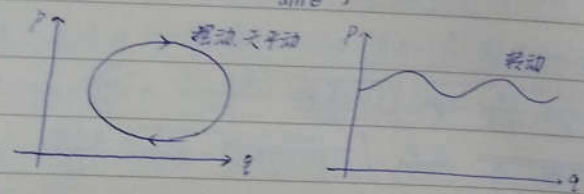
$$\left(\frac{\partial \Phi}{\partial \phi} \right)^2 + 2mC(\phi) = P_\phi^2$$

$$\sin^2\theta \left(\frac{\partial \Theta}{\partial \theta} \right)^2 + 2mB(\theta) + P_\theta^2 = P_\theta^2 \sin^2\theta$$

$$U = \frac{A(r)}{r^2} + \frac{V(\theta, \phi)}{r^2}$$

$$= \frac{A(r)}{r^2} + \frac{1}{r^2} \left[\frac{B(\theta)}{\sin^2\theta} + \frac{C(\phi)}{\sin^2\theta} \right]$$

$$= a(r) + \frac{1}{r^2} [b(\theta) + \frac{c(\phi)}{\sin^2\theta}]$$



作用变量用变量理论

$$J_r = \frac{1}{2\pi} \oint P_r dr = -|P_0| \cdot \alpha \sqrt{\frac{m}{2E}}$$

$$J_\phi = \frac{1}{2\pi} \oint P_\phi d\phi = P_\phi$$

用之作为新的广义动量，称作用变量，与之共轭广义坐标称角变量。

早期量子力学

H原子 $\frac{1}{\lambda} = R_H \left(\frac{1}{n_1^2} - \frac{1}{n_2^2} \right)$ $R_H = 10967758 \text{ m}^{-1}$ ($n_2 > n_1$)

电子应会向外辐射能量，半径减小，连续光谱，并落到原子上

一. Bohr 模型

1. 定态模型

2. 角动量量子化 $J_\phi = n\hbar$ ($n=1, 2, 3, \dots$)

$$m_0 c^2 = 0.511 \text{ MeV} \quad k = \frac{e^2}{4\pi\epsilon_0} = e^2 = 1.44 \text{ MeV fm}$$

$$\hbar c = 197 \text{ MeV fm} \quad \alpha = \frac{e^2}{\hbar c} = \frac{1}{137}$$

$$P_0 = m_e v = \sqrt{m_e e^2} = n\hbar \Rightarrow r_n = \frac{n\hbar}{m_e e^2} (\approx 0.529 \text{ n\AA})$$

$$\frac{e^2}{r} = m_e \frac{v^2}{r} \Rightarrow v = \sqrt{\frac{e^2}{m_e r}} = \frac{e^2}{n\hbar} = \frac{c}{n} \alpha$$

$$E_n = -\frac{e^2}{2r_n} = -\frac{1}{n^2} \frac{m_e e^4}{2\hbar^2} = -\frac{13.6}{n^2} \text{ (eV)}$$

3. 跃迁假设 $h\nu = h\frac{c}{\lambda} = E_{n_1} - E_{n_2} = \frac{m_e e^4}{2\hbar^2} \left(\frac{1}{n_2^2} - \frac{1}{n_1^2} \right)$

缺陷: (1) 仅能精确到 λ

(2) 仅适用氢原子和类氢原子

(3) 不能解释氢原子光谱的精细结构和超精细结构

二. Bohr-Sommerfeld

$$J_r = k\hbar \quad (k=1, 2, \dots) \quad \text{允许是椭圆}$$

$$J_\phi = P_\phi = L\hbar \quad (L=0, 1, 2, \dots)$$

$$n = k + l$$

$$-\frac{\partial S}{\partial t} = H(\vec{r}, \vec{p}, t) \quad \text{将S看成作用量}$$

$$\partial_t \rightarrow -i\hbar \partial_t \quad \nabla \rightarrow -i\hbar \nabla$$

$$i\hbar \frac{\partial}{\partial t} \psi = H(\vec{r}, -i\hbar \nabla, t) \psi$$

$$H = \frac{p^2}{2m} + U$$

$$i\hbar \frac{\partial \psi}{\partial t} = \left(\frac{-\hbar^2}{2m} \nabla^2 + U \right) \psi$$

$$H = \sum_i \frac{p_i - eA_i}{2m} + e\phi$$

$$i\hbar \frac{\partial \psi}{\partial t} = \left[\frac{(-i\hbar \nabla - e\vec{A})^2}{2m} + e\phi \right] \psi$$

CH5 刚体

§ 5.1 刚体运动学概述

一. 定点运动 — 转动 $\vec{v} = \vec{\omega} \times \vec{r}$

1. 任一点 $X \rightarrow \vec{x} \quad \frac{d|\vec{x}|}{dt} = 2\vec{x} \cdot \vec{x} = 0 \Rightarrow \vec{x} \perp \vec{x}$ 或 $\vec{x} = 0$

2. 取平面. 包含 O, X . 法向 \vec{x}

在面内任取 $Y \rightarrow \vec{y} \Rightarrow \vec{y} \parallel \vec{x}$

$$0 = \frac{1}{2} \frac{d(\vec{x} \cdot \vec{y})}{dt} = (\vec{x} - \vec{y}) \cdot (\vec{x} - \vec{y}) = -\vec{x} \cdot \vec{y}$$

$$0 = \vec{y} \cdot \vec{y}$$

3. 在面内任取三个共线点 $X \rightarrow \vec{x}, Y \rightarrow \vec{y}, Z \rightarrow \vec{z}$

$$\vec{y} - \vec{x} = \beta(\vec{z} - \vec{x}) \Rightarrow \vec{y} - \vec{x} = \beta(\lambda\vec{z} - \vec{x})$$

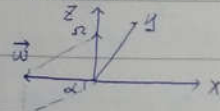
$$\text{若 } \vec{z} = \vec{x}, \text{ 令 } \vec{y} = 0 \Rightarrow \vec{x} = \beta(\lambda\vec{x} - \vec{x})$$

eg. 纯滚动 $OD: \vec{\omega} = \Omega \hat{z}$

$$\vec{\omega} = -\omega \hat{x}$$

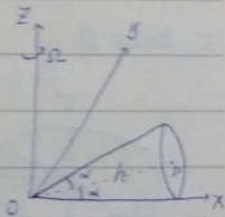
$$1' \quad \omega h \sin \alpha = \Omega r \cos \alpha \Rightarrow \vec{\omega} = -\Omega \cot \alpha \hat{x}$$

2' 刚体运动是 $\vec{\omega}$ 与绕刚体转动的合成



$$\vec{\omega} = -\Omega \cot \alpha \hat{x}$$

$$\vec{\beta} = \frac{d\vec{\omega}}{dt} = \vec{\Omega} \times \vec{\omega} = -\Omega^2 \cot \alpha \hat{y}$$

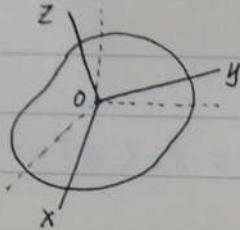


二. 一般运动

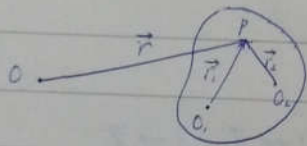
1. 空间坐标系 (space system)

本体坐标系 (body system)

2. 一般 $S=6$



3. 平移与参考点有关, 转动 $\vec{\omega}_1 = \vec{\omega}_2$

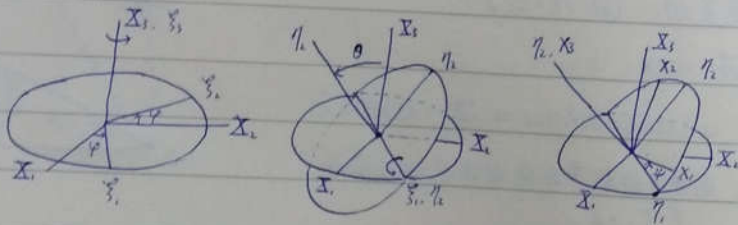


$$\vec{V} = \frac{d\vec{r}}{dt} = \begin{cases} \vec{V}_1 + \vec{\omega}_1 \times \vec{r}_1 \\ \vec{V}_2 + \vec{\omega}_2 \times \vec{r}_2 \\ \vec{V}_1 + \vec{\omega}_1 \times (\vec{r}_1 - \vec{r}_2) \end{cases}$$

$$\vec{V}_1 + \vec{\omega}_1 \times \vec{r}_1 = \vec{V}_2 + \vec{\omega}_2 \times \vec{r}_2 + (\vec{\omega}_1 - \vec{\omega}_2) \times \vec{r}_2$$

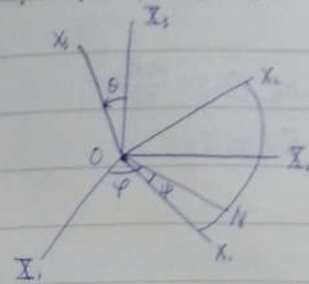
$$\Rightarrow 0 = (\vec{\omega}_1 - \vec{\omega}_2) \times \vec{r}_2$$

三. Euler 角



$$\lambda_\varphi = \begin{pmatrix} \cos\varphi & \sin\varphi & 0 \\ -\sin\varphi & \cos\varphi & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \lambda_\theta = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & \sin\theta \\ 0 & -\sin\theta & \cos\theta \end{pmatrix} \quad \lambda_\psi = \begin{pmatrix} \cos\psi & \sin\psi & 0 \\ -\sin\psi & \cos\psi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix} X_1 \\ X_2 \\ X_3 \end{pmatrix} \xrightarrow[\text{进动}]{\hat{X}_3, \varphi} \lambda_\varphi \begin{pmatrix} X_1 \\ X_2 \\ X_3 \end{pmatrix} \xrightarrow[\text{章动}]{\hat{O}N, \theta} \lambda_\theta \lambda_\varphi \begin{pmatrix} X_1 \\ X_2 \\ X_3 \end{pmatrix} \xrightarrow[\text{自转}]{\hat{X}_3, \psi} \lambda_\psi \lambda_\theta \lambda_\varphi \begin{pmatrix} X_1 \\ X_2 \\ X_3 \end{pmatrix}$$



给定 Euler 角, 可以唯一确定刚体位置

给定刚体位置, 未必能唯一确定 Euler 角

四. Euler 运动学方程

$$\vec{\omega} = \dot{\varphi} \hat{X}_3 + \dot{\theta} \hat{O}N + \dot{\psi} \hat{X}_3$$

$$\omega^2 = \dot{\varphi}^2 + \dot{\theta}^2 + \dot{\psi}^2 + 2\dot{\varphi}\dot{\psi}\cos\theta$$

$$\vec{\omega} = \omega_i \hat{x}_i = \Omega_i \hat{X}_i$$

$$d\vec{\theta} = \hat{n}d\theta = \hat{X}_3 d\varphi + \hat{O}N d\theta + \hat{X}_3 d\psi$$

$$\hat{X}_3 = \hat{x}_1 \sin\theta \sin\psi + \hat{x}_2 \sin\theta \cos\psi + \hat{x}_3 \cos\theta$$

$$\hat{O}N = \hat{x}_1 \cos\psi - \hat{x}_2 \sin\psi$$

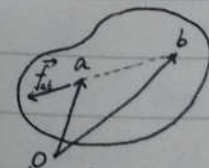
$$\hat{X}_3 = \hat{x}_3$$

$$\omega_1 = \dot{\varphi} \sin\theta \sin\psi + \dot{\theta} \cos\psi$$

$$\omega_2 = \dot{\varphi} \sin\theta \cos\psi - \dot{\theta} \sin\psi$$

$$\omega_3 = \dot{\varphi} \cos\theta + \dot{\psi}$$

§ 5.2 刚体动力学概述



$$\begin{aligned} \vec{F}_a &= \vec{F}_a^* + \sum_{b \neq a} \vec{f}_{ab} \\ &= m_a \vec{r}_a = \frac{d\vec{p}_a}{dt} \end{aligned}$$

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一. 质点组整体定理

$$\vec{F}^* = \sum \vec{F}_a^* = \frac{d\vec{P}}{dt} = m\vec{v}_c \quad (m = \sum m_a, \vec{v}_c = \sum \frac{m_a \vec{v}_a}{m})$$

$$\vec{c}^* = \sum (\vec{r}_a \times \vec{F}_a^*) = \frac{d\vec{L}}{dt} \quad (\vec{L} = \sum \vec{r}_a \times m_a \vec{v}_a)$$

$$P = \sum \vec{F}_a \cdot \vec{v}_a = \frac{dT}{dt} \quad (T = \sum \frac{1}{2} m_a v_a^2)$$

$$\vec{f}_{ab} \cdot \vec{v}_a - \vec{f}_{ba} \cdot \vec{v}_b$$

$$= \vec{f}_{ab} \cdot \vec{v}_{ab} \times \frac{d}{dt} (\frac{1}{2} r_{ab}^2)$$

二. 质心系 $\left\{ \begin{array}{l} \text{原点位于质心} \\ \text{随质心平移} \end{array} \right.$

$$\vec{F} = \vec{F}_c + \vec{F}^* = \vec{F}^* + 0$$

$$\vec{c} = \vec{c}_c + \vec{c}^* = \vec{r}_c \times \vec{F}^* + \sum \vec{r}_a^* \times \vec{F}_a^*$$

$$P = P_c + P^* = \vec{F}^* \cdot \vec{v}_c + \sum \vec{F}_a^* \cdot \vec{v}_a^*$$

$$\vec{p} = \vec{p}_c + \vec{p}^* = m\vec{v}_c + 0$$

$$\vec{L} = \vec{L}_c + \vec{L}^* = \vec{r}_c \times m\vec{v}_c + \sum \vec{r}_a^* \times m_a \vec{v}_a^*$$

$$T = T_c + T^* = \frac{1}{2} m v_c^2 + \sum \frac{1}{2} m_a v_a^{*2}$$

$$\Rightarrow P^* = 0, \vec{c}^* = \frac{d\vec{L}^*}{dt}, P^* = \frac{dT^*}{dt}$$

三. 刚体动力学

1. 一般运动 $\left\{ \begin{array}{l} \text{平移 } \vec{F}^* = m\vec{r}_c \\ \text{转动 } \vec{c}^* = \frac{d\vec{L}^*}{dt} \end{array} \right.$

$$P^* = \sum \vec{F}_a^* \cdot \vec{v}_a^* = \sum \vec{F}_a^* \cdot (\vec{\omega} \times \vec{r}_a^*)$$

$$= \vec{\omega} \cdot \sum (\vec{r}_a^* \times \vec{F}_a^*) = \vec{c}^* \cdot \vec{\omega}$$

$$= \vec{\omega} \cdot \frac{d\vec{L}^*}{dt} = \vec{\omega} \cdot \frac{d(\sum \vec{r}_a^* \times m_a \vec{v}_a^*)}{dt} = \vec{\omega} \cdot \sum [\vec{r}_a^* \times m_a \vec{a}_a^*]$$

$$= \sum m_a (\vec{\omega} \times \vec{r}_a^*) \cdot \frac{d\vec{v}_a^*}{dt} = \sum m_a \vec{v}_a^* \cdot \frac{d\vec{v}_a^*}{dt} = \frac{dT^*}{dt}$$

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2. 定点转动 $\vec{c} = \frac{d\vec{L}}{dt} \Rightarrow \vec{c}(t)$

解除约束, 可求约束力

3. 定轴转动 $\tau_n = \frac{dL_n}{dt}$

§5.3 定点转动刚体的角动量与动能

一. 角动量

$$\vec{L} = \int (\vec{r} \times \vec{v}) dm = \int [\vec{r} \times (\vec{\omega} \times \vec{r})] dm = \int (r^2 \vec{\omega} - \vec{r}(\vec{r} \cdot \vec{\omega})) dm$$

$$= \int [r^2 \vec{I} - \vec{r} \vec{r}] dm \cdot \vec{\omega} = \vec{J} \cdot \vec{\omega}$$

角动量 $\vec{L} = \vec{J} \cdot \vec{\omega} \quad L_i = J_{ij} \omega_j$

$$T = \frac{1}{2} \int v^2 dm = \frac{1}{2} \int (\vec{\omega} \times \vec{r}) \cdot \vec{v} dm = \frac{1}{2} \vec{\omega} \cdot \int (\vec{r} \times \vec{v}) dm = \frac{1}{2} \vec{\omega} \cdot \vec{L}$$

动能 $T = \frac{1}{2} \vec{\omega} \cdot \vec{L} = \frac{1}{2} \vec{\omega} \cdot \vec{J} \cdot \vec{\omega} = \frac{1}{2} J_{ij} \omega_i \omega_j$

二. 绕O点的惯量张量 (Inertia Tensor)

$$\vec{J} \equiv \int (r^2 \vec{I} - \vec{r} \vec{r}) dm = J_{ij} \hat{x}_i \hat{x}_j$$

$$J_{ij} = \hat{x}_i \cdot \vec{J} \cdot \hat{x}_j = \int (r^2 \delta_{ij} - x_i x_j) dm$$

$$J_{ii} = \int (x_i^2 + x_j^2) dm \quad \text{绕 } \hat{x}_i \text{ 轴转动惯量}$$

$$J_{ij} = -\int x_i x_j dm \quad \text{惯量积}$$

eg. $\vec{J} = (m_1 r_1^2 + m_2 r_2^2) \vec{I} - (m_1 \vec{r}_1 \vec{r}_1 + m_2 \vec{r}_2 \vec{r}_2)$

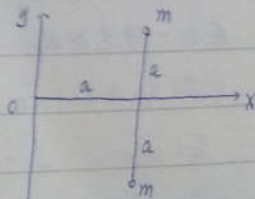
$$r_1^2 = r_2^2 = 2a^2$$

$$\vec{r}_1 = a(\hat{x} + \hat{y}) \Rightarrow \vec{r}_1 \vec{r}_1 = a^2(\hat{x}\hat{x} + \hat{y}\hat{y} + \hat{x}\hat{y} + \hat{y}\hat{x})$$

$$\vec{r}_2 = a(\hat{x} - \hat{y}) \Rightarrow \vec{r}_2 \vec{r}_2 = a^2(\hat{x}\hat{x} + \hat{y}\hat{y} - \hat{x}\hat{y} - \hat{y}\hat{x})$$

$$\vec{J} = 4ma^2 \vec{I} - 2ma^2(\hat{x}\hat{x} + \hat{y}\hat{y})$$

$$\vec{J} = 2ma^2(\hat{x}\hat{x} + \hat{y}\hat{y} + 2\hat{z}\hat{z})$$

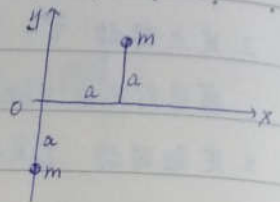


eg $r_i^2 = 2a^2$ $r_i^2 = a^2$

$$\vec{r}_i = a(\hat{x} + \hat{y}) \Rightarrow \begin{cases} \vec{r}_i \cdot \vec{r}_i = a^2(\hat{x}\hat{x} + \hat{y}\hat{y} + \hat{x}\hat{y} + \hat{y}\hat{x}) \\ \vec{r}_i = -a\hat{y} \end{cases} \Rightarrow \begin{cases} \vec{r}_i \cdot \vec{r}_i = a^2\hat{y}\hat{y} \\ \vec{r}_i \cdot \vec{r}_i = a^2\hat{y}\hat{y} \end{cases}$$

$$\vec{J} = 3ma^2\vec{I} - ma^2(\hat{x}\hat{x} + 2\hat{y}\hat{y} + \hat{x}\hat{y} + \hat{y}\hat{x})$$

$$= ma^2 \begin{pmatrix} 2 & -1 & 0 \\ -1 & 1 & 0 \\ 0 & 0 & 3 \end{pmatrix}$$



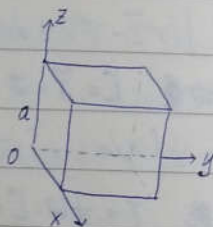
eg $J_{11} = J_{xx} = \rho \int_0^a dx \int_0^a dy \int_0^a dz (y^2 + z^2)$

$$= \frac{2}{3}\rho a^3 = \frac{2}{3}ma^2 = J_{22} = J_{33}$$

$$J_{22} = J_{yy} = -\rho \int_0^a dx \int_0^a dy \int_0^a dz (xy)$$

$$= -\rho \frac{a^2}{2} \cdot \frac{a}{2} \cdot a = -\frac{1}{4}ma^2 = J_{33} = J_{31}$$

$$\vec{J} = \frac{1}{4}ma^2 \begin{pmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{pmatrix} \quad \alpha = \frac{8}{3}$$



绕 (1, 1, 1) 转动时 $\vec{L} \parallel \vec{\omega}$

三. 惯量张量的物理含义

在任一特定时刻, \vec{J} 由 O 点决定, J_{ij} 由 O 点及轴取向决定

1. $\vec{\omega} = \hat{x}_j \Rightarrow L_i = J_{ij}$

$$\vec{\omega} = \hat{x}_j \Rightarrow \vec{L} = \vec{J} \cdot \hat{x}_j \Rightarrow L_i = \hat{x}_i \cdot \vec{L} = \hat{x}_i \cdot \vec{J} \cdot \hat{x}_j = J_{ij}$$

2. $\vec{\omega} = \omega \hat{n} \Rightarrow L_n = J_n \omega, T = \frac{1}{2} J_n \omega^2, J_n = \hat{n} \cdot \vec{J} \cdot \hat{n} = \int [\hat{n} \times \hat{r}]^2 dm$

$$L_n = \hat{n} \cdot \vec{L} = \hat{n} \cdot \vec{J} \cdot \vec{\omega} = \omega \hat{n} \cdot \vec{J} \cdot \hat{n}$$

$$\hookrightarrow \int [(\hat{r} \cdot \hat{n})^2 - \hat{r}^2] dm$$

$$T = \frac{1}{2} \vec{\omega} \cdot \vec{J} \cdot \vec{\omega} = \frac{1}{2} \vec{\omega} \cdot \vec{J} \cdot \vec{\omega} = \frac{1}{2} J_n \omega^2$$

3. $\vec{J} = \vec{J}_c + \vec{J}^* \quad \vec{J}_c = m(r_c^2 \vec{I} - \vec{r}_c \vec{r}_c)$

$$J_{ij} = J_{ij}^* + m(r_c^2 \delta_{ij} - x_{ci} x_{cj})$$

$$J_n = J_n^* + m d_c^2$$

$$\vec{L} = \vec{L}_c + \vec{L}^* \quad T = T_c + T^*$$

$$\vec{J} \cdot \vec{\omega} = \vec{J}_c \cdot \vec{\omega} + \vec{J}^* \cdot \vec{\omega} \quad \frac{1}{2} \vec{\omega} \cdot \vec{\omega} = \frac{1}{2} m \omega^2 d_c^2 + \frac{1}{2} \vec{\omega}^* \cdot \vec{\omega}^*$$

eg 立方体

$$\vec{r}_c = \frac{a}{2}(\hat{x} + \hat{y} + \hat{z}) \Rightarrow (\vec{J}_c)_{ij} = \frac{1}{4}ma^2(3\delta_{ij} - 1), \quad \vec{J}_c = \frac{1}{4}ma^2 \begin{pmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{pmatrix}$$

$$r_c^2 = \frac{3}{4}a^2$$

$$x_{ci} x_{cj} = \frac{1}{4}a^2$$

$$\Rightarrow \vec{J}_c^* = \frac{1}{8}ma^2 \vec{I}$$

与均质球表现完全相同的对称性

4. $J_{11} + J_{22} = \int (x_1^2 + x_2^2 + 2x_3^2) dm \geq J_{33}$

若 $x_3 \equiv 0$ (平面刚体), 则 $J_{11} + J_{22} = J_{33}$

$$J_{11} = \int (x_2^2 + x_3^2) dm \geq 2|J_{23}|$$

四. 随时间的演化

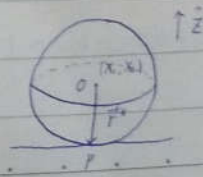
本体系 $(\frac{d\vec{J}}{dt})_{body} = 0 \quad \frac{dJ_{ij}}{dt} = \left[\frac{d(x_i \cdot \vec{J} \cdot x_j)}{dt} \right]_{body} = 0$

空间系 $(\frac{d\vec{J}}{dt})_{space} = -\int (\vec{r} \vec{v} + \vec{v} \vec{r}) dm \neq 0$

$\vec{\omega}, \omega_i, \Omega_i$ 与 t 有关

eg. 水平面纯滚动球 m, R, $\vec{J}^* = 2mR^2 \vec{I}$

$$\begin{cases} \vec{F} = m\vec{a} & F_x = m\dot{x}, F_z = m\dot{z} \\ \vec{\tau} = \frac{d\vec{L}^*}{dt} \end{cases}$$



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$$\vec{L}^* = \vec{J}^* \vec{\omega} = \alpha m R^2 \vec{\omega}$$

$$\vec{\tau}^* = \vec{r}^* \times \vec{F} = -R \hat{x}_3 \times (F_1 \hat{x}_1 + F_2 \hat{x}_2)$$

$$\Rightarrow \begin{cases} F_1 = m \ddot{x}_1 \\ F_2 = m \ddot{x}_2 \\ \tau_1 = R F_2 = \alpha m R^2 \dot{\omega}_1 \\ \tau_2 = -R F_1 = \alpha m R^2 \dot{\omega}_2 \\ 0 = \alpha m R \dot{\omega}_3 \end{cases}$$

$$\begin{aligned} \vec{v}_p &= \vec{v}_c^* + \vec{\omega} \times \vec{r}^* \\ &= (\dot{x}_1 \hat{x}_1 + \dot{x}_2 \hat{x}_2) - (\omega_1 \hat{x}_1 + \omega_2 \hat{x}_2 + \omega_3 \hat{x}_3) \times R \hat{x}_3 \\ &= (\dot{x}_1 - \omega_2 R) \hat{x}_1 + (\dot{x}_2 + \omega_1 R) \hat{x}_2 \end{aligned}$$

$$\vec{v}_p = 0 \Rightarrow \begin{cases} \dot{x}_1 = \omega_2 R \\ \dot{x}_2 = -\omega_1 R \end{cases}$$

$$\Rightarrow \begin{cases} F_1 = 0 = \ddot{x}_1 = \dot{\omega}_2 \\ F_2 = 0 = \ddot{x}_2 = -\dot{\omega}_1 \\ \dot{\omega}_3 = 0 \end{cases}$$

五. 主轴系

主轴 \hat{n} : 若 $\vec{\omega} = \omega \hat{n}$, 则 $\vec{L} = \vec{J} \cdot \vec{\omega} \parallel \vec{\omega}$

$JY = bY$ 久期方程 $\det(J - bI) = 0 \Rightarrow b = J_1, J_2, J_3$ 主转动惯量
本征矢方程 $(J - J_i I)Y = 0 \Rightarrow Y = \hat{x}_i$

eg. $0 = \det(J - bI) \propto \begin{vmatrix} \alpha - k & -1 & -1 \\ -1 & \alpha - k & -1 \\ -1 & -1 & \alpha - k \end{vmatrix} = (\alpha - k)^3 - 3(\alpha - k) - 2$
 $= (\alpha - k + 1)^2 (\alpha - k - 2)$

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$$\alpha - k = -1 \Rightarrow \alpha - k = 2 \quad b = \frac{1}{2} m \alpha R^2$$

$$k_1 = k_2 = \alpha - 1 = \frac{1}{2} \quad k_3 = \alpha - 2 = \frac{1}{2}$$

$$J_1 = J_2 = \frac{1}{2} m \alpha R^2 \quad J_3 = \frac{1}{2} m \alpha R^2$$

$$\hat{x}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \quad \hat{x}_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix} \quad \hat{x}_3 = \hat{x}_1 \times \hat{x}_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \\ -2 \end{pmatrix}$$

1. 主轴系下, $L_1 = J_1 \omega_1, L_2 = J_2 \omega_2, L_3 = J_3 \omega_3$

$$T = \frac{1}{2} J_i \omega_i^2 = \frac{1}{2} J_1 \omega_1^2 + \frac{1}{2} J_2 \omega_2^2 + \frac{1}{2} J_3 \omega_3^2$$

$$J_n = \hat{n} \cdot \vec{J} \cdot \hat{n} = J_1 n_1^2 + J_2 n_2^2 + J_3 n_3^2$$

2. 定点转动刚体分类

J_1, J_2, J_3 各不相同 不对称陀螺

$J_1 = J_2 \neq J_3$ 对称陀螺

$J_1 = J_2 = J_3$ 球形陀螺

3. 若 x_3 轴为 n 次 ($n \geq 2$), 则 x_3 轴为主轴

而若 $n \geq 3$, 与 x_3 轴垂直的任一轴均为主轴 ($J_1 = J_2$)

证明: $x_i = \lambda_j x_j \Rightarrow J = \lambda J \lambda^{-1}$

$$\lambda = \begin{pmatrix} \cos \theta_n & \sin \theta_n & 0 \\ -\sin \theta_n & \cos \theta_n & 0 \\ 0 & 0 & 1 \end{pmatrix} \Rightarrow J = \lambda J \lambda^{-1} \quad (\theta_n = \frac{\pi}{n})$$

$$J_{ij} = \lambda_{ik} \lambda_{jl} J_{kl}$$

$$(ij) = (12) \quad (1-c) J_{11} - s J_{22} = 0 \quad \text{①}$$

$$(ij) = (23) \quad s J_{11} + (1-c) J_{33} = 0 \quad \text{②}$$

$$(ij) = (11) \quad 2cs J_{11} - s^2 (J_{11} - J_{22}) = 0 \quad \text{③}$$

$$(3) - (2) \quad 2s^2 I_2 + c s (J_2 - I_2) = 0$$

$$\text{Case 1} \quad \begin{vmatrix} 1-c & s \\ s & 1-c \end{vmatrix} = 2(1-c) > 0$$

$$\Rightarrow J_2 - I_2 = 0$$

Case 2

$$\text{Case 3} \quad \begin{vmatrix} 2c & -s \\ 2s & c \end{vmatrix} = 2(c^2 - s^2) = 2 > 0$$

$$\Rightarrow I_2 = 0, I_3 = I_1$$

4. 若 $I_2 = 0$ 为刚体质量分布的对称平面, 则 x_3 轴为主轴

六. 三轴转动惯量椭圆

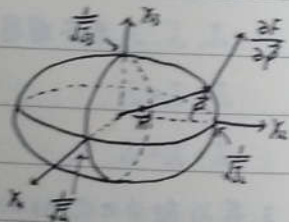
$$\vec{p} = \frac{\vec{h}}{\omega} \Rightarrow 1 = J_1 p_1^2 + J_2 p_2^2 + J_3 p_3^2$$

1. $J_1 = \frac{1}{p_1^2}$

2. $F(\vec{p}) = \vec{p} \cdot \vec{J} \cdot \vec{p} = J_1 p_1^2$

椭圆 $F(\vec{p}) = 1$

法向 $\frac{\partial F}{\partial \vec{p}} = 2\vec{J} \cdot \vec{p}$



3. 动力学: 椭圆在本体系不动

$$\vec{h} = \frac{\vec{\omega}}{\omega} \Rightarrow \text{法向 } \frac{\partial F}{\partial \vec{p}} = \sqrt{\frac{J_1}{J_2}} \vec{L}$$

$$\vec{p} = \frac{\vec{\omega}}{\omega} \quad \left. \begin{array}{l} \text{距离 } h = \vec{p} \cdot \frac{\vec{L}}{L} = \frac{\sqrt{J_1}}{L} \end{array} \right\}$$

§5.4 定点转动动力学

$$\vec{\tau} = \left(\frac{d\vec{L}}{dt} \right)_{\text{space}}$$

外力矩(定点之外)

一. 角动量定理的分量方程

1. 空间系

$$\dot{\vec{L}} = \sum_i \left(\frac{d\vec{L}_i}{dt} \right)_{\text{space}} = \left(\frac{d\vec{L}_i}{dt} \right)_{\text{space}} - \left(\frac{d\vec{L}_i}{dt} \right)_{\text{body}}$$

$$\tau_i = J_{ij} \dot{\omega}_j + J_{ij} \omega_j$$

2. 本体系

$$\dot{\vec{L}} = \sum_i \left(\frac{d\vec{L}_i}{dt} \right)_{\text{space}} = \left(\frac{d\vec{L}_i}{dt} \right)_{\text{space}} - \left(\frac{d\vec{L}_i}{dt} \right)_{\text{space}} + \vec{\omega} \times \vec{L}$$

$$\tau_i = J_{ij} \dot{\omega}_j + (\vec{\omega} \times \vec{L})_i \quad \vec{\tau} = \left(\frac{d\vec{L}}{dt} \right)_{\text{body}} + \vec{\omega} \times \vec{L}$$

3. 转动系

$$\vec{\tau} = \left(\frac{d\vec{L}}{dt} \right)_{\text{rot}} + \vec{\omega}_{\text{rot}} \times \vec{L}$$

二. Euler 动力学方程

$$\vec{\tau} = \left(\frac{d\vec{L}}{dt} \right)_{\text{body}} + \vec{\omega} \times \vec{L}$$

$$\vec{\tau} - \vec{\tau} = \left(\frac{d\vec{L}}{dt} \right)_{\text{body}} = 0$$

$$\begin{aligned} \vec{\tau} &= \int \vec{r} \times [-\vec{\omega} \times (\vec{\omega} \times \vec{r}) - \vec{\omega} \times \vec{r}] dm \quad \text{惯性力} \\ &= \int [\vec{\omega} \cdot \vec{r} (\vec{\omega} \times \vec{r}) - \vec{r} \omega^2] dm - \int \vec{r} \times (d\vec{\omega} \times \vec{r}) dm \\ &= \vec{\omega} \times [(\vec{\omega} \cdot \vec{r}) \vec{r} - r^2 \vec{\omega}] dm - \left[\frac{d}{dt} \int \vec{r} \times (\vec{\omega} \times \vec{r}) dm \right]_{\text{body}} \end{aligned}$$

$$\text{主轴系} \quad \begin{cases} \tau_1 = J_1 \dot{\omega}_1 - (J_2 - J_3) \omega_2 \omega_3 \\ \tau_2 = J_2 \dot{\omega}_2 - (J_3 - J_1) \omega_3 \omega_1 \\ \tau_3 = J_3 \dot{\omega}_3 - (J_1 - J_2) \omega_1 \omega_2 \end{cases}$$

1. 关于 ω_i 的一阶非线性方程

2. $\omega_i(t) \Rightarrow \tau_i$

$$\omega_1 = \omega_2 = 0 \quad \tau_1 = \tau_2 = 0 \quad \tau_3 = J_3 \dot{\omega}_3$$

$$J_1 = J_2 = J_3 \quad \tau_1 = \dots \quad \tau_2 = \dots \quad \tau_3 = J_3 \dot{\omega}_3$$

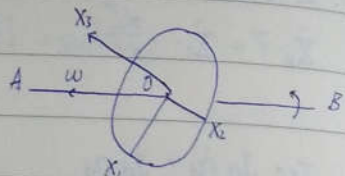
eg. $\omega_1 = \omega \sin \theta, \omega_2 = \omega \cos \theta, \omega_3 = 0$

$$J_3 = \frac{1}{2} m R^2, J_1 = J_2 = \frac{1}{4} J_3 = \frac{1}{4} m R^2$$

$$\tau_1 = \tau_2 = 0, \tau_3 = -\frac{1}{4} m R^2 \omega^2 \sin \theta \cos \theta$$

$$L = 1m, m = 100kg, R = 1m, \theta = 1^\circ, \omega = 100r/s$$

$$\tau_3 = LF \Rightarrow F = 1.7 \times 10^5 N = 170 \text{ mg}$$



§ 5.5 Euler 陀螺 —— 无外力矩作用, 定点

$$\begin{cases} J_1 \dot{\omega}_1 = (J_2 - J_3) \omega_2 \omega_3 & \vec{c} = 0 \Rightarrow \vec{L} \text{ 守恒} \\ J_2 \dot{\omega}_2 = (J_3 - J_1) \omega_3 \omega_1 & \vec{c} \cdot \vec{\omega} = 0 \Rightarrow T \text{ 守恒} \\ J_3 \dot{\omega}_3 = (J_1 - J_2) \omega_1 \omega_2 \end{cases}$$

一. 对称 Euler 陀螺

$$\begin{cases} \dot{\omega}_1 = \Omega \omega_2 \Rightarrow \omega_1 = \omega_L \sin(\Omega t + \alpha) \\ \dot{\omega}_2 = -\Omega \omega_1 \Rightarrow \omega_2 = \omega_L \cos(\Omega t + \alpha) \\ \omega_3 = \text{常数} \quad \Omega = \frac{J_1 - J_2}{J_3} \omega_3 \end{cases}$$

1. ω_3 和 ω_L 以及 $L_3 = J_3 \omega_3$ 和 $L_L = J_L \omega_L$ 不变

2. Euler 角

$$\begin{cases} \omega_1 = \dot{\psi} \sin \theta \sin \varphi + \dot{\theta} \cos \varphi \Rightarrow \dot{\psi} = \frac{\omega_1 \sin \varphi + \omega_2 \cos \varphi}{\sin \theta} = \frac{\omega_L}{\sin \theta} \cos(\Omega t + \alpha - \varphi) \\ \omega_2 = \dot{\psi} \sin \theta \cos \varphi - \dot{\theta} \sin \varphi \Rightarrow \dot{\theta} = \omega_1 \cos \varphi - \omega_2 \sin \varphi = \omega_L \sin(\Omega t + \alpha - \varphi) \\ \omega_3 = \dot{\psi} \cos \theta + \dot{\varphi} \Rightarrow \dot{\varphi} = \omega_3 - \dot{\psi} \cos \theta \end{cases}$$

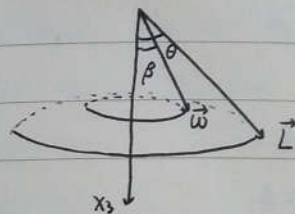
取 X_3 轴沿 \vec{L} 方向

$$\theta = \text{const} \Rightarrow \tan \theta = \frac{L_1}{L_3} = \frac{J_1 \omega_L}{J_3 \omega_3}$$

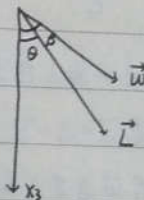
$$\psi = \Omega t + \alpha \Rightarrow \dot{\psi} = \Omega \Rightarrow \dot{\varphi} = \frac{\omega_L}{\sin \theta} = \frac{J_3 \omega_3}{J_1 \cos \theta}$$

3. 本体系

$$\tan \beta = \frac{\omega_2}{\omega_3}$$

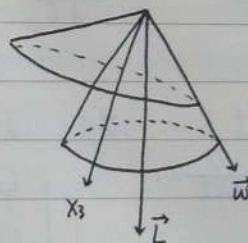
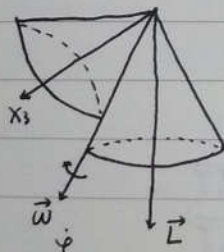


$J_1 > J_3$



$J_1 < J_3$

4. 空间系



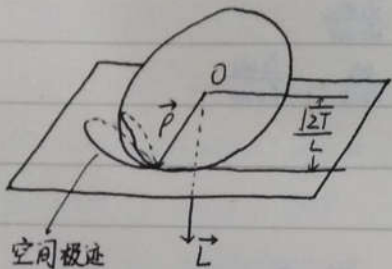
二. 潘索 (Poincaré) 方法

$$\begin{cases} \frac{1}{2} J_1 \omega_1^2 + \frac{1}{2} J_2 \omega_2^2 + \frac{1}{2} J_3 \omega_3^2 = T \Rightarrow F(\vec{p}) = J_1 p_1^2 + J_2 p_2^2 + J_3 p_3^2 = 1 \\ J_1^2 \omega_1^2 + J_2^2 \omega_2^2 + J_3^2 \omega_3^2 = L^2 \Rightarrow J_1^2 p_1^2 + J_2^2 p_2^2 + J_3^2 p_3^2 = \frac{L^2}{2T} \end{cases}$$

本体坐标系中, 这两个椭球不动的, \vec{p} 在其交线上运动

$$\begin{cases} \frac{\partial F}{\partial \vec{p}} = 2\vec{J} \cdot \vec{p} = \sqrt{\frac{2}{T}} \vec{L} \quad \text{法向为角动量方向} \\ \vec{p} \cdot \vec{L} = \frac{\sqrt{2T}}{L} \quad \text{平面到O点距离不变} \end{cases} \quad \text{切平面不变}$$

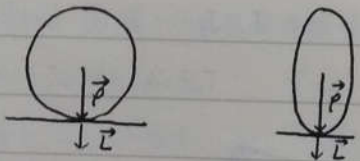
转动瞬轴上点不动 \Rightarrow 接触点速度为0



1. 椭圆在不变平面上能滚动

2. 特别地, 若 $J_1 = J_2 = J_3$, 则 $\vec{\omega}, \vec{p}$ 不变

若 $\vec{\omega}$ 沿某主轴, 则 $\vec{\omega}, \vec{p}$ 不变



本体坐标系下

$$\begin{cases} L_1^2 + L_2^2 + L_3^2 = L^2 \\ \frac{L_1^2}{2J_1 T} + \frac{L_2^2}{2J_2 T} + \frac{L_3^2}{2J_3 T} = 1 \end{cases}$$

要求 $(J_1 > J_2 > J_3)$

$$\begin{cases} L_{\max} = \sqrt{2J_1 T} \\ L_{\text{mid}} = \sqrt{2J_2 T} \\ L_{\min} = \sqrt{2J_3 T} \end{cases}$$

三. 稳定性

考察极短的时间, $\alpha_1, \alpha_2, \alpha_3$ 为小量

$$\begin{cases} \omega_1 = \omega_0 + \alpha_1 \Rightarrow \begin{cases} J_1 \dot{\alpha}_1 = (J_2 - J_3) \alpha_2 \alpha_3 \sim 0 \\ J_2 \dot{\alpha}_2 = -(J_1 - J_3) (\omega_0 + \alpha_1) \alpha_3 \\ J_3 \dot{\alpha}_3 = (J_1 - J_2) (\omega_0 + \alpha_1) \alpha_2 \end{cases} \\ \omega_2 = \alpha_2 \\ \omega_3 = \alpha_3 \end{cases}$$

$$\Rightarrow \ddot{\alpha}_2 = -\frac{J_1 - J_3}{J_3} \frac{J_1 - J_3}{J_2} \omega_0^2 \alpha_2 = -\Omega^2 \alpha_2$$

$$\begin{cases} \omega_1 = \alpha_1 \\ \omega_2 = \omega_0 + \alpha_2 \\ \omega_3 = \alpha_3 \end{cases} \Rightarrow \begin{cases} J_1 \dot{\alpha}_1 = (J_2 - J_3) (\omega_0 + \alpha_2) \alpha_3 \\ J_2 \dot{\alpha}_2 = -(J_1 - J_3) \alpha_1 \alpha_3 \sim 0 \\ J_3 \dot{\alpha}_3 = (J_1 - J_2) (\omega_0 + \alpha_2) \alpha_1 \end{cases}$$

两方程前系数均为正, 不满足商诺条件

特殊地, 对对称陀螺 ($J_1 = J_2 > J_3$)

$$\begin{cases} \omega_1 = \omega_0 + \alpha_1 \\ \omega_2 = \alpha_2 \\ \omega_3 = \alpha_3 \end{cases} \Rightarrow \begin{cases} J_1 \dot{\alpha}_1 = (J_1 - J_3) \alpha_3 \alpha_2 \\ J_1 \dot{\alpha}_2 = -(J_1 - J_3) (\omega_0 + \alpha_1) \alpha_3 \\ \dot{\alpha}_3 = 0 \end{cases}$$

§ 5.6 Lagrange 陀螺 — 重力矩作用下的定点转动对称陀螺

— Euler 动力学方程

$$\vec{\tau} = (L \hat{x}_3 \times (-mg \hat{x}_3)) = mgl \sin \theta \hat{O}N, \quad \hat{O}N = \hat{x}_1 \cos \psi - \hat{x}_2 \sin \psi$$

$$\begin{cases} J_1 \dot{\omega}_1 = (J_1 - J_3) \omega_2 \omega_3 + mgl \sin \theta \cos \psi \\ J_2 \dot{\omega}_2 = (J_3 - J_1) \omega_3 \omega_1 - mgl \sin \theta \sin \psi \\ J_3 \dot{\omega}_3 = 0 \end{cases}$$

二. Lagrange 函数

$$\begin{cases} \dot{\omega}_1 = \dot{\varphi} \sin \theta \sin \psi + \dot{\theta} \cos \psi \\ \dot{\omega}_2 = \dot{\varphi} \sin \theta \cos \psi - \dot{\theta} \sin \psi \\ \dot{\omega}_3 = \dot{\varphi} \cos \theta + \dot{\psi} \end{cases}$$

$$L = \frac{1}{2} J_1 (\dot{\varphi}^2 \sin^2 \theta + \dot{\theta}^2) + \frac{1}{2} J_3 (\dot{\varphi} \cos \theta + \dot{\psi})^2 - mgl \cos \theta$$

三. 运动常数

$$p_{\varphi} = \frac{\partial L}{\partial \dot{\varphi}} = J_3 (\dot{\varphi} \cos \theta + \dot{\psi}) = J_3 \omega_3$$

$$p_\varphi = \frac{\partial L}{\partial \dot{\varphi}} = J_1 \dot{\varphi} \sin^2 \theta + J_3 (\dot{\varphi} \cos \theta + \dot{\psi}) \cos \theta = J_1 \dot{\varphi} \sin^2 \theta + p_\psi \cos \theta$$

$$E = T + U = \frac{1}{2} J_1 \dot{\theta}^2 + \frac{1}{2} J_1 \dot{\varphi}^2 \sin^2 \theta + \frac{p_\psi^2}{2J_3} + mgl \cos \theta$$

$$\Rightarrow \begin{cases} \dot{\psi} = \frac{p_\psi}{J_3} - \frac{(p_\psi - p_\psi \cos \theta) \cos \theta}{J_1 \sin^2 \theta} \\ \dot{\varphi} = \frac{p_\psi - p_\psi \cos \theta}{J_1 \sin^2 \theta} \end{cases}$$

$$1. \dot{\psi} = \dot{\psi} - \dot{\varphi} \left(\frac{1}{J_3} - \frac{1}{J_1} \right) \Rightarrow \dot{\psi} = \frac{p_\psi - p_\psi \cos \theta}{J_1 \sin^2 \theta}$$

可据此构造正则变换进行讨论

$$K = E - \frac{p_\psi^2}{2} \left(\frac{1}{J_3} - \frac{1}{J_1} \right) = \frac{1}{2} J_1 \dot{\theta}^2 + \frac{p_\psi^2 + p_\psi^2 - 2p_\psi p_\psi \cos \theta}{2J_1 \sin^2 \theta} + mgl \cos \theta$$

$$2. u = \cos \theta \quad \dot{\theta}^2 = \frac{\dot{u}^2}{1-u^2}$$

$$\Rightarrow \frac{K}{J_1} (1-u^2) = \frac{1}{2} \dot{u}^2 + \frac{1}{2} (p_\psi^2 + p_\psi^2 - 2p_\psi p_\psi u) \frac{1}{1-u^2} + \frac{mgl}{J_1} u (1-u^2)$$

$$\tau = \frac{t}{t_0} \quad (t_0 = \sqrt{\frac{J_1}{2mgl}}) \quad a_\varphi = \frac{t_0 p_\psi}{J_1} \quad a_\psi = \frac{t_0 p_\psi}{J_1} \quad a_0 = \frac{K}{mgl}$$

$$\Rightarrow \frac{1}{2} a_0 (1-u^2) = \frac{1}{2} \left(\frac{du}{d\tau} \right)^2 + \frac{1}{2} (a_\psi^2 + a_\psi^2 - 2a_\psi a_\psi u) + \frac{1}{2} u (1-u^2)$$

$$\frac{d\varphi}{d\tau} = \frac{a_\psi - a_\psi u}{1-u^2} \quad \frac{d\psi}{d\tau} = \frac{a_\psi - a_\psi u}{1-u^2}$$

四. 章动角

$$\frac{1}{2} \left(\frac{du}{d\tau} \right)^2 + V(u) = 0$$

$$V(u) = -\frac{1}{2} [(a_0 - u)(1-u^2) - (a_\psi^2 + a_\psi^2 - 2a_\psi a_\psi u)]$$

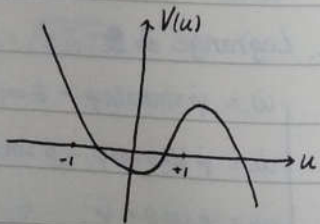
$$u \rightarrow \pm \infty \Rightarrow V \rightarrow \mp \infty$$

$$u = \pm 1 \Rightarrow V = \frac{1}{2} (a_\psi \mp a_\psi)^2 > 0$$

物理意义要求 $[-1, 1]$ 内有两根

$$\Rightarrow V(u) = -\frac{1}{2} (u-u_0)(u-u_2)(u-u_1)$$

$$u_0 > 1 \quad -1 < u_2 < u_1 < 1$$



CH6 散射

§6.1 中心力问题

$$\vec{F} = F(r) \hat{r} = - \frac{\partial U}{\partial r} \hat{r} \quad U = U(r) = - \int F(r) dr$$

一. 运动常数

$$1. \text{角动量 } \vec{L} = \vec{r} \times m\vec{v} = 2m \frac{d\vec{S}}{dt}$$

(1) 方向守恒 \Rightarrow 平面运动 \Rightarrow 可用 (r, θ) 描述

(2) 大小守恒 $\Rightarrow L = mr^2 \dot{\theta} \Rightarrow \dot{\theta} = \frac{L}{mr^2}$

$$2. \text{机械能 } E = \frac{1}{2} m \dot{v}^2 + U(r) = \frac{1}{2} m \dot{r}^2 + \frac{L^2}{2mr^2} + U(r)$$

二. 径向运动

$$E = \frac{1}{2} m \dot{r}^2 + V(r) \quad V(r) \equiv U(r) + \frac{L^2}{2mr^2}$$

$$m\ddot{r} = -F(r) + \frac{L^2}{mr^3} \quad -m\ddot{u} \times \vec{r} - 2m\dot{u} \cdot \vec{v} = 0 \text{ 抵消了}$$

离心惯性力

1. 定量求解

$$t = \pm \sqrt{\frac{m}{2}} \int_{r_0}^r \frac{dr}{\sqrt{E - V(r)}} = t(r) \Rightarrow r = r(t) \Rightarrow \theta(t) \Rightarrow r(\theta)$$

2. 定性分析

$$\frac{1}{2} m \dot{r}^2 = E - V(r) \geq 0$$

$$\text{eg. } U = -\frac{\alpha}{r} \Rightarrow V = \frac{L^2}{2mr^2} - \frac{\alpha}{r} = \frac{\alpha}{2r} \left[\left(\frac{r}{r_0} \right)^2 - 1 \right] \quad p = \frac{L^2}{m\alpha}$$

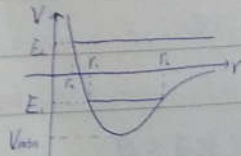
$$1. E = V_{\min} \Rightarrow r = p \Rightarrow r_1 = r_2 = p$$

$$2. p = \frac{L^2}{m\alpha} \quad E = \sqrt{1 + \frac{2pE}{\alpha}}$$

$$E = E_1, V_{\min} < E_1 < 0 \Rightarrow r_1 < r < r_2, r_0 = \frac{p}{1 \pm \epsilon}$$

运动介于两个圆筒之间, 径向运动周期

$$3. E = 0 \Rightarrow r > \frac{p}{2}$$



$E - E_0 > 0 \Rightarrow r > r_0$

速度与能为0的点, 称转折点

连接转折点与力心的距离, 称弦长

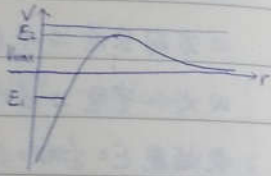
由近日点/远日点与力心的夹角, 称进动角

eg $U = -\frac{\alpha}{r} \Rightarrow V = -\frac{\alpha}{r} + \frac{l^2}{2mr^2}$

1. $E = V_{max} \Rightarrow r = r_0$

2. $E = E_0 < V_{max} \Rightarrow r \in [r_1, r_2]$

3. $E = E_0 > V_{max} \Rightarrow$ 任何力心



可以到达并穿过力心

三. 圆周运动 ($r=R$) 的稳定性

$\frac{\partial V}{\partial r}|_{r=R} = -F(R) - \frac{l^2}{mR^3} = 0 \Rightarrow F(R) = -\frac{l^2}{mR^3} < 0$

$\frac{\partial^2 V}{\partial r^2}|_{r=R} = -F'(R) + \frac{3l^2}{mR^4} = -F'(R) - \frac{3F(R)}{R} > 0 \Rightarrow RF'(R) + 3F(R) < 0$

$E = \frac{1}{2}m\dot{r}^2 + V(r) \Big|_{r=R+\xi} \approx \frac{1}{2}m\dot{\xi}^2 + \frac{1}{2}V''(R)\xi^2 + V(R) \Rightarrow \omega_r = \sqrt{\frac{V''(R)}{m}}$

eg. $F = -\alpha r^{-\alpha} (\alpha > 0)$

立方反比力在角动量一定时未必有圆周运动

$n > 3$

$V(R) = (n+3)\alpha R^{-n} \Rightarrow \omega_r = \sqrt{\frac{\alpha}{m}(n+3)}R^{-n}$

$\vec{F} = -\alpha \vec{r} \Rightarrow \omega_r = 2\sqrt{\frac{\alpha}{m}} \Rightarrow T_r = \frac{2\pi}{\omega_r} = \pi\sqrt{\frac{m}{\alpha}}$

$\vec{F} = -\frac{\alpha}{r^2} \hat{r} \Rightarrow \omega_r = \sqrt{\frac{\alpha}{mR^3}} \Rightarrow T_r = 2\pi\sqrt{\frac{m}{\alpha}}R^{3/2}$

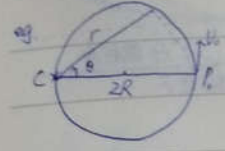
四. 轨道方程

$E = \frac{1}{2}m\dot{r}^2 + V(r) \quad V(r) = U(r) + \frac{l^2}{2mr^2} \quad \dot{r} = \frac{dr}{dt} \quad \dot{\theta} = \frac{l}{mr^2} \frac{dr}{d\theta}$

$E = \frac{l^2}{2mr^2} \left(\frac{dr}{d\theta}\right)^2 + V(r) \Rightarrow \theta = \pm \int_{r_0}^r \frac{Ldr}{r^2 \sqrt{2m(E-V(r))}}$

1. 进动角 $\Delta\theta = 2 \int_{r_1}^{r_2} \frac{Ldr}{r^2 \sqrt{2m(E-V)}}$ $\dot{r}_{t=0} = 0 \Rightarrow V(r_{t=0}) = E$

2. 轨道方程 $u = \frac{1}{r} \Rightarrow \left(\frac{du}{d\theta}\right)^2 + u^2 = \frac{2m(E-U)}{l^2}$



eg. $r = 2R \cos\theta \Rightarrow u = \frac{1}{2R \cos\theta}$

$\Rightarrow \left(\frac{du}{d\theta}\right)^2 = \left(\frac{\sin\theta}{2R \cos^2\theta}\right)^2 = \frac{4R^2 \sin^2\theta}{12R^2 \cos^4\theta} = \frac{4R^2 - r^2}{r^2}$

$\left(\frac{du}{d\theta}\right)^2 + u^2 = \frac{4R^2}{r^2} - \frac{E-U}{2mR^2}$

$\Rightarrow U = E - \frac{8mR^2 u^2}{r^2} \quad U(r=0) = 0 \Rightarrow E = -\frac{8mR^2 u^2}{r^2}$

3. Binet公式 $\frac{d^2u}{d\theta^2} + u = -\frac{m}{l^2} \frac{dU}{du} = -\frac{m}{l^2 u^2} F$

2. $\frac{du}{d\theta} \frac{d^2u}{d\theta^2} - 2u \frac{du}{d\theta} = -\frac{2m}{l^2} \frac{dU}{du} \frac{du}{d\theta} \quad \frac{dU}{du} = \frac{dr}{du} \frac{dU}{dr} = \frac{1}{u^2} F$

$\frac{d^2u}{d\theta^2} + u = -\frac{m}{l^2 u^2} F \xrightarrow{\theta \rightarrow \theta + \pi}$ 不变

$u|_{\theta=0} = u_0$ 不变

$\frac{du}{d\theta}|_{\theta=0} = u_1$ 在 $u=0$ 不变

4. 轨道关于拱线对称

5. 闭合条件 $n \cdot \Delta\theta = n_0 \cdot 2\pi \Rightarrow \Delta\theta = \frac{n_0}{n} \cdot 2\pi$

eg. $U = -\frac{\alpha}{r} = -\alpha u \Rightarrow \left(\frac{du}{d\theta}\right)^2 + u^2 = \frac{2mE}{l^2} + \frac{2m\alpha}{l^2} u = \frac{2E}{\alpha P} + \frac{2\alpha}{P} u$

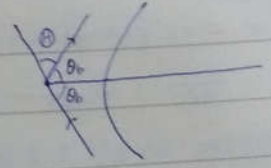
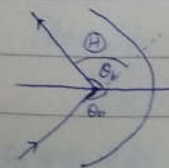
$\left(\frac{du}{d\theta}\right)^2 + \left(u - \frac{1}{P}\right)^2 = \frac{1}{P^2} \left(1 + \frac{2PE}{\alpha}\right) = \left(\frac{E}{P}\right)^2$ 简谐运动方程

$u - \frac{1}{P} = \frac{E}{P} \cos(\theta - \theta_0) \Rightarrow r = \frac{P}{E \cos(\theta - \theta_0) + 1} \xrightarrow{\theta=0} r = \frac{P}{E \cos\theta_0 + 1}$

若 $U = \frac{\alpha}{r} \Rightarrow r = \frac{P}{E \cos\theta - 1}$

吸引力

排斥力



$\sin \frac{\theta_0}{2} = \frac{1}{E}$

$\cos \theta_0 = -\frac{1}{E}$

$\cos \theta_0 = \frac{1}{E}$

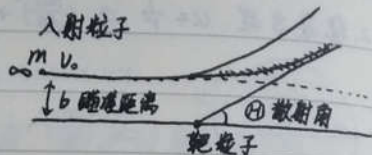
§6.2 散射 (Scattering)

一. 假设: $r \rightarrow \infty$ 时 $F \rightarrow 0$ 且 $U \rightarrow 0$

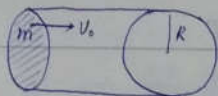
入射粒子能量 $E = \frac{1}{2}mv_0^2$

瞄准距离 (碰撞参数) b

$$l = bmv_0 = b\sqrt{2mE}$$



二. 截面 (Cross section)



作用截面 $\sigma = \pi R^2$

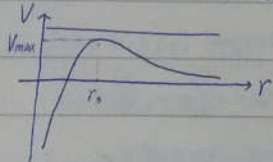


俘获截面 $\sigma = \pi b_m^2 = \pi R^2 [1 + \frac{2GM}{Rv_0^2}]$

$$\frac{1}{2}mv_0^2 = \frac{1}{2}mv_m^2 - \frac{GMm}{R}$$

$$b_m - mv_0 = R \cdot mV_m \Rightarrow V_m = \frac{b_m}{R} v_0$$

$$U = -\frac{\alpha}{r^3} \Rightarrow V = -\frac{\alpha}{r^3} + \frac{l^2}{2mr^2}$$



$$r_0 = \frac{3m\alpha}{l^2}$$

$$V_m = \frac{1}{2} \frac{\alpha}{r_0^3}$$

要求 $E > V_m$

那么已知散射角, 可以知道相互作用吗? 那当然不可能了.

散射问题 | 正问题 $U(r) \Rightarrow r = r(\theta; b, E) \Rightarrow \Theta = \Theta(b; E)$

逆问题 $\Theta = \Theta(b; E) \Rightarrow U(r)$

eg. $U = \pm \frac{\alpha}{r}$, $\sin \frac{\Theta}{2} = \frac{1}{\alpha} \Rightarrow b = \frac{\alpha}{2} \cot \frac{\Theta}{2}$ ($\alpha = \frac{\alpha}{E}$)

$$\frac{1}{\sin(\Theta/2)} = 1 + \cot^2 \frac{\Theta}{2} = 1 + \frac{2El^2}{m\alpha^2} = 1 + \frac{2Eb^2 2mE}{m\alpha^2}$$

eg. 刚性球 $b = R \cos \frac{\Theta}{2}$

eg. 中心排斥力

$$\theta_0 = \int_{r_m}^{\infty} \frac{ldr}{r^2 \sqrt{2m(E - U - \frac{l^2}{2mr^2})}}$$

$$\Theta = \pi - 2\theta_0 = \pi - 2 \int_{r_m}^{\infty} \frac{bdr}{r^2 \sqrt{1 - \frac{U}{E} - \frac{b^2}{r^2}}} \quad 1 - \frac{U}{E} - \frac{b^2}{r^2} = 0$$

eg. $U = -\frac{\alpha}{r^3} \Rightarrow V = -\frac{\alpha}{r^3} + \frac{b^2 E}{r^2}$ 若为有心势

要求 $E > V_{max}$

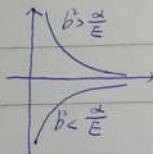
$$0 = V(r_0) = -\frac{\alpha}{r_0^3} + \frac{b^2 E}{r_0^2} \Rightarrow r_0 = \frac{3\alpha}{2b^2 E}$$

$$E > V_0 = -\frac{\alpha}{r_0^3} + \frac{3}{2} \frac{\alpha}{r_0^3} = \frac{1}{2} \frac{\alpha}{r_0^3}$$

$$\frac{2E}{\alpha} > (\frac{2b^2 E}{3\alpha})^3 = \frac{1}{8} (\frac{2E}{\alpha})^3 b^6$$

$$b^6 < 3(\frac{\alpha}{2E})^3 \Rightarrow \sigma = \pi b^2 = 3\pi (\frac{\alpha}{2E})^2$$

eg. $U = -\frac{\alpha}{r} \Rightarrow V = \frac{b^2 E - \alpha}{r^2} \Rightarrow \sigma = \pi b^2 = \pi \frac{\alpha^2}{E^2}$



初态动能, $E > 0$

1. 入射流强度

$$J = \frac{N}{St} = \frac{\text{入射粒子总数}}{\text{横截面积} \times \text{时间}}$$

2. 单位时间观测到的被散射的粒子数 $n \propto J \Rightarrow$ 总散射截面 $\sigma = \frac{n}{J}$

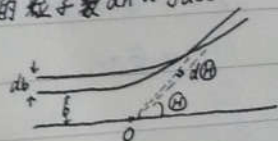
三. 微分散射截面

单位时间观测到由 $d\Omega = \sin\Theta d\Theta d\Phi$ 出射的粒子数 $dn \propto J d\Omega$

$$\Rightarrow \frac{d\sigma}{d\Omega} \equiv \frac{dn}{J d\Omega} \text{ 微分散射截面}$$

$$(dn = J d\sigma = J \frac{d\sigma}{d\Omega} d\Omega) \text{ 粒子数守恒}$$

↑ 入射角度 ↑ 出射角度



轴对称问题: 单位时间观测到由 $d\Omega = 2\pi \sin\Theta d\Theta$ 出射粒子数

$$dn = J |2\pi b db| = J \frac{d\sigma}{d\Omega} |2\pi \sin\Theta d\Theta| \Rightarrow \frac{d\sigma}{d\Omega} = \frac{b}{\sin\Theta} \left| \frac{db}{d\Theta} \right| \Rightarrow \sigma = \int \frac{d\sigma}{d\Omega} d\Omega$$

例. 圆周长

$$\frac{ds}{d\Omega} = \frac{R \cos \theta}{2\pi R \sin \theta} \left| -\frac{1}{2} R \sin \theta \right| = \frac{1}{2} R$$

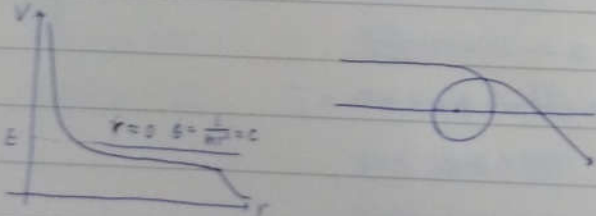
$$r = \int \frac{ds}{d\Omega} d\Omega = \pi R$$

例. 平方反比力

$$\frac{ds}{d\Omega} = \frac{\frac{a}{2} \cos \theta}{2\pi \frac{a}{2} \cos \theta} \left| -\frac{1}{2} \cdot \frac{a}{2} \cdot \frac{1}{\sin^2 \theta} \right| = \frac{a^2}{4 \sin^2 \theta}$$

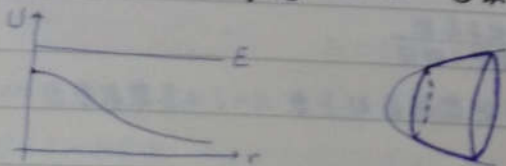
四. 几点说明

1. 中心力



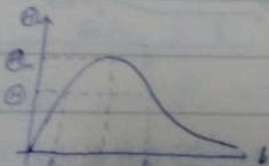
$$\Theta = |(2b_0 - \pi) - 2n\pi|$$

$$2. \sigma = \int \frac{ds}{d\Omega} d\Omega = 2\pi \int \frac{ds}{d\Omega} \sin \theta d\theta \quad \Theta \text{ 取值范围}$$



$$b=0 \Rightarrow \Theta=0 \text{ 沿中心而过}$$

$$b \rightarrow \infty \Rightarrow \Theta \rightarrow 0$$



$$3. \Theta = \Theta(b; E) \Leftrightarrow b = b(\Theta; E)$$

$$\frac{ds}{d\Omega} = \sum \frac{b_i}{\sin \theta} \left| \frac{db_i}{d\theta} \right| \xrightarrow{\theta \rightarrow \Theta_m} \infty \text{ (彩虹散射)}$$

例. 彩虹散射

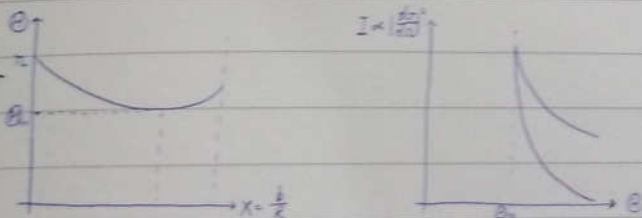
$$\sin \theta = \frac{b}{R} = X \quad \sin \theta_0 = \frac{b_0}{R} = \frac{X}{n}$$

$$\Theta = \pi - 2\theta - \theta_0 = \pi - 2 \arcsin X - 4 \arcsin \frac{X}{n}$$

$$\frac{d\Theta}{dX} = \frac{2}{\sqrt{1-X^2}} - \frac{4}{\sqrt{n^2-X^2}} = 0$$

$$\Rightarrow 4 - 4X^2 = n^2 - X^2 \quad X_m = \sqrt{\frac{n^2-4}{n^2}} = 0.86 (n=1.33)$$

$$\Theta_m = 2.4 \text{ rad} = 135.5^\circ$$



①. 附近最强, 而各色光不同

在早晚, 太阳阳光接近地平线, 更易看到彩虹

· 物理量在不同坐标系下的导数

1. 标量 $\frac{df}{dt} = \left(\frac{df}{dt} \right)'$

2. 矢量 $\frac{d\vec{C}}{dt} = \left(\frac{d\vec{C}}{dt} \right)' + \vec{\omega} \times \vec{C}$

$$\vec{C}(t) = C_i \hat{x}_i = C_i \hat{x}_i$$

$$\frac{d\vec{C}}{dt} = \frac{d(C_i \hat{x}_i)}{dt} = \frac{dC_i}{dt} \hat{x}_i + C_i \frac{d\hat{x}_i}{dt} \rightarrow 0$$

$$= \frac{d(C_i \hat{x}_i)}{dt} = \frac{dC_i}{dt} \hat{x}_i + C_i \frac{d\hat{x}_i}{dt} \rightarrow \vec{\omega} \times \vec{C}$$

$$\left(\frac{d\vec{C}}{dt} \right)' = \left(\frac{d(C_i \hat{x}_i)}{dt} \right)' = \left(\frac{dC_i}{dt} \right)' \hat{x}_i + C_i \left(\frac{d\hat{x}_i}{dt} \right)' \rightarrow 0$$

$$\vec{v} = \vec{v}' = \left(\frac{d\vec{L}}{dt} \right)'_{rot} \text{ 在随惯性系下}$$

$$\vec{v} = \left(\frac{d\vec{L}}{dt} \right)'_{space} = \left(\frac{d\vec{L}}{dt} \right)'_{rot} + \vec{\omega} \times \vec{L} \text{ 在随转系下}$$

本来说...本来说，一个学期下来能至少有一节课是准时下
课的。给同学们留个好印象。