

Simply-Typed Lambda Calculus

(Slides mostly follow Dan Grossman's [teaching materials](#))

Review of untyped λ -calculus

- Syntax: notation for defining functions

(Terms) $M, N ::= x \mid \lambda x. M \mid M N$

- Semantics: reduction rules

$$\frac{}{(\lambda x. M)N \rightarrow M[N/x]} (\beta)$$

$$\frac{M \rightarrow M'}{M N \rightarrow M' N}$$

$$\frac{N \rightarrow N'}{M N \rightarrow M N'}$$

$$\frac{M \rightarrow M'}{\lambda x. M \rightarrow \lambda x. M'}$$

$(\lambda f. \lambda z. f (f z)) (\lambda y. y+x)$
 $\rightarrow \lambda z. (\lambda y. y+x) ((\lambda y. y+x) z)$
 $\rightarrow \lambda z. (\lambda y. y+x) (z+x)$
 $\rightarrow \lambda z. z+x+x$

$$\frac{}{(\lambda x. M)N \rightarrow M[N/x]} (\beta)$$

$$\frac{M \rightarrow M'}{M N \rightarrow M' N}$$

$$\frac{N \rightarrow N'}{M N \rightarrow M N'}$$

$$\frac{M \rightarrow M'}{\lambda x. M \rightarrow \lambda x. M'}$$

Review of untyped λ -calculus

$$\begin{aligned} & (\lambda x. x x) (\lambda x. x x) \\ & \rightarrow (\lambda x. x x) (\lambda x. x x) \\ & \rightarrow \dots \end{aligned}$$

This class: adding a **type system**

(We will see that well-typed terms in STLC always terminate.)

Why types

- **Type checking catches “simple” mistakes early**
 - Example: `2 + true + “a”`
- **(Type safety) Well-typed programs will not go wrong**
 - Ensure execution never reach a “meaningless” state
 - But “meaningless” depends on the semantics (each language typically defines some as type errors and others run-time errors)
- **Typed programs are easier to analyze and optimize**
 - Compilers can generate better code (e.g. access components of structures by known offset)

Cons: impose constraints on the programmer

- Some valid programs might be rejected

Why **formal** type systems

- Many typed languages have **informal descriptions** of the type systems (e.g., in language reference manuals)
- A fair amount of careful analysis is required to avoid **false claims** of type safety
- A formal presentation of a type system is **a precise specification of the type checker**
- And allows **formal proofs of type safety**

What we will study about types

- Type system
 - Typing rules: assign types to terms
 - Type safety (soundness of typing rules): well-typed terms cannot go wrong
- Connection to constructive propositional logic
 - Curry-Howard isomorphism: “Propositions are Types”, “Proofs are Programs”

Adding types to λ -calculus – wrong attempt

base type
(e.g. int, bool)

function type

(Types) $\tau, \sigma ::= T \mid \mathbf{fun}$

Adding types to λ -calculus – wrong attempt

- Typing judgment (to assign types to terms)

$\vdash M : \tau$

M is of type τ

Judgment

- A statement J about certain formal properties
- Has a derivation $\vdash J$ (i.e. “a proof”)
- Has a meaning (“judgment semantics”) $\models J$

- Typing rules (to derive the typing judgment)

Adding types to λ -calculus – wrong attempt

Typing rules

$$\frac{}{\vdash (\lambda x. M) : \mathbf{fun}}$$
$$\frac{\vdash M : \mathbf{fun} \quad \vdash N : T}{\vdash M N : T}$$

***Not type safe, since well-typed terms may go wrong
(reduce to a “meaningless” state)***

e.g. $((\lambda f. f \ 1) \ 3)$ will go “wrong”, though $\vdash (\lambda f. f \ 1) \ 3 : \mathbf{int}$

Adding types to λ -calculus – getting it right

- **Classify functions** using argument and result types
 - $(\lambda x. x)$ and $(\lambda f. f 1)$ should be of different types: $((\lambda x. x) 3)$ is acceptable, but $((\lambda f. f 1) 3)$ is not
 - Explicitly specify **argument types** in function syntax
- Type-check function bodies, which have **free variables**
 - Types of free variables are the **context**: type of $(f 1)$ depends on the type of f

Simply-typed λ -calculus (STLC)

base type
(e.g. int, bool)

function type

(Types) $\tau, \sigma ::= T \mid \sigma \rightarrow \tau$

An infinite number of types:

$\text{int} \rightarrow \text{int}, \text{int} \rightarrow (\text{int} \rightarrow \text{int}), (\text{int} \rightarrow \text{int}) \rightarrow \text{int}, \dots$

\rightarrow is right-associative: $\tau \rightarrow \tau \rightarrow \tau$ is $\tau \rightarrow (\tau \rightarrow \tau)$

Simply-typed λ -calculus (STLC)

(Types) $\tau, \sigma ::= T \mid \sigma \rightarrow \tau$

(Terms) $M, N ::= x \mid \lambda x : \tau. M \mid M N$

Reduction rules

$$\overline{(\lambda x:\tau. M)N \rightarrow M[N/x]} \quad (\beta)$$

$$\frac{M \rightarrow M'}{M N \rightarrow M' N}$$

$$\frac{N \rightarrow N'}{M N \rightarrow M N'}$$

$$\frac{M \rightarrow M'}{\lambda x:\tau. M \rightarrow \lambda x:\tau. M'}$$

*Same as
untyped λ -calculus*

Typing judgment

M is of type τ in context Γ

$\Gamma \vdash M : \tau$

- **Typing context** (a set of typing assumptions)

$\Gamma ::= \cdot \mid \Gamma, x : \tau$

- Include types of all the **free variables** in M (each free variable x is of type τ)
- Empty context \cdot is for closed terms
- Under Γ , M is a **well-typed** term of type τ

Typing rules

$$\frac{}{\Gamma, x : \tau \vdash x : \tau} \text{ (var)}$$

$$\frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash M N : \tau} \text{ (app)}$$

$$\frac{\Gamma, x : \sigma \vdash M : \tau}{\Gamma \vdash (\lambda x : \sigma. M) : \sigma \rightarrow \tau} \text{ (abs)}$$

Typing derivation examples

$$\frac{}{\Gamma, x : \tau \vdash x : \tau} \text{ (var)}$$
$$\frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash M N : \tau} \text{ (app)}$$
$$\frac{\Gamma, x : \sigma \vdash M : \tau}{\Gamma \vdash (\lambda x : \sigma. M) : \sigma \rightarrow \tau} \text{ (abs)}$$

$$\frac{}{\quad} \text{ (var)}$$

$$x : \tau \vdash x : \tau$$

$$\frac{}{\quad} \text{ (abs)}$$

$$\cdot \vdash (\lambda x : \tau. x) : \tau \rightarrow \tau$$

Typing derivation examples

$$\frac{}{\Gamma, x : \tau \vdash x : \tau} \text{ (var)}$$
$$\frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash M N : \tau} \text{ (app)}$$
$$\frac{\Gamma, x : \sigma \vdash M : \tau}{\Gamma \vdash (\lambda x : \sigma. M) : \sigma \rightarrow \tau} \text{ (abs)}$$

$$\frac{}{x : \tau, y : \sigma \vdash x : \tau} \text{ (var)}$$
$$\frac{}{x : \tau \vdash (\lambda y : \sigma. x) : \sigma \rightarrow \tau} \text{ (abs)}$$
$$\frac{}{\cdot \vdash (\lambda x : \tau. \lambda y : \sigma. x) : \tau \rightarrow \sigma \rightarrow \tau} \text{ (abs)}$$

Typing derivation examples

$$\frac{}{\Gamma, x : \tau \vdash x : \tau} \text{ (var)}$$

$$\frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash M N : \tau} \text{ (app)}$$

$$\frac{\Gamma, x : \sigma \vdash M : \tau}{\Gamma \vdash (\lambda x : \sigma. M) : \sigma \rightarrow \tau} \text{ (abs)}$$

$$\frac{}{x : \tau \rightarrow \tau, y : \tau \vdash x : \tau \rightarrow \tau} \text{ (var)} \quad \frac{}{x : \tau \rightarrow \tau, y : \tau \vdash y : \tau} \text{ (var)}$$

$$\frac{}{x : \tau \rightarrow \tau, y : \tau \vdash x y : \tau} \text{ (app)}$$

$$\frac{}{x : \tau \rightarrow \tau \vdash (\lambda y : \tau. x y) : \tau \rightarrow \tau} \text{ (abs)}$$

$$\frac{}{\cdot \vdash (\lambda x : \tau \rightarrow \tau. \lambda y : \tau. x y) : (\tau \rightarrow \tau) \rightarrow \tau \rightarrow \tau} \text{ (abs)}$$

Soundness and completeness

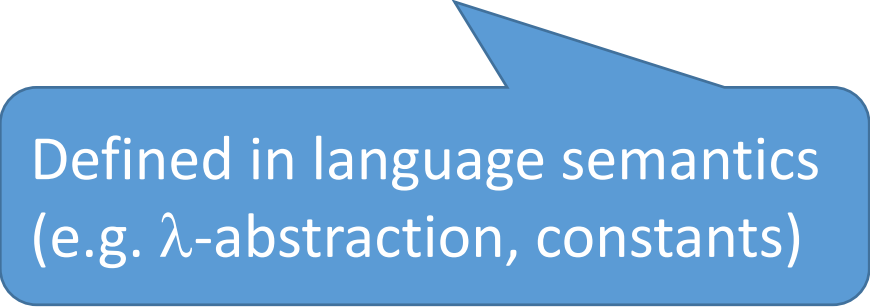
- A **sound** type system never accepts a program that can go wrong
 - No false negatives
 - The language is **type-safe**
- A **complete** type system never rejects a program that can't go wrong
 - No false positives
- However, for any Turing-complete PL, the set of programs that may go wrong is undecidable
 - Type system cannot be sound and complete
 - Choose soundness, try to reduce false positives in practice

Soundness – well-typed terms in STLC never go wrong

Theorem (Type Safety):

If $\cdot \vdash M : \tau$ and $M \rightarrow^* M'$, then

$\cdot \vdash M' : \tau$, and either $M' \in \text{Values}$ or $\exists M'' . M' \rightarrow M''$



Defined in language semantics
(e.g. λ -abstraction, constants)

That is, the reduction of a well-typed term either diverges, or terminates in a value of the expected type.

Follows from two key lemmas (next page).

Soundness – well-typed terms in STLC never go wrong

- **Preservation (subject reduction)**: well-typed terms reduce only to well-typed terms of the same type

If $\cdot \vdash M : \tau$ and $M \rightarrow M'$, then $\cdot \vdash M' : \tau$

- **Progress**: a well-typed term is either a value or can be reduced

If $\cdot \vdash M : \tau$, then either $M \in \text{Values}$ or $\exists M'. M \rightarrow M'$

Not complete – the type system may reject terms that do not go wrong

- $(\lambda x. (x (\lambda y. y)) (x 3)) (\lambda z. z)$

Cannot find σ, τ such that

$$x:\sigma \vdash (x (\lambda y. y))(x 3) : \tau$$

because we have to pick one type for x

- **But** $(\lambda x. (x (\lambda y. y)) (x 3)) (\lambda z. z)$
 $\rightarrow ((\lambda z. z) (\lambda y. y)) ((\lambda z. z) 3)$
 $\rightarrow (\lambda y. y) 3 \rightarrow 3$

Well-typed terms in STLC always terminate (strong normalization theorem)

- Recall $(\lambda x. x x) (\lambda x. x x)$
 $\rightarrow (\lambda x. x x) (\lambda x. x x)$
 $\rightarrow \dots$
- $(\lambda x. x x) (\lambda x. x x)$ cannot be assigned a type

Expect σ to be in the form of $\sigma \rightarrow \tau$, which is impossible!

$$x:\sigma \vdash x:?\quad x:\sigma \vdash x:\sigma$$

$$x:\sigma \vdash x x : ?$$

Main points of STLC

(Types) $\tau, \sigma ::= T \mid \sigma \rightarrow \tau$

(Terms) $M, N ::= x \mid \lambda x : \tau. M \mid M N$

Reduction rules

$$\frac{}{(\lambda x : \tau. M)N \rightarrow M[N/x]} \quad (\beta)$$

Typing rules

$$\frac{}{\Gamma, x : \tau \vdash x : \tau} \quad (\text{var})$$

$$\frac{\Gamma, x : \sigma \vdash M : \tau}{\Gamma \vdash (\lambda x : \sigma. M) : \sigma \rightarrow \tau} \quad (\text{abs})$$

$$\frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash M N : \tau} \quad (\text{app})$$

Soundness (type safety)

Adding stuff

Use STLC as a foundation for understanding other common language constructs

- Extend the syntax (types & terms)
- Extend the operational semantics (reduction rules)
- Extend the type system (typing rules)
- Extend the soundness proof (new proof cases)

Adding product type

(Types) $\tau, \sigma ::= \dots \mid \sigma \times \tau$

(Terms) $M, N ::= \dots \mid \langle M, N \rangle \mid \text{proj1 } M \mid \text{proj2 } M$

Consider structures in C:

```
struct date{
    int year;
    int month;
    int day;
}
```

Product type

(Types) $\tau, \sigma ::= \dots \mid \sigma \times \tau$

(Terms) $M, N ::= \dots \mid \langle M, N \rangle \mid \text{proj1 } M \mid \text{proj2 } M$

Reduction rules

$$\frac{}{\text{proj1 } \langle M, N \rangle \rightarrow M}$$
$$\frac{M \rightarrow M'}{\langle M, N \rangle \rightarrow \langle M', N \rangle}$$
$$\frac{M \rightarrow M'}{\text{proj1 } M \rightarrow \text{proj1 } M'}$$

$$\frac{}{\text{proj2 } \langle M, N \rangle \rightarrow N}$$
$$\frac{N \rightarrow N'}{\langle M, N \rangle \rightarrow \langle M, N' \rangle}$$
$$\frac{M \rightarrow M'}{\text{proj2 } M \rightarrow \text{proj2 } M'}$$

Product type

(Types) $\tau, \sigma ::= \dots \mid \sigma \times \tau$

(Terms) $M, N ::= \dots \mid \langle M, N \rangle \mid \text{proj1 } M \mid \text{proj2 } M$

Typing rules

$$\frac{\Gamma \vdash M : \sigma \quad \Gamma \vdash N : \tau}{\Gamma \vdash \langle M, N \rangle : \sigma \times \tau} \text{ (pair)}$$

$$\frac{\Gamma \vdash M : \sigma \times \tau}{\Gamma \vdash \text{proj1 } M : \sigma} \text{ (proj1)}$$

$$\frac{\Gamma \vdash M : \sigma \times \tau}{\Gamma \vdash \text{proj2 } M : \tau} \text{ (proj2)}$$

Typing derivation example

$$\begin{array}{c} \frac{}{x: \sigma \times \tau \vdash x: \sigma \times \tau} \text{(var)} \qquad \frac{}{x: \sigma \times \tau \vdash x: \sigma \times \tau} \text{(var)} \\ \frac{}{x: \sigma \times \tau \vdash \text{proj2 } x : \tau} \text{(proj2)} \qquad \frac{}{x: \sigma \times \tau \vdash \text{proj1 } x : \sigma} \text{(proj1)} \\ \frac{}{x: \sigma \times \tau \vdash \langle \text{proj2 } x, \text{proj1 } x \rangle : \tau \times \sigma} \text{(pair)} \\ \frac{}{\cdot \vdash (\lambda x: \sigma \times \tau. \langle \text{proj2 } x, \text{proj1 } x \rangle) : (\sigma \times \tau) \rightarrow (\tau \times \sigma)} \text{(abs)} \end{array}$$

Soundness theorem (type safety)

- Preservation:

If $\cdot \vdash M:\tau$ and $M \rightarrow M'$, then $\cdot \vdash M':\tau$

- Progress:

If $\cdot \vdash M:\tau$, then either $M \in \text{Values}$ or $\exists M'. M \rightarrow M'$



Include $\langle v1, v2 \rangle$ now

Adding sum type

(Types) $\tau, \sigma ::= \dots \mid \sigma + \tau$

(Terms) $M, N ::= \dots \mid \text{left } M \mid \text{right } M \mid \text{case } M \text{ do } M1 \ M2$

Consider unions in C:

```
union data{
  int i;
  float f;
  char c;
}
```

Using the same location
for multiple data.
Can contain only one value
at any given time.

Adding sum type

(Types) $\tau, \sigma ::= \dots \mid \sigma + \tau$

(Terms) $M, N ::= \dots \mid \text{left } M \mid \text{right } M \mid \text{case } M \text{ do } M1 \ M2$

Subclasses in Java:

```
abstract class t {abstract t' m();}
class A extends t { t1 x; t' m(){...}}
class B extends t { t2 x; t' m(){...}}
...
e.m();
```

case e do A.m B.m

Adding sum type

(Types) $\tau, \sigma ::= \dots \mid \sigma + \tau$

(Terms) $M, N ::= \dots \mid \text{left } M \mid \text{right } M \mid \text{case } M \text{ do } M1 \ M2$

In Coq:

```
Inductive bool : Set :=
  | true  : bool
  | false : bool.
Definition not (b : bool) : bool :=
  match b with
  | true  => false
  | false => true
  end.
```

Sum type: reduction rules

$$\text{case (left } M) \text{ do } M1 \ M2 \rightarrow M1 \ M$$

$$\text{case (right } M) \text{ do } M1 \ M2 \rightarrow M2 \ M$$
$$M \rightarrow M'$$

$$\text{case } M \text{ do } M1 \ M2 \rightarrow \text{case } M' \text{ do } M1 \ M2$$
$$M1 \rightarrow M1'$$

$$\text{case } M \text{ do } M1 \ M2 \rightarrow \text{case } M \text{ do } M1' \ M2$$
$$M2 \rightarrow M2'$$

$$\text{case } M \text{ do } M1 \ M2 \rightarrow \text{case } M \text{ do } M1 \ M2'$$
$$M \rightarrow M'$$

$$\text{left } M \rightarrow \text{left } M'$$
$$M \rightarrow M'$$

$$\text{right } M \rightarrow \text{right } M'$$

Sum type: typing rules

$$\frac{\Gamma \vdash M: \sigma}{\Gamma \vdash \text{left } M : \sigma + \tau} \text{ (left)}$$

$$\frac{\Gamma \vdash M: \tau}{\Gamma \vdash \text{right } M : \sigma + \tau} \text{ (right)}$$

$$\frac{\Gamma \vdash M: \sigma + \tau \quad \Gamma \vdash M1: \sigma \rightarrow \rho \quad \Gamma \vdash M2: \tau \rightarrow \rho}{\Gamma \vdash \text{case } M \text{ do } M1 \ M2: \rho} \text{ (case)}$$

Typing derivation examples

$$\begin{array}{c} \frac{}{x:\tau \vdash x:\tau} \text{ (var)} \\ \frac{}{x:\tau \vdash x:\tau} \text{ (var)} \\ \frac{}{x:\tau \vdash \text{left } x:\tau + \sigma} \text{ (proj2)} \quad \frac{}{x:\tau \vdash \text{left } x:\tau + \rho} \text{ (proj1)} \\ \frac{}{x:\tau \vdash \langle \text{left } x, \text{left } x \rangle : (\tau + \sigma) \times (\tau + \rho)} \text{ (pair)} \\ \frac{}{\cdot \vdash (\lambda x:\tau. \langle \text{left } x, \text{left } x \rangle) : \tau \rightarrow (\tau + \sigma) \times (\tau + \rho)} \text{ (abs)} \end{array}$$

other side can be anything

Soundness theorem (type safety)

- Preservation:

If $\cdot \vdash M : \tau$ and $M \rightarrow M'$, then $\cdot \vdash M' : \tau$

- Progress:

If $\cdot \vdash M : \tau$, then either $M \in \text{Values}$ or $\exists M'. M \rightarrow M'$

Include “left v” and “right v” now

Products vs. sums

- “logical duals” (more on this later)
 - To make a $\sigma \times \tau$, we need a σ **and** a τ
 - To make a $\sigma + \tau$, we need a σ **or** a τ
 - Given a $\sigma \times \tau$, we can get a σ or a τ or both (**our “choice”**)
 - Given a $\sigma + \tau$, we must be prepared for either a σ or a τ (**the value’s “choice”**)

Main points till now

- STLC extended with products and sums:

(Types) $\tau, \sigma ::= T \mid \sigma \rightarrow \tau \mid \sigma \times \tau \mid \sigma + \tau$

(Terms) $M, N ::= x \mid \lambda x : \tau. M \mid M N$

$\mid \langle M, N \rangle \mid \text{proj1 } M \mid \text{proj2 } M$

$\mid \text{left } M \mid \text{right } M \mid \text{case } M \text{ do } M1 \ M2$

- Next: recursion

Recursion

- Recall in untyped λ -calculus, every term has a fixpoint
 - **Fixpoint combinator** is a higher-order function h satisfying
 - for all f , $(h f)$ gives a fixpoint of f
 - i.e. $h f = f (h f)$
 - Turing's fixpoint combinator Θ
 - Let $A = \lambda x. \lambda y. y (x x y)$ and $\Theta = A A$
 - Church's fixpoint combinator Y
 - Let $Y = \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$

Recursion

- Recall “strong normalization theorem”: well-typed terms in STLC always terminate
 - Extensions so far (products & sums) preserve termination
- Recursion is not allowed by the typing rules: it is impossible to find types for fixed-point combinators
- So we add an explicit construct for recursion

(Terms) $M, N ::= \dots \mid \mathbf{fix} M$

(Types) $\tau, \sigma ::= \dots$ (no new types)

Reduction rules for fix

$$\frac{}{\mathbf{fix} \lambda x. M \rightarrow M[\mathbf{fix} \lambda x. M / x]}$$

$$\frac{M \rightarrow M'}{\mathbf{fix} M \rightarrow \mathbf{fix} M'}$$

(**fix** $\lambda f. \lambda n. \text{if } (n == 0) \text{ then } 1 \text{ else } n * f(n-1)$) 3

→ ($\lambda n. \text{if } (n == 0) \text{ then } 1 \text{ else } n * ((\mathbf{fix} \lambda f. \lambda n. \text{if } (n == 0) \text{ then } 1 \text{ else } n * f(n-1))(n-1))$) 3

→ if (3 == 0) then 1 else 3 * ($(\mathbf{fix} \lambda f. \lambda n. \text{if } (n == 0) \text{ then } 1 \text{ else } n * f(n-1))(3-1)$)

→ 3 * ($(\mathbf{fix} \lambda f. \lambda n. \text{if } (n == 0) \text{ then } 1 \text{ else } n * f(n-1))(3-1)$)

→ ...

Typing fix

$$\frac{\Gamma \vdash M : \tau \rightarrow \tau}{\Gamma \vdash \mathbf{fix} M : \tau} \text{ (fix)}$$

- Math explanation: If M is a function from τ to τ , then $\mathbf{fix} M$, the fixed-point of M , is some τ with the fixed-point property
- Operational explanation: $\mathbf{fix} \lambda x.M'$ reduces to $M'[\mathbf{fix} \lambda x.M'/x]$.
 - The substitution means x and $\mathbf{fix} \lambda x.M'$ need the same type
 - The result means M' and $\mathbf{fix} \lambda x.M'$ need the same type
- Soundness (type safety) is straightforward
- But strong normalization is eliminated

Main points till now

- STLC with products and sums:

(Types) $\tau, \sigma ::= T \mid \sigma \rightarrow \tau \mid \sigma \times \tau \mid \sigma + \tau$

(Terms) $M, N ::= x \mid \lambda x : \tau. M \mid M N$

$\mid \langle M, N \rangle \mid \text{proj1 } M \mid \text{proj2 } M$

$\mid \text{left } M \mid \text{right } M \mid \text{case } M \text{ do } M1 \ M2$

- We can also add recursion
- Next: Curry-Howard isomorphism

Curry-Howard Isomorphism

- What we did:
 - Define a programming language
 - Define a type system to rule out “bad” programs
- What logicians do:
 - Define logic propositions
 - E.g. $p, q ::= B \mid p \wedge q \mid p \vee q \mid p \Rightarrow q$
 - Define a proof system to prove “good” propositions
- Turn out to be related
 - Propositions are Types
 - Proofs are Programs



Curry-Howard Isomorphism

- Slogans
 - Propositions are Types
 - Proofs are Programs

In this class, we will show correspondence between formulas of constructive propositional logic

(Prop) $p, q ::= B \mid p \Rightarrow q \mid p \wedge q \mid p \vee q$

and types of STLC with products and sums

(Types) $\tau, \sigma ::= T \mid \sigma \rightarrow \tau \mid \sigma \times \tau \mid \sigma + \tau$

Examples of terms and types

$\lambda x: \tau. x$

has type

$\tau \rightarrow \tau$

Examples of terms and types

$\lambda x: \tau. \lambda f: \tau \rightarrow \sigma. f x$

has type

$\tau \rightarrow (\tau \rightarrow \sigma) \rightarrow \sigma$

Examples of terms and types

$\lambda f: \tau \rightarrow \sigma \rightarrow \rho. \lambda x: \sigma. \lambda y: \tau. f y x$

has type

$(\tau \rightarrow \sigma \rightarrow \rho) \rightarrow \sigma \rightarrow \tau \rightarrow \rho$

Examples of terms and types

$\lambda x: \tau. \langle \text{left } x, \text{left } x \rangle$

has type

$\tau \rightarrow ((\tau + \sigma) \times (\tau + \rho))$

Examples of terms and types

$\lambda f: \tau \rightarrow \rho. \lambda g: \sigma \rightarrow \rho. \lambda x: \tau + \sigma. (\text{case } x \text{ do } f \ g)$

has type

$(\tau \rightarrow \rho) \rightarrow (\sigma \rightarrow \rho) \rightarrow (\tau + \sigma) \rightarrow \rho$

Examples of terms and types

$\lambda x: \tau \times \sigma. \lambda y: \rho. \langle \langle y, \text{proj1 } x \rangle, \text{proj2 } x \rangle$

has type

$(\tau \times \sigma) \rightarrow \rho \rightarrow ((\rho \times \tau) \times \sigma)$

Empty and nonempty types

Have seen several “nonempty” types (closed terms of that type)

$$\tau \rightarrow \tau$$

$$\tau \rightarrow (\tau \rightarrow \sigma) \rightarrow \sigma$$

$$(\tau \rightarrow \sigma \rightarrow \rho) \rightarrow \sigma \rightarrow \tau \rightarrow \rho$$

$$\tau \rightarrow ((\tau + \sigma) \times (\tau + \rho))$$

$$(\tau \rightarrow \rho) \rightarrow (\sigma \rightarrow \rho) \rightarrow (\tau + \sigma) \rightarrow \rho$$

$$(\tau \times \sigma) \rightarrow \rho \rightarrow ((\rho \times \tau) \times \sigma)$$

There’re also lots of “empty” types (no closed terms of that type)

$$\tau \quad \tau \rightarrow \sigma \quad \tau + (\tau \rightarrow \sigma) \quad \tau \rightarrow (\sigma \rightarrow \tau) \rightarrow \sigma$$

How to know whether a type is nonempty?

How to know whether a type is nonempty?

Let's replace \rightarrow with \Rightarrow , \times with \wedge , $+$ with \vee :

$$\tau \Rightarrow \tau$$

$$\tau \Rightarrow (\tau \Rightarrow \sigma) \Rightarrow \sigma$$

$$(\tau \Rightarrow \sigma \Rightarrow \rho) \Rightarrow \sigma \Rightarrow \tau \Rightarrow \rho$$

$$\tau \Rightarrow ((\tau \vee \sigma) \wedge (\tau \vee \rho))$$

$$(\tau \Rightarrow \rho) \Rightarrow (\sigma \Rightarrow \rho) \Rightarrow (\tau \vee \sigma) \Rightarrow \rho$$

$$(\tau \wedge \sigma) \Rightarrow \rho \Rightarrow ((\rho \wedge \tau) \wedge \sigma)$$

*Can be proved in
propositional logic*

*(corresponding to
nonempty types*

– have closed terms)

$$\tau$$

$$\tau \Rightarrow \sigma$$

$$\tau \vee (\tau \Rightarrow \sigma)$$

$$\tau \Rightarrow (\sigma \Rightarrow \tau) \Rightarrow \sigma$$

Cannot be proved in propositional logic

(corresponding to

empty types – no closed terms)

Example – propositional-logic proof

 $\Gamma \vdash p$

assumptions

 $\tau, \tau \Rightarrow \sigma \vdash \tau \Rightarrow \sigma \quad \tau, \tau \Rightarrow \sigma \vdash \tau$

 $\tau, \tau \Rightarrow \sigma \vdash \sigma$

 $\tau \vdash (\tau \Rightarrow \sigma) \Rightarrow \sigma$

 $\cdot \vdash \tau \Rightarrow (\tau \Rightarrow \sigma) \Rightarrow \sigma$

Propositional logic (natural deduction)

(Prop) $p, q ::= B \mid p \Rightarrow q \mid p \wedge q \mid p \vee q$

(Ctx) $\Gamma ::= \cdot \mid \Gamma, p$

$$\frac{}{\Gamma, p \vdash p} \text{(axiom)} \quad \frac{\Gamma, p \vdash q}{\Gamma \vdash p \Rightarrow q} \text{(\(\Rightarrow\)-intro)} \quad \frac{\Gamma \vdash p \Rightarrow q \quad \Gamma \vdash p}{\Gamma \vdash q} \text{(\(\Rightarrow\)-elim)}$$

$$\frac{\Gamma \vdash p \quad \Gamma \vdash q}{\Gamma \vdash p \wedge q} \text{(\(\wedge\)-intro)} \quad \frac{\Gamma \vdash p \wedge q}{\Gamma \vdash p} \text{(\(\wedge\)-elim-l)} \quad \frac{\Gamma \vdash p \wedge q}{\Gamma \vdash q} \text{(\(\wedge\)-elim-r)}$$

$$\frac{\Gamma \vdash p}{\Gamma \vdash p \vee q} \text{(\(\vee\)-intro-l)} \quad \frac{\Gamma \vdash q}{\Gamma \vdash p \vee q} \text{(\(\vee\)-intro-r)}$$

$$\frac{\Gamma \vdash p \vee q \quad \Gamma \vdash p \Rightarrow r \quad \Gamma \vdash q \Rightarrow r}{\Gamma \vdash r} \text{(\(\vee\)-elim)}$$

This is exactly our type system, erasing terms, replacing \rightarrow with \Rightarrow , \times with \wedge , $+$ with \vee

$$\frac{}{\Gamma, x : \tau \vdash x : \tau} \text{ (var)} \qquad \frac{\Gamma, x : \sigma \vdash M : \tau}{\Gamma \vdash (\lambda x : \sigma. M) : \sigma \rightarrow \tau} \text{ (abs)}$$

$$\frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash M N : \tau} \text{ (app)} \qquad \frac{\Gamma \vdash M : \sigma \quad \Gamma \vdash N : \tau}{\Gamma \vdash \langle M, N \rangle : \sigma \times \tau} \text{ (pair)}$$

$$\frac{\Gamma \vdash M : \sigma \times \tau}{\Gamma \vdash \text{proj1 } M : \sigma} \text{ (proj1)} \qquad \frac{\Gamma \vdash M : \sigma \times \tau}{\Gamma \vdash \text{proj2 } M : \tau} \text{ (proj2)}$$

$$\frac{\Gamma \vdash M : \sigma}{\Gamma \vdash \text{left } M : \sigma + \tau} \text{ (left)} \qquad \frac{\Gamma \vdash M : \tau}{\Gamma \vdash \text{right } M : \sigma + \tau} \text{ (right)}$$

$$\frac{\Gamma \vdash M : \sigma + \tau \quad \Gamma \vdash M1 : \sigma \rightarrow \rho \quad \Gamma \vdash M2 : \tau \rightarrow \rho}{\Gamma \vdash \text{case } M \text{ do } M1 M2 : \rho} \text{ (case)}$$

Curry-Howard isomorphism

- Given a well-typed closed term, take the typing derivation, erase the terms, and have a propositional-logic proof
- Given a propositional-logic proof, there exists a closed term with that type
- A term that type-checks is a **proof** — it tells you exactly how to derive the logic formula corresponding to its type
- Constructive (*hold that thought*) propositional logic and simply-typed lambda-calculus with pairs and sums are **the same thing**.
 - Computation and logic are **deeply** connected
 - λ is no more or less made up than implication

Revisit our examples: “terms are proofs”

$$\lambda x: \tau. x$$

is a proof that

$$\tau \Rightarrow \tau$$

Revisit our examples: “terms are proofs”

$$\lambda x: \tau. \lambda f: \tau \rightarrow \sigma. f x$$

is a proof that

$$\tau \Rightarrow (\tau \Rightarrow \sigma) \Rightarrow \sigma$$

Revisit our examples: “terms are proofs”

$$\lambda f: \tau \rightarrow \sigma \rightarrow \rho. \lambda x: \sigma. \lambda y: \tau. f y x$$

is a proof that

$$(\tau \Rightarrow \sigma \Rightarrow \rho) \Rightarrow \sigma \Rightarrow \tau \Rightarrow \rho$$

Revisit our examples: “terms are proofs”

$\lambda x: \tau. \langle \text{left } x, \text{left } x \rangle$

is a proof that

$\tau \Rightarrow ((\tau \vee \sigma) \wedge (\tau \vee \rho))$

Revisit our examples: “terms are proofs”

$\lambda f: \tau \rightarrow \rho. \lambda g: \sigma \rightarrow \rho. \lambda x: \tau + \sigma. (\text{case } x \text{ do } f \text{ } g)$

is a proof that

$(\tau \Rightarrow \rho) \Rightarrow (\sigma \Rightarrow \rho) \Rightarrow (\tau \vee \sigma) \Rightarrow \rho$

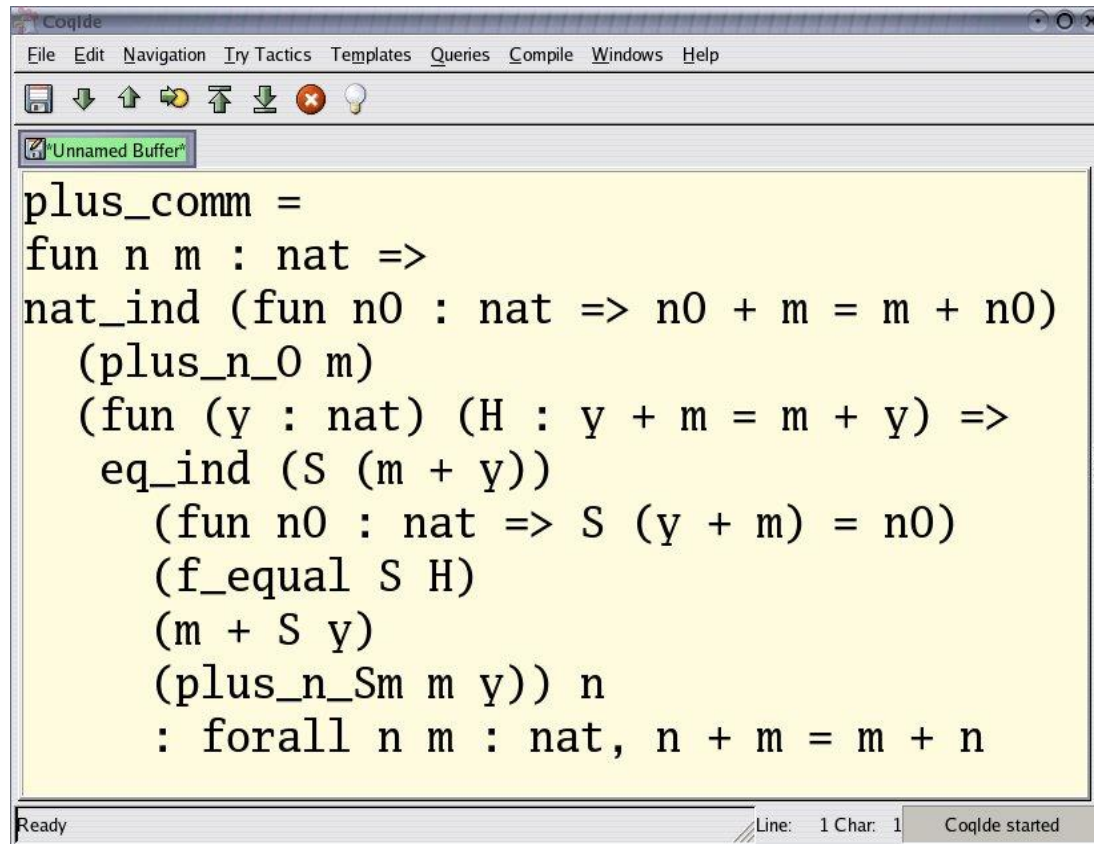
Revisit our examples: “terms are proofs”

$\lambda x: \tau \times \sigma. \lambda y: \rho. \langle \langle y, \text{proj1 } x \rangle, \text{proj2 } x \rangle$

is a proof that

$(\tau \wedge \sigma) \Rightarrow \rho \Rightarrow ((\rho \wedge \tau) \wedge \sigma)$

Coq example: proof can be written as functional program



```
plus_comm =
fun n m : nat =>
nat_ind (fun n0 : nat => n0 + m = m + n0)
  (plus_n_0 m)
  (fun (y : nat) (H : y + m = m + y) =>
    eq_ind (S (m + y))
      (fun n0 : nat => S (y + m) = n0)
      (f_equal S H)
      (m + S y)
      (plus_n_Sm m y)) n
: forall n m : nat, n + m = m + n
```

Proof of commutativity of addition on nat in Coq.

Why care?

Because:

- This is just fascinating
- Don't think of logic and computing as distinct fields
- Thinking “the other way” can help you know what's possible/impossible
- Can form the basis for automated theorem provers
- Type systems should not be *ad hoc* piles of rules!

So, every typed λ -calculus is a proof system for some logic...

Is STLC with pairs and sums a *complete* proof system for propositional logic? Almost...

Classical vs. constructive

- Classical propositional logic has the “law of the excluded middle”:

$$\overline{\Gamma \vdash p \vee (p \Rightarrow q)}$$

Think " $p \vee \neg p$ "

- STLC does not support it: e.g. no closed term has type $\rho + (\rho \rightarrow \sigma)$
- Logics without this rule are called “**constructive**” or “**intuitionistic**”.
 - Formulae are *only* considered "true" when we have direct evidence (“proofs produce examples”)

Example classical proof

- Theorem: There exist two irrational numbers a and b such that a^b is rational.
- Can be proved using “the law of exclusive middle”.
 - It’s known that $\sqrt{2}$ is irrational.
 - Consider the number $\sqrt{2}^{\sqrt{2}}$.
 - If it is rational, the proof is complete, and $a = b = \sqrt{2}$.
 - If it is irrational, then let $a = \sqrt{2}^{\sqrt{2}}$ and $b = \sqrt{2}$. Then $a^b = (\sqrt{2}^{\sqrt{2}})^{\sqrt{2}} = \sqrt{2}^{\sqrt{2} \times \sqrt{2}} = (\sqrt{2})^2 = 2$, and the proof is complete.
- Constructive logics would not accept this argument

Classical vs. constructive

- In constructive logics, “branch on possibilities” by making “excluded middle” an explicit assumption:

$$(p \vee (p \Rightarrow q)) \wedge (p \Rightarrow r) \wedge ((p \Rightarrow q) \Rightarrow r) \Rightarrow r$$

- “if any number is either rational or irrational, then there exist two irrational numbers a and b such that a^b is rational”

What about “fix”?

- A “non-terminating proof” is no proof at all
- Remember the typing rule

$$\frac{\Gamma \vdash M : \tau \rightarrow \tau}{\Gamma \vdash \mathbf{fix} M : \tau} \text{ (fix)}$$

- It lets us prove anything! E.g. $\mathbf{fix} \lambda x:\tau. x$ has type τ
- So the “logic” is inconsistent

Last word on Curry-Howard

- Not just constructive propositional logic & STLC
- **Every** logic has a corresponding typed system
 - Classical logics
 - Inconsistent logics
- If you remember one thing:

$$\frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash M N : \tau} \text{(app)} \quad \longleftrightarrow \quad \frac{\Gamma \vdash p \Rightarrow q \quad \Gamma \vdash p}{\Gamma \vdash q} \text{(\(\Rightarrow\)-elim)}$$