

$$F_N = -kT \ln Q_{cl} = -k_B T (\ln Z_N - 3N \ln \lambda - \ln N!)$$

$$\mu = F_N - F_{N-1} = -k_B T \left(\ln \frac{Z_N}{Z_{N-1}} - \ln \lambda^3 - \ln N \right)$$

$$= -k_B T \ln \frac{Z_N}{Z_{N-1}} + k_B T \ln(N \lambda^3)$$

$$Z_N = \int e^{-\beta U(r^N)} dr^N \quad Z_{N-1} = \int e^{-\beta U(r^{N-1})} dr^{N-1}$$

为了解决 Z_N/Z_{N-1} 定义 $U_N(\epsilon) = \sum_{j=2}^N U(r_{ij}) \cdot \epsilon + \sum_{2 \leq i < j < N} U(r_{ij})$

$$Z_N^\epsilon(\epsilon) = \int e^{-\beta U_N(r^N)} dr^N = \begin{cases} Z_{N-1} \cdot V, & \epsilon=0 \\ Z_N, & \epsilon=1 \end{cases}$$

$\epsilon=1$: N 个粒子系统
 $\epsilon=0$: $n-1$ 个粒子与一个孤立粒子系统

用 ϵ 构建某种从无相互作用的到有相互作用的系统

$$\ln \frac{Z_N}{Z_{N-1}} = \ln \frac{Z_N^\epsilon(\epsilon=1)}{Z_N^\epsilon(\epsilon=0)} \cdot V = \ln V + \ln \frac{Z_N^\epsilon(1)}{Z_N^\epsilon(0)}$$

$$= \ln Z_N^\epsilon(1) - \ln Z_N^\epsilon(0) = \int_0^1 d\epsilon \frac{\partial}{\partial \epsilon} \ln Z_N^\epsilon(\epsilon)$$

$$\frac{\partial}{\partial \epsilon} \ln Z_N^\epsilon = \frac{1}{Z_N^\epsilon} \frac{\partial}{\partial \epsilon} Z_N^\epsilon = \frac{1}{Z_N^\epsilon} \frac{\partial}{\partial \epsilon} \int dr^N e^{-\beta U_N(\epsilon)}$$

$$= \frac{1}{Z_N^\epsilon} \int dr^N e^{-\beta U_N(\epsilon)} (-\beta) \left[\frac{\partial}{\partial \epsilon} U_N(\epsilon) \right]$$

$$= \frac{-\beta}{Z_N^\epsilon} \int dr^N e^{-\beta U_N(\epsilon)} \sum_{j=2}^N U(r_{ij})$$

$$\frac{\rho^2 g^\epsilon(\vec{r}_1, \vec{r}_2)}{N(N-1)} Z_N = - \frac{(N-1)\beta}{Z_N^\epsilon} \int dr^N e^{-\beta U_N(\epsilon)} U(r_{12})$$

$$= - \frac{\beta V}{N} \int d\vec{r} U(r) \rho^2 g^\epsilon(r)$$

$$= -\beta \rho \int d\vec{r} U(r) g^\epsilon(r)$$

$$\text{则 } \frac{\mu}{k_B T} = \ln(\rho \lambda^3) + \beta \rho \int_0^1 d\epsilon \left[\int d\vec{r} U(r) g_\epsilon(r) \right]$$

注意到理想气体 $\mu = k_B T \ln(\frac{N}{Q})$ 相当于修正项

如何得到 $g(r)$

① $-k_B T \ln g(r) = w(r)$

稀薄的体系 $w(r) = u(r) \Rightarrow g(r) \sim e^{-\beta u(r)}$

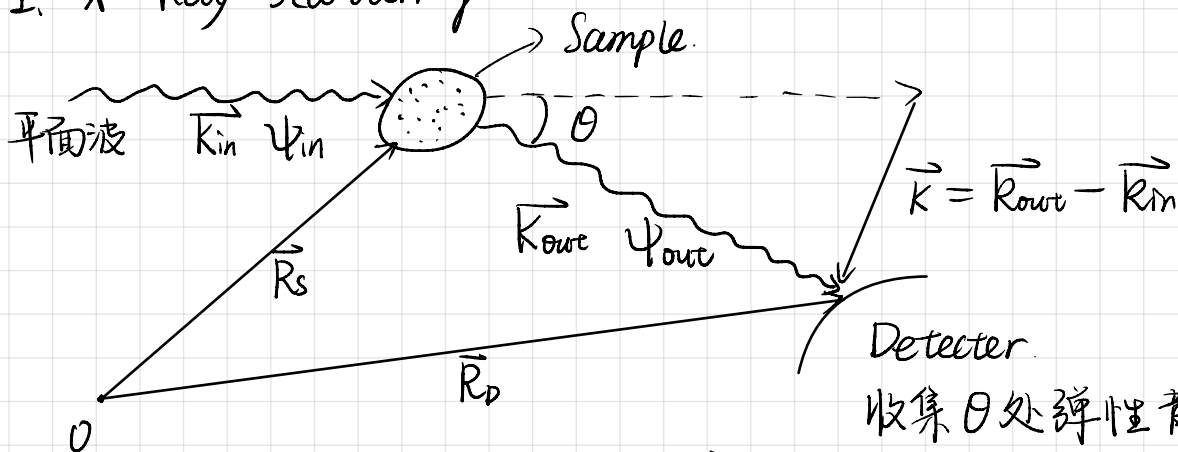
② 近似方程.

③ 模拟 (平衡后直接统计)

④ X射线衍射. ————— 几何结构, 结构因子 $S(\vec{q})$
结构因子与 $g(r)$ 有关系

结构因子 (Structure factor)

1. X-Ray Scattering.



收集 θ 处弹性散射的波.

入射波为平面波: $\psi_{in} \propto e^{i \vec{k}_{in} \cdot \vec{R}_s}$

出射波为球面波: $\psi_{out} \propto \frac{e^{i \vec{k}_{out} \cdot (\vec{R}_D - \vec{R}_s)}}{|\vec{R}_D - \vec{R}_s|}$

注意: θ 小时, 反应大尺度的信息,
同时信号弱. θ 不同反应不同尺度的信息.

探测器处 $\psi_D \propto \psi_{in} \cdot \psi_{out}$

$|\psi_D|^2 \rightarrow$ 强度.

$$\psi_D \sim e^{i \vec{k}_{in} \cdot \vec{R}_s} \cdot \frac{1}{|\vec{R}_D - \vec{R}_s|} e^{i \vec{k}_{out} \cdot (\vec{R}_D - \vec{R}_s)}$$

$$\text{则 } \psi_D = \underbrace{f(\vec{k})}_{\text{"原子散射因子"}} e^{i \vec{k}_{in} \cdot \vec{R}_s} \cdot \frac{1}{|\vec{R}_D - \vec{R}_s|} e^{i \vec{k}_{out} \cdot (\vec{R}_D - \vec{R}_s)}$$

$$= \frac{f(\vec{k})}{|\vec{R}_D - \vec{R}_s|} e^{i \vec{k}_{out} \cdot \vec{R}_D} \cdot e^{-i \vec{k} \cdot \vec{R}_s}$$

总的散射波幅 (认为样品尺寸很小)

$$\psi_e(\theta) = \frac{f(\vec{k})}{|\vec{R}_0 - \vec{R}_s|} e^{i\vec{k}_{out} \cdot \vec{R}_0} \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i} \quad (N \text{ 个粒子散射})$$

$$I(\theta) = |\psi_e(\theta)|^2$$

$$= \frac{|f(\vec{k})|^2}{R^2} \left| \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i} \right|^2 = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i} \cdot \sum_{j=1}^N e^{i\vec{k} \cdot \vec{r}_j} = \sum_{i=1}^N \sum_{j=1}^N e^{-i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

由统计力学的遍历性假设

$$\text{实验观测强度} \propto \langle I(\theta) \rangle = A \left\langle \sum_{i=1}^N \sum_{j=1}^N e^{-i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)} \right\rangle$$

$$\text{定义 } S(\vec{k}) = \frac{1}{N} \left\langle \sum_{i,j=1}^N e^{-i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)} \right\rangle \quad \text{由各向同性, } S(\vec{k}) = S(k)$$

$$S(\vec{k}) = 1 + \frac{1}{N} \left\langle \sum_{i \neq j}^N e^{-i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)} \right\rangle$$

$$= 1 + \rho \int d\vec{r} g(r) e^{-i\vec{k} \cdot \vec{r}}$$

由于 $r \rightarrow \infty$ 时, $g(r) \rightarrow 1$. 因此引入 $h(r) = g(r) - 1$

$$S(\vec{k}) = 1 + \rho \int d\vec{r} h(r) e^{-i\vec{k} \cdot \vec{r}} + \rho \int d\vec{r} e^{-i\vec{k} \cdot \vec{r}} \quad (2\pi)^3 \delta(\vec{k})$$

$$S(k) = 1 + \rho h(k) + \rho (2\pi)^3 \delta(k) \quad \text{而我们不考虑 } k=0, \text{ 此项可以忽略.}$$

作业 7.16

第六章 非平衡统计(初步)

1. 引言

平衡

非平衡

① 平衡态不随 t 变化

t 变

② 状态函数 $(p, T, \mu, \dots)$

状态函数无严格定义, (但有时会定义局域平衡的状态函数)

③ 分布函数 $e^{-\beta H}$ 不随时间变化, $P(T)$

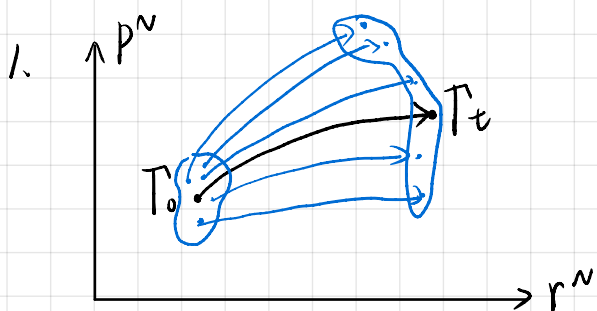
分布函数随时间演化

$P(T, t)$

④ 力学量 $\langle A \rangle$

$A(T, t)$

§1. 刘维尔方程(经典)



演化方程:

$$\begin{cases} \dot{r}_i = \frac{\partial H}{\partial p_i} \\ \dot{p}_i = -\frac{\partial H}{\partial r_i} \end{cases}$$

$$p_j(t+\Delta t) = p_j(t) - \left(\frac{\partial H}{\partial p_j}\right) \Delta t$$

$$r_j(t+\Delta t) = r_j(t) + \left(\frac{\partial H}{\partial p_j}\right) \Delta t$$

分布函数 $f(T, t) dT$ $dT = dr^N dp^N$

t 时刻, 系统位于 dT 内的概率

2. 刘维尔定理

$$dT_t = \delta r^N(t) \delta p^N(t)$$

$$\delta r_j(t+\Delta t) \delta p_j(t+\Delta t) = \underbrace{\begin{vmatrix} \frac{\partial p_j(t+\Delta t)}{\partial p_j(t)} & \frac{\partial p_j(t+\Delta t)}{\partial r_j(t)} \\ \frac{\partial r_j(t+\Delta t)}{\partial p_j(t)} & \frac{\partial r_j(t+\Delta t)}{\partial r_j(t)} \end{vmatrix}}_J \delta r_j(t) \delta p_j(t)$$

$$J = \begin{vmatrix} 1 - \frac{\partial^2 H}{\partial p_j \partial q_j} \Delta t & -\frac{\partial^2 H}{\partial q_j^2} \Delta t \\ \frac{\partial^2 H}{\partial p_j^2} \Delta t & 1 + \frac{\partial^2 H}{\partial q_j \partial p_j} \Delta t \end{vmatrix} = 1 - \left(\frac{\partial^2 H}{\partial q_j \partial p_j} \right)^2 (\Delta t)^2 + \left(\frac{\partial^2 H}{\partial q_j^2} \right) \left(\frac{\partial^2 H}{\partial p_j^2} \right) (\Delta t)^2$$

$$= 1 + O(\Delta t^2)$$

$$\text{则 } \delta \Gamma_j(t + \Delta t) = [1 + O(\Delta t^2)] \delta \Gamma_j(t)$$

$$\Rightarrow \lim_{\Delta t \rightarrow 0} \frac{\delta \Gamma_j(t + \Delta t) - \delta \Gamma_j(t)}{\Delta t} = \lim_{\Delta t \rightarrow 0} \frac{O(\Delta t^2 \delta \Gamma_j(t))}{\Delta t} = 0$$

$$\frac{d}{dt} \delta \Gamma(t) = 0 \quad \text{相空间体积不变!} \quad (\Delta \Gamma) \rightarrow \delta \Gamma$$

注: 我们是在哈密顿

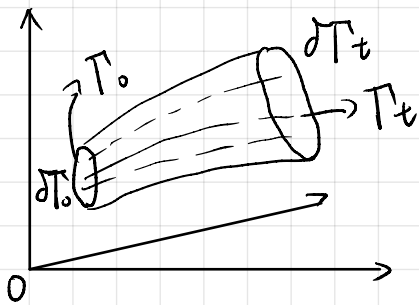
$$V = V'$$

能量守恒

方程满足的情况下推导的以上结论, 因此不满足哈密顿方程的体系不满足以上结论, 例如有摩擦力.

物理上: 系统数目不变.

物理学要求



$$f(\Gamma_0, 0) \delta \Gamma_0 = f(\Gamma_t, t) \delta \Gamma_t$$

$$f(\Gamma_0, 0) = f(\Gamma_t, t)$$

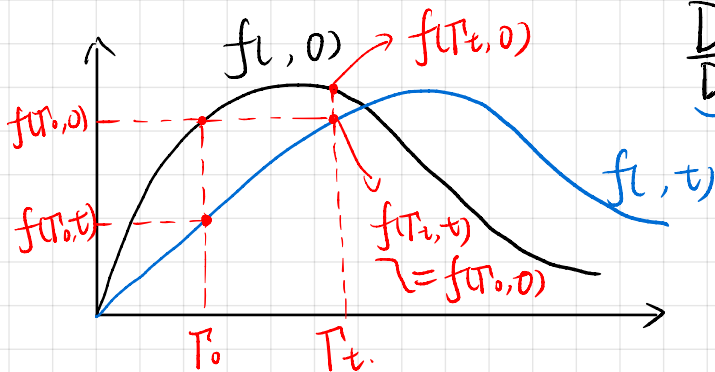
由刘维尔定理

↓

$$\frac{Df}{Dt} = \frac{\partial f}{\partial t} + \frac{\partial f}{\partial \Gamma} \frac{\partial \Gamma}{\partial t} = 0 \quad \star$$

给定相点.

沿轨线的变化.



$$\frac{Df}{Dt} = \frac{\partial f}{\partial t} + \sum_{i=1}^{3N} \left(\frac{\partial f}{\partial p_i} \dot{p}_i + \frac{\partial f}{\partial r_i} \dot{r}_i \right) = 0$$

刘维尔算符.

$$\Rightarrow \frac{\partial f}{\partial t} = - \sum_{i=1}^{3N} \left(\frac{\partial H}{\partial p_i} \frac{\partial}{\partial r_i} - \frac{\partial H}{\partial r_i} \frac{\partial}{\partial p_i} \right) f \equiv - \hat{L} f \rightarrow \text{刘维尔方程.}$$

$$\hat{L} f = \{H, f\} = \left(\sum_{i=1}^{3N} \frac{\partial H}{\partial p_i} \frac{\partial}{\partial r_i} - \frac{\partial H}{\partial r_i} \frac{\partial}{\partial p_i} \right) f.$$

形式解: $f(\Gamma, t) = e^{-t\hat{L}} f(\Gamma, 0)$ 对应同一个 Γ 点.

$\hat{L}$ 的性质:

(i) 反自伴随: $\int d\Gamma f \hat{L} g = - \int d\Gamma g \hat{L} f$ ($f(\Gamma), g(\Gamma) \rightarrow 0$, 当 $\Gamma \rightarrow \partial D$)

证明: $\int dr_1 dr_2 \dots dp_{3N} f(\Gamma) \left[\sum_{i=1}^{3N} \left(\frac{\partial H}{\partial p_i} \frac{\partial}{\partial r_i} - \frac{\partial H}{\partial r_i} \frac{\partial}{\partial p_i} \right) g(\Gamma) \right]$

$\xrightarrow[\text{积分}]{\text{分部}}$ $- \int dr_1 \dots dp_{3N} \left[\sum_{i=1}^{3N} \left(\frac{\partial H}{\partial p_i} \frac{\partial}{\partial r_i} - \frac{\partial H}{\partial r_i} \frac{\partial}{\partial p_i} \right) f(\Gamma) \right] \cdot g(\Gamma)$

(ii) $\int d\Gamma f(e^{t\hat{L}} g) = \int d\Gamma (e^{-t\hat{L}} f) g$

证明: $\int d\Gamma f(e^{t\hat{L}} g) = \int d\Gamma f \left[1 + t\hat{L} + \frac{1}{2}t^2\hat{L}^2 + \dots \right] g$

$= \int d\Gamma (1 - t\hat{L} + \frac{1}{2}t^2\hat{L}^2 + \dots) f g = \int d\Gamma (e^{-t\hat{L}} f) g$

(iii) 定态分布: $\frac{\partial f}{\partial t} = 0 \Rightarrow \hat{L}f = 0$

(a) 若 $f \equiv f(H) \rightarrow \hat{L}f = 0$ eq: $f \propto e^{-\beta H}$

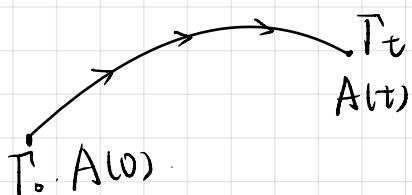
平衡分布是定态分布! 但定态分布不一定是平衡分布!

对定态分布 $e^{-\hat{L}} f = f$

下面考虑力学量 $A(\Gamma)$

(1) A 是 Γ 的函数, A 不显含 t !

(2) $A(t) = A(\Gamma_0 \rightarrow \Gamma_t)$, 即 A 随时间的演化是依赖于 Γ 的演化.



$$A(t) = A(0) + \left. \frac{dA}{dt} \right|_{t=0} t + \dots + \frac{1}{n!} \left. \frac{d^n A}{dt^n} \right|_{t=0} t^n + \dots$$

(Taylor Expansion)

$$\frac{dA}{dt} = \frac{dA}{d\Gamma} \dot{\Gamma} = \sum_{i=1}^{3N} \left(\frac{\partial A}{\partial r_i} \dot{r}_i + \frac{\partial A}{\partial p_i} \dot{p}_i \right) = \sum_{i=1}^{3N} \left(\frac{\partial H}{\partial p_i} \frac{\partial}{\partial r_i} - \frac{\partial H}{\partial r_i} \frac{\partial}{\partial p_i} \right) A(\Gamma)$$

$$= \hat{L} A$$

即 $\frac{dA(\Gamma)}{dt} = \hat{L} A(\Gamma)$

对比之前讲分布时 $\frac{df}{dt} = 0 \Rightarrow \frac{\partial f}{\partial t} = -\hat{L}f$ ☆

$$\text{则 } \frac{d^2 A(t)}{dt^2} = \hat{L}^2 A(t) \dots \frac{d^n A(t)}{dt^n} = \hat{L}^n A(t)$$

$$\Rightarrow A(t) = A(0) + t\hat{L}A + \frac{t^2}{2}\hat{L}^2 A + \dots + \frac{t^n}{n!}\hat{L}^n A + \dots$$

$$= e^{t\hat{L}} A(0).$$

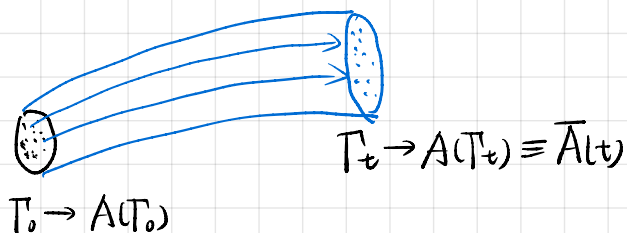
注意对分布时同一相点 $\begin{cases} f(t, t) = e^{-t\hat{L}} f(t, 0) & \text{被动观点,} \\ A(t_0 \rightarrow t_t) = e^{t\hat{L}} A(t_0) & \text{主动观点,} \end{cases}$

$A(t)$ 的平均值 $\longrightarrow$ 非平衡平均值.

$$\overline{A(t)} = \int d\Gamma_0 f(\Gamma_0; 0) A(t_0 \rightarrow t_t)$$

即此处我们认为 $\overline{A(t)}$ 由初始

$f(\Gamma_0; 0)$ 演化而来!! 因此由 $f(\Gamma_0; 0)$ 求 $\overline{A(t)}$ $f(\Gamma_0; 0)$ 可以为平衡体系.



$$\overline{A(t)} = \int d\Gamma f(\Gamma; 0) A_t(\Gamma \rightarrow t_t)$$

$$= \int d\Gamma f(\Gamma; 0) e^{t\hat{L}} A(\Gamma) = \int d\Gamma [e^{-t\hat{L}} f(\Gamma; 0)] A(\Gamma)$$

$$= \int d\Gamma f(\Gamma; t) A(\Gamma) \quad \text{类似于海森堡表象与薛定谔表象}$$

§.2. 时间关联函数 (Time - Correlation function)

1. 涨落.

1) 初态分布 $\rightarrow f_{eq}$.

$$\overline{A(t)} = \int d\Gamma f_{eq}(\Gamma) A(t) = \int d\Gamma (e^{-t\hat{L}} f_{eq}(\Gamma)) A(\Gamma) = \int d\Gamma f_{eq}(\Gamma) A(\Gamma)$$

$$\Rightarrow \overline{A(t)} = \langle A \rangle$$

本课程中 " $\langle \rangle$ " 只能对应平衡系综平均!

2) $\delta A(t) = \underbrace{A(t)}_{\text{瞬态的 } A} - \langle A \rangle \quad \overline{\delta A(t)} = \overline{A(t)} - \langle A \rangle = 0$

2. T.C.F

(1) 定义: $C_{AB}(t) \stackrel{\text{def}}{=} \langle A(0) B(t) \rangle = \int dT f_{eq}(T) A(T) B_t(T \rightarrow T_t)$

(2) 稳态性质

$$C_{AB}(t) = \int dT f_{eq}(T) A(T) [e^{t\hat{L}} B(T)]$$

$$= \int dT [e^{-t\hat{L}} f_{eq}(T) A(T)] B(T) \quad \text{而 } e^{-t\hat{L}} f_{eq}(T) = f_{eq}(T)$$

$$= \int dT B(T) [e^{-t\hat{L}} A(T)] f_{eq}(T)$$

$$\stackrel{\text{def}}{=} \langle A(-t) B(0) \rangle = C_{BA}(-t)$$

$$\Rightarrow C_{AB}(t) = \langle A(0) B(t) \rangle = \langle A(-t) B(0) \rangle = C_{BA}(-t) \quad \text{时间平移的稳态性质}$$

若 $A=B$, $C_{AA}(t) = C_{AA}(-t)$ 自关联函数为偶函数.

$$(3) \langle A(0) \dot{B}(t) \rangle = \int dT f_{eq}(T) A(T) [\hat{L} B(t)]$$

$$= - \int dT \hat{L} [f_{eq}(T) A(T)] B(t)$$

$$= - \int dT [\hat{L} A(T)] B(t) f_{eq}(T)$$

$$= - \int dT \dot{A}(0) B(t) f_{eq}(T) = - \langle \dot{A}(0) B(t) \rangle$$

$$\Rightarrow \langle A(0) \dot{B}(t) \rangle = - \langle \dot{A}(0) B(t) \rangle$$

若 $A=B$, 则 $\langle A(0) \dot{A}(t) \rangle = - \langle \dot{A}(0) A(t) \rangle$

再取 $t=0 \Rightarrow \langle A(0) \dot{A}(0) \rangle = 0$. eg: $\langle \vec{r}_i, \vec{p}_i/m \rangle = 0$

$$(4) \left. \frac{d}{dt} C_{AA}(t) \right|_{t=0} = \left. \frac{d}{dt} \langle A(0) A(t) \rangle \right|_{t=0}$$

$$= \langle A(0) \dot{A}(t) \rangle|_{t=0}$$

$$= 0$$

$$\left. \frac{d^2}{dt^2} C_{AA}(t) \right|_{t=0} = \langle A(0) \ddot{A}(0) \rangle$$

$$= - \langle \dot{A}(0) \dot{A}(0) \rangle$$

$$= - \langle \dot{A}^2(0) \rangle < 0$$

一阶导为0

二阶导小于0